

Lecture 9/10:

**Intro to Ray-Tracing &
Accelerating Ray-Scene Intersection**

**Computer Graphics and Imaging
UC Berkeley CS184/284A**

Towards Photorealistic Rendering



Credit: Bertrand Benoit. "Sweet Feast," 2009. [Blender /VRay]

Course Roadmap

Rasterization Pipeline

Core Concepts

- Sampling
- Antialiasing
- Transforms

Geometric Modeling

Core Concepts

- Splines, Bezier Curves
- Topological Mesh Representations
- Subdivision, Geometry Processing

Lighting & Materials

Core Concepts

- Measuring Light
- Unbiased Integral Estimation
- Light Transport & Materials

Cameras & Imaging

- Rasterization
- Transforms & Projection
- Texture Mapping
- Visibility, Shading, Overall Pipeline
- Intro to Geometry
- Curves and Surfaces
- Geometry Processing
- Ray-Tracing & Acceleration **Today**
- Radiometry & Photometry
- Monte Carlo Integration
- Global Illumination & Path Tracing
- Material Modeling

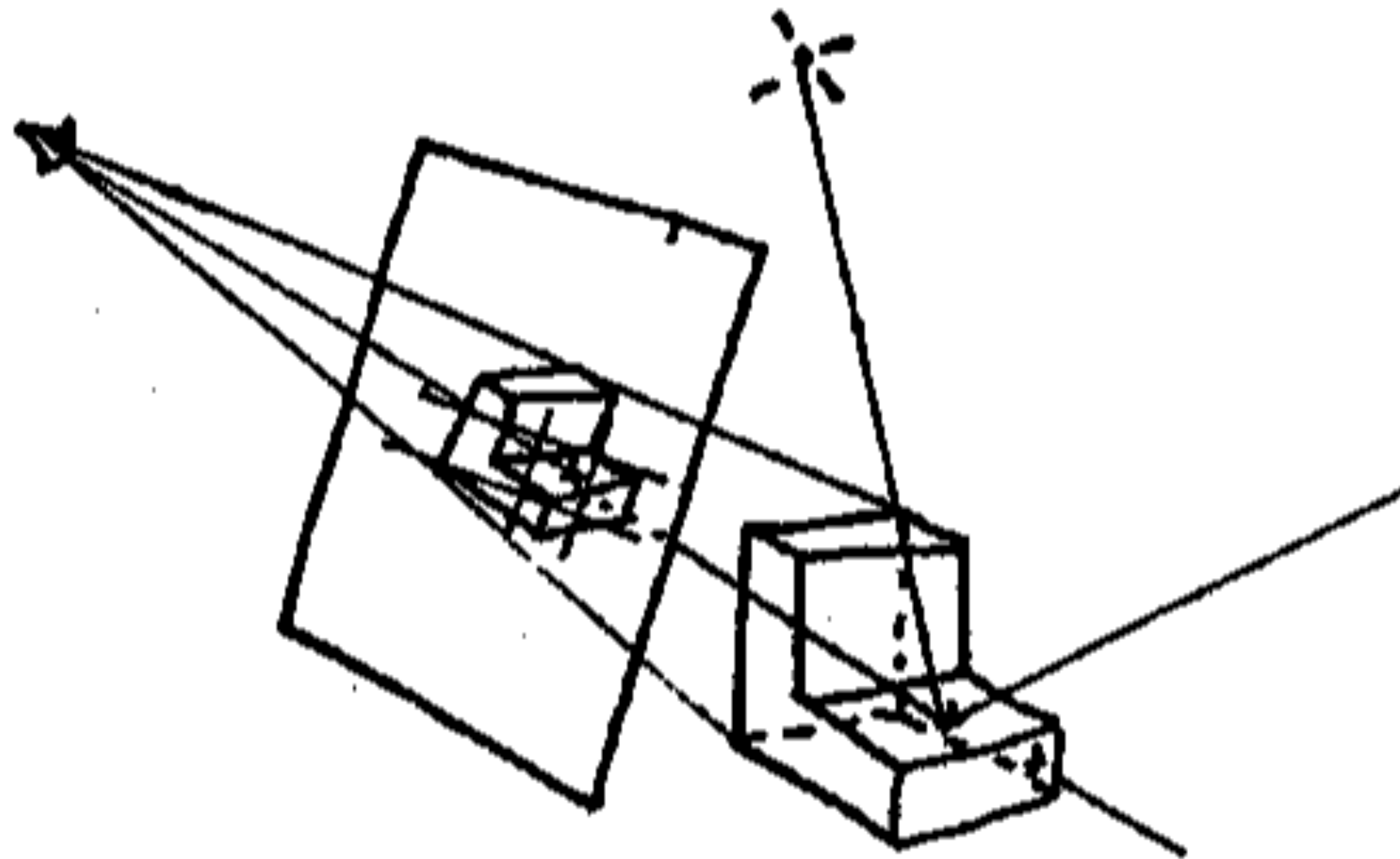


Basic Ray-Tracing Algorithm

Ray Casting

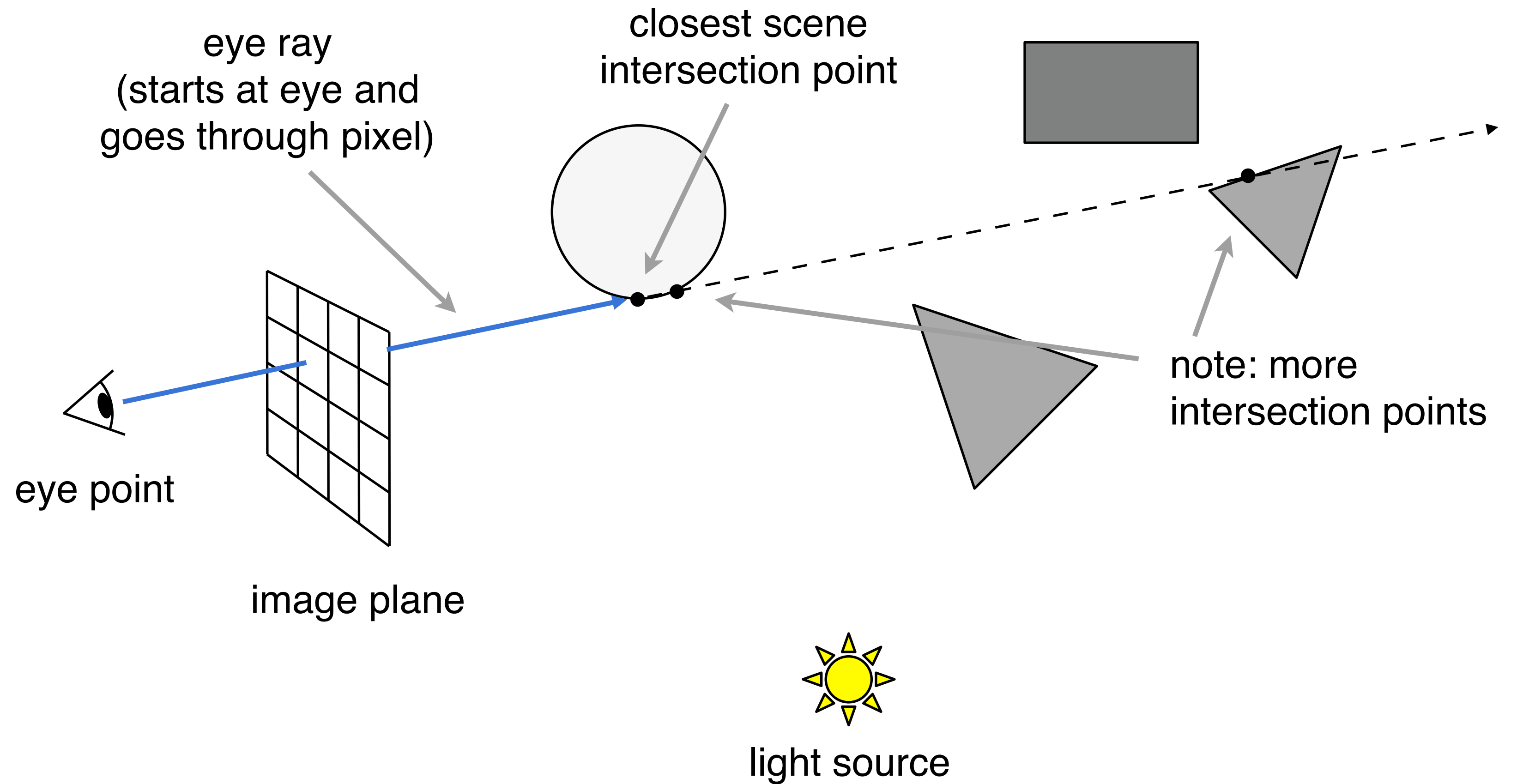
Appel 1968 - Ray casting

1. Generate an image by casting one ray per pixel
2. Check for shadows by sending a ray to the light



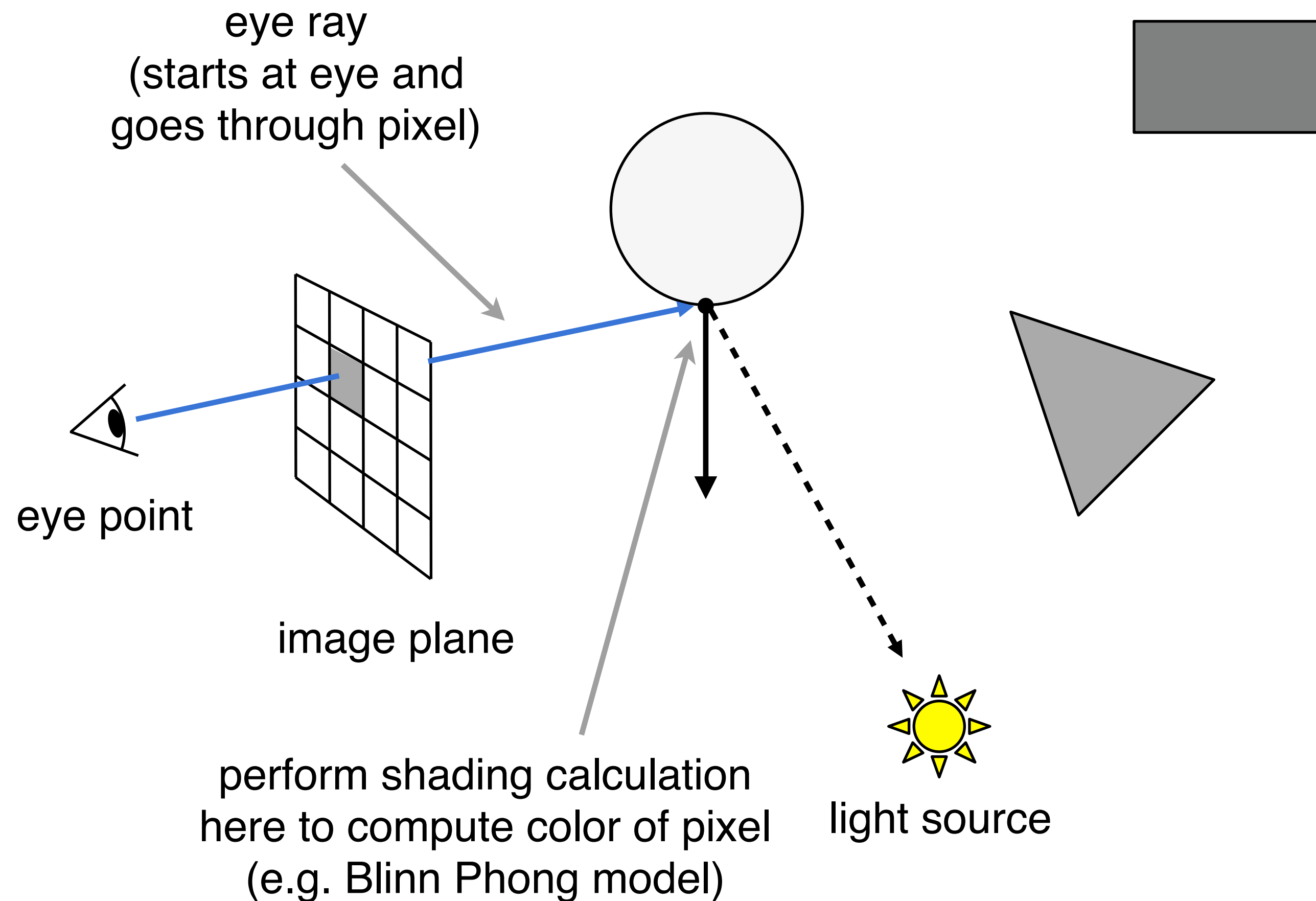
Ray Casting - Generating Eye Rays

Pinhole Camera Model



Ray Casting - Shading Pixels (Local Only)

Pinhole Camera Model

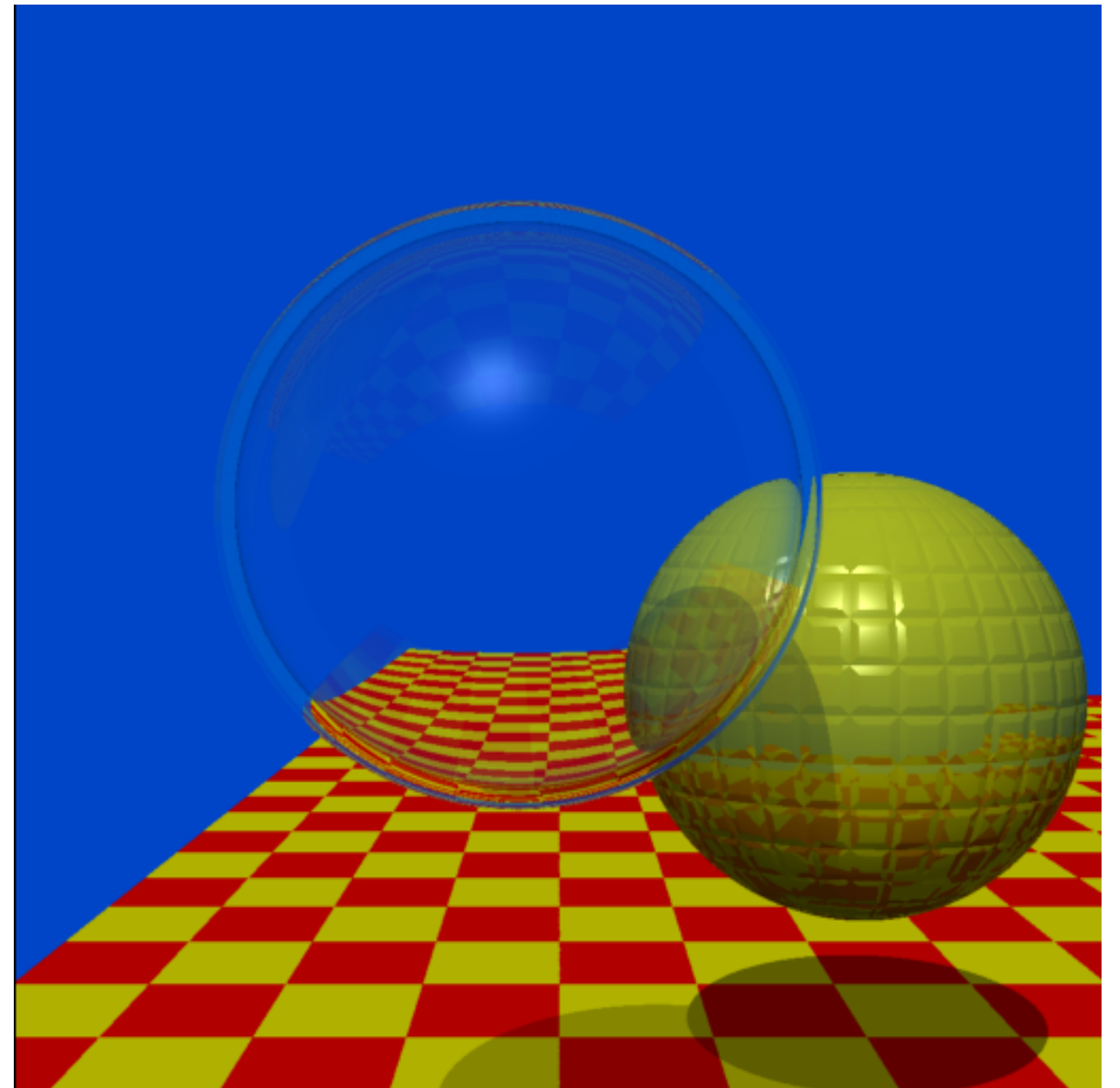


Recursive Ray Tracing

“An improved Illumination model for shaded display”
T. Whitted, CACM 1980

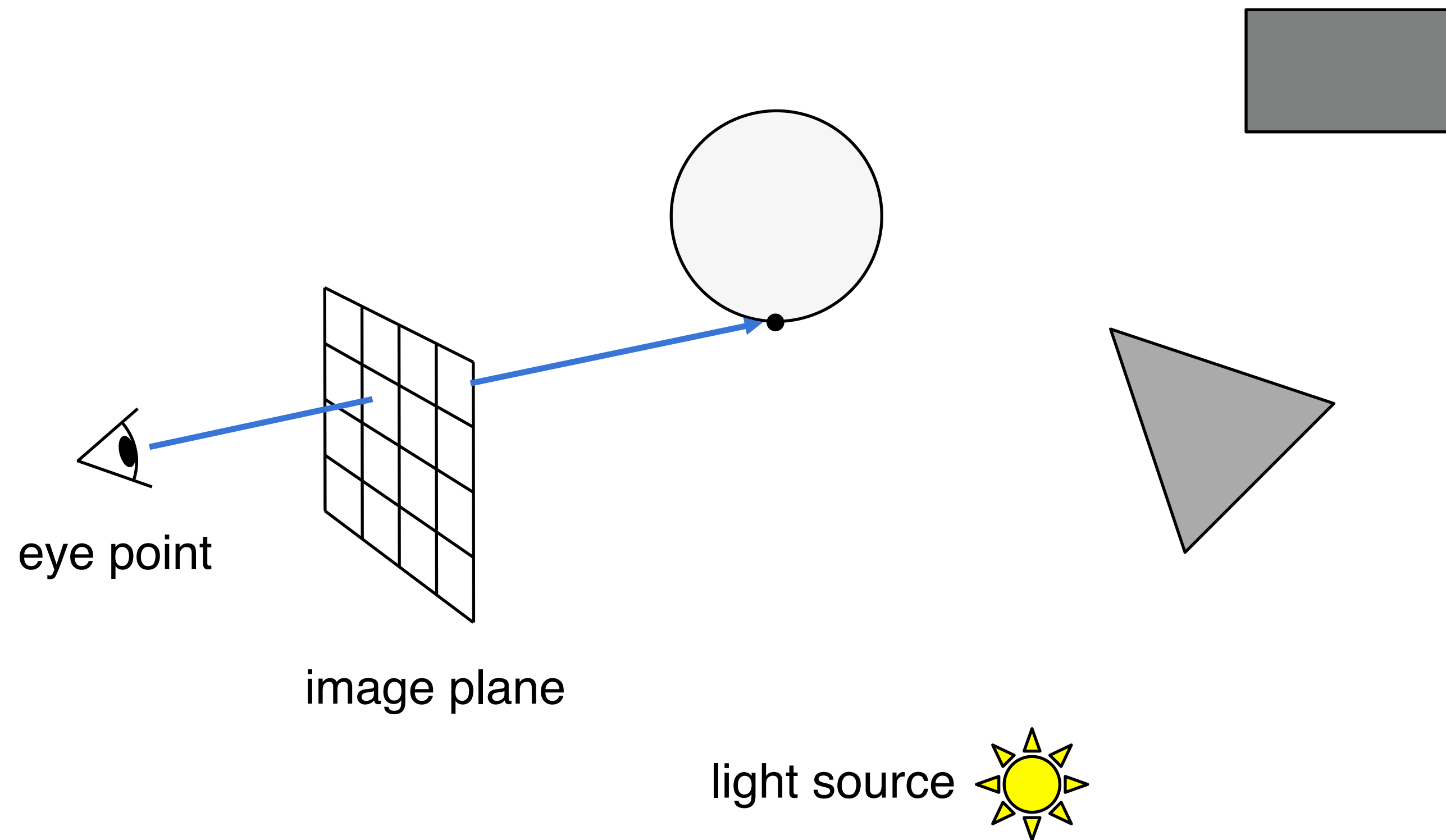
Time:

- VAX 11/780 (1979) 74m
- PC (2006) 6s
- GPU (2012) 1/30s

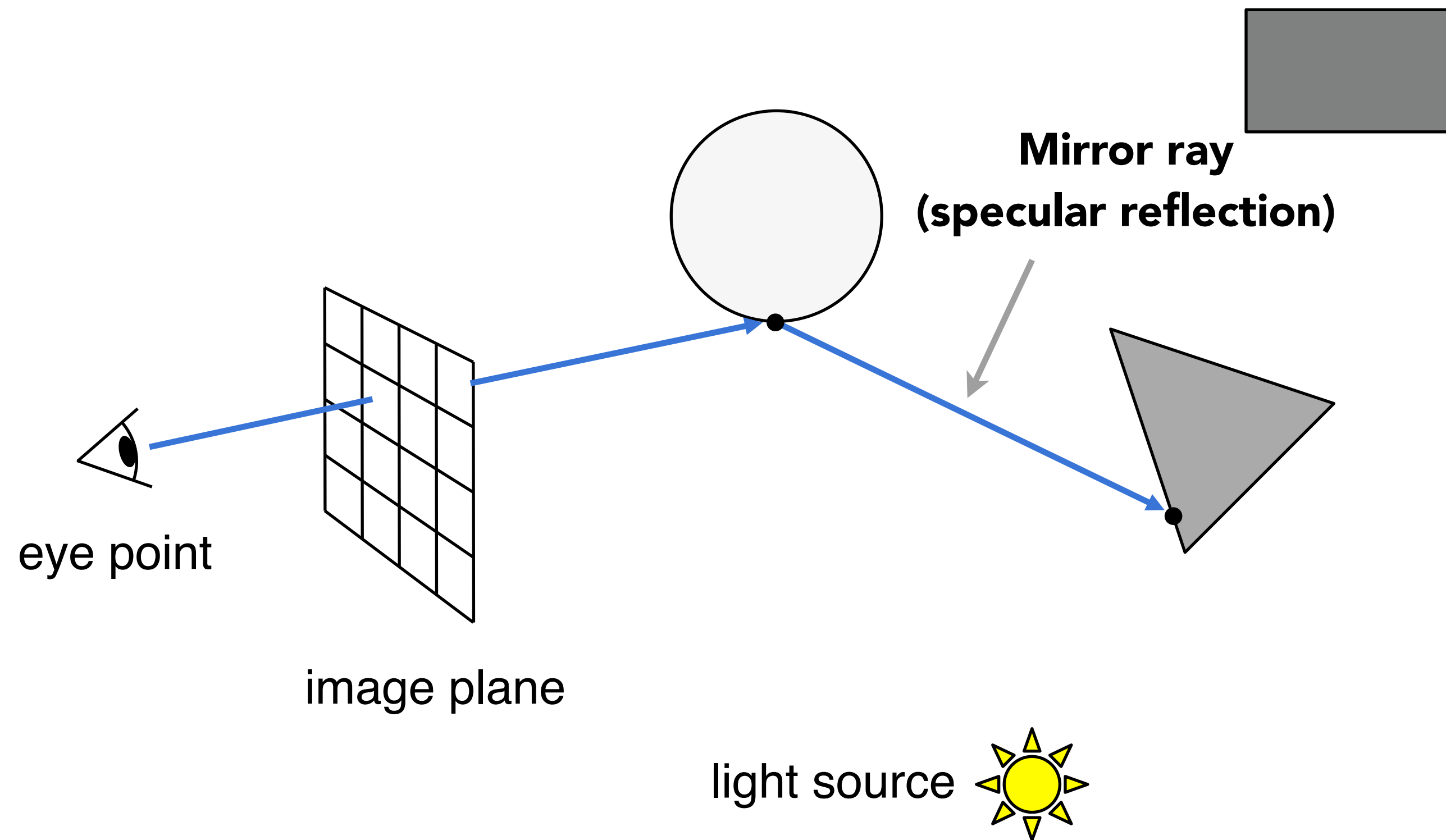


Spheres and Checkerboard, T. Whitted, 1979

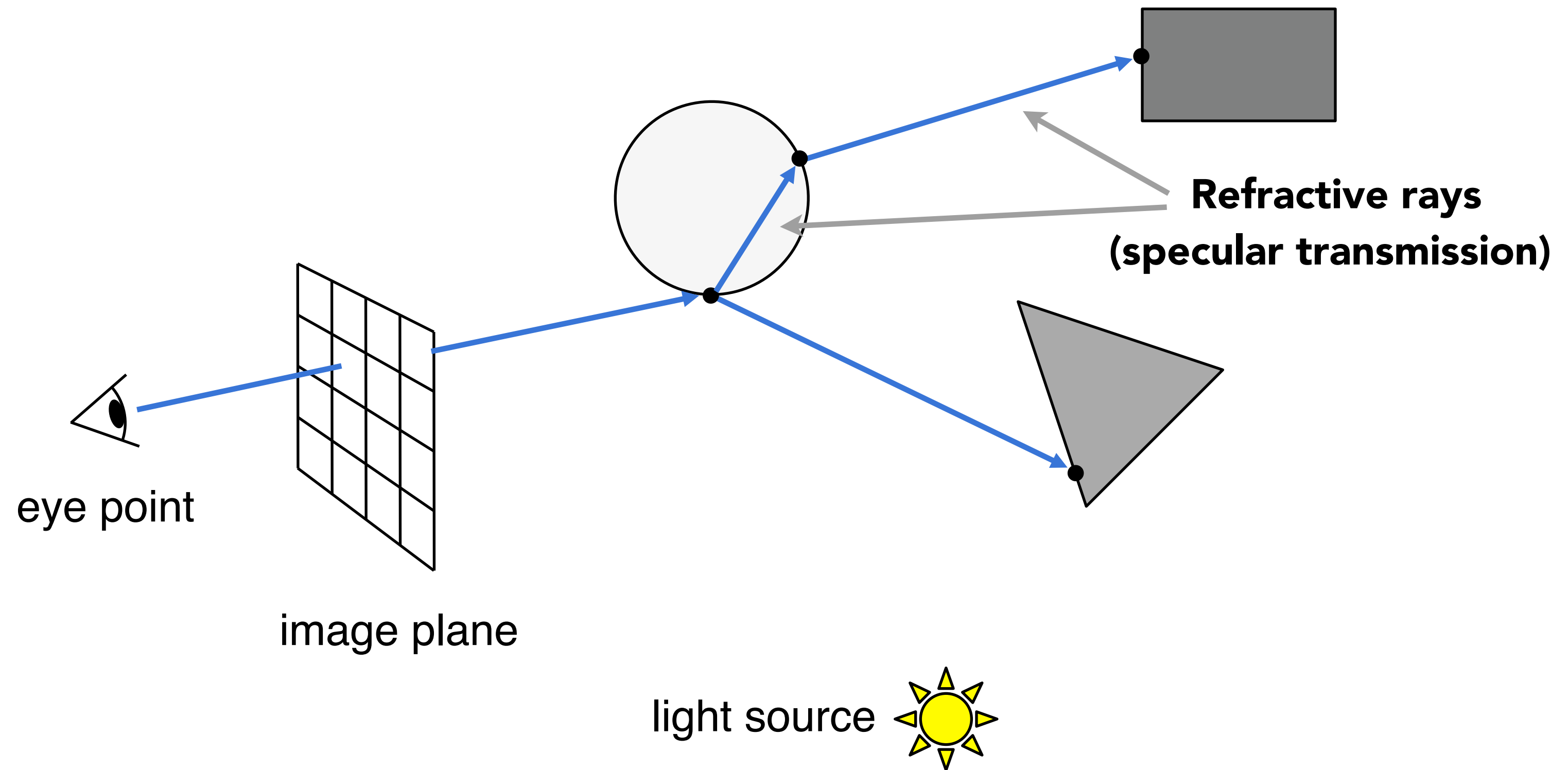
Recursive Ray Tracing



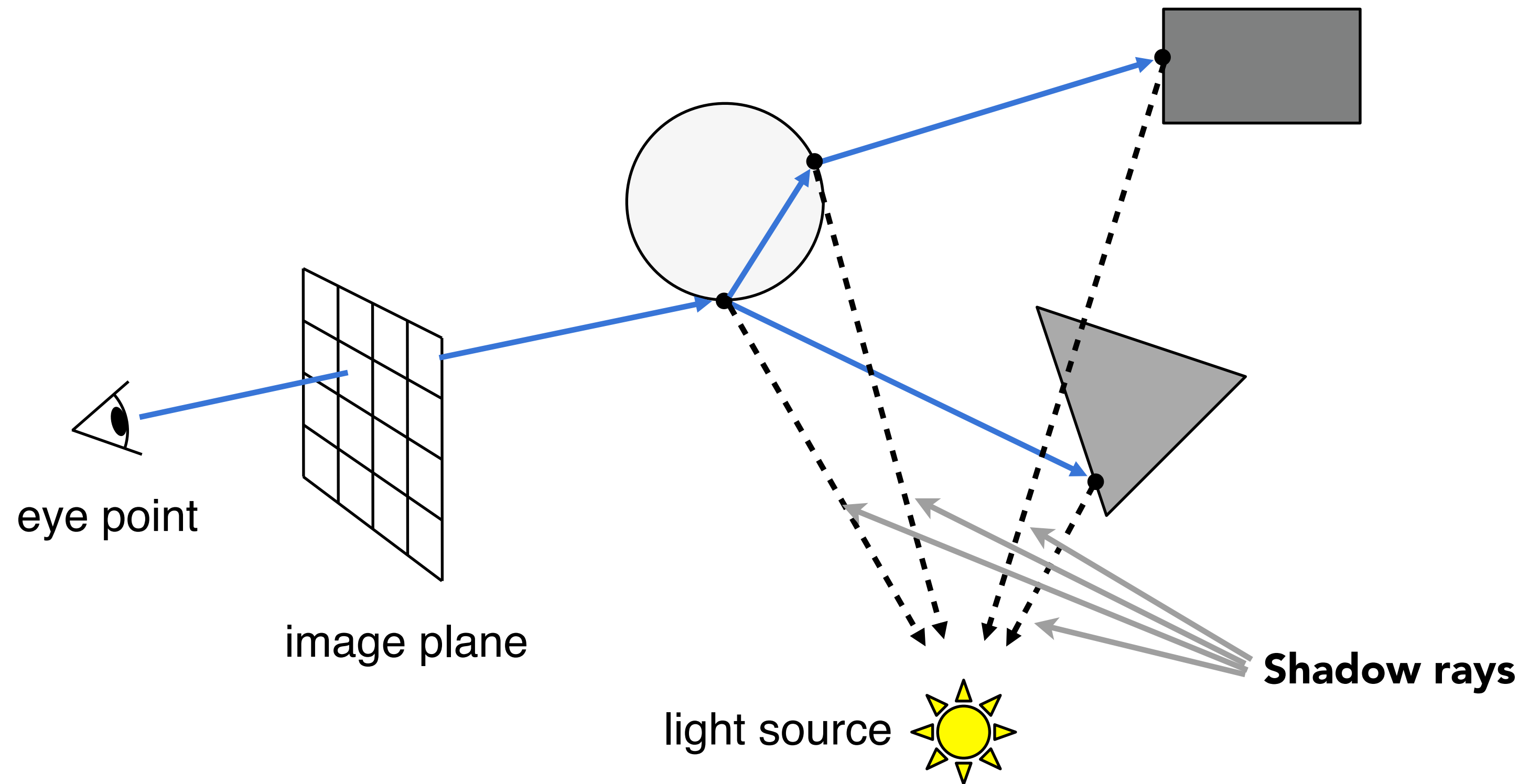
Recursive Ray Tracing



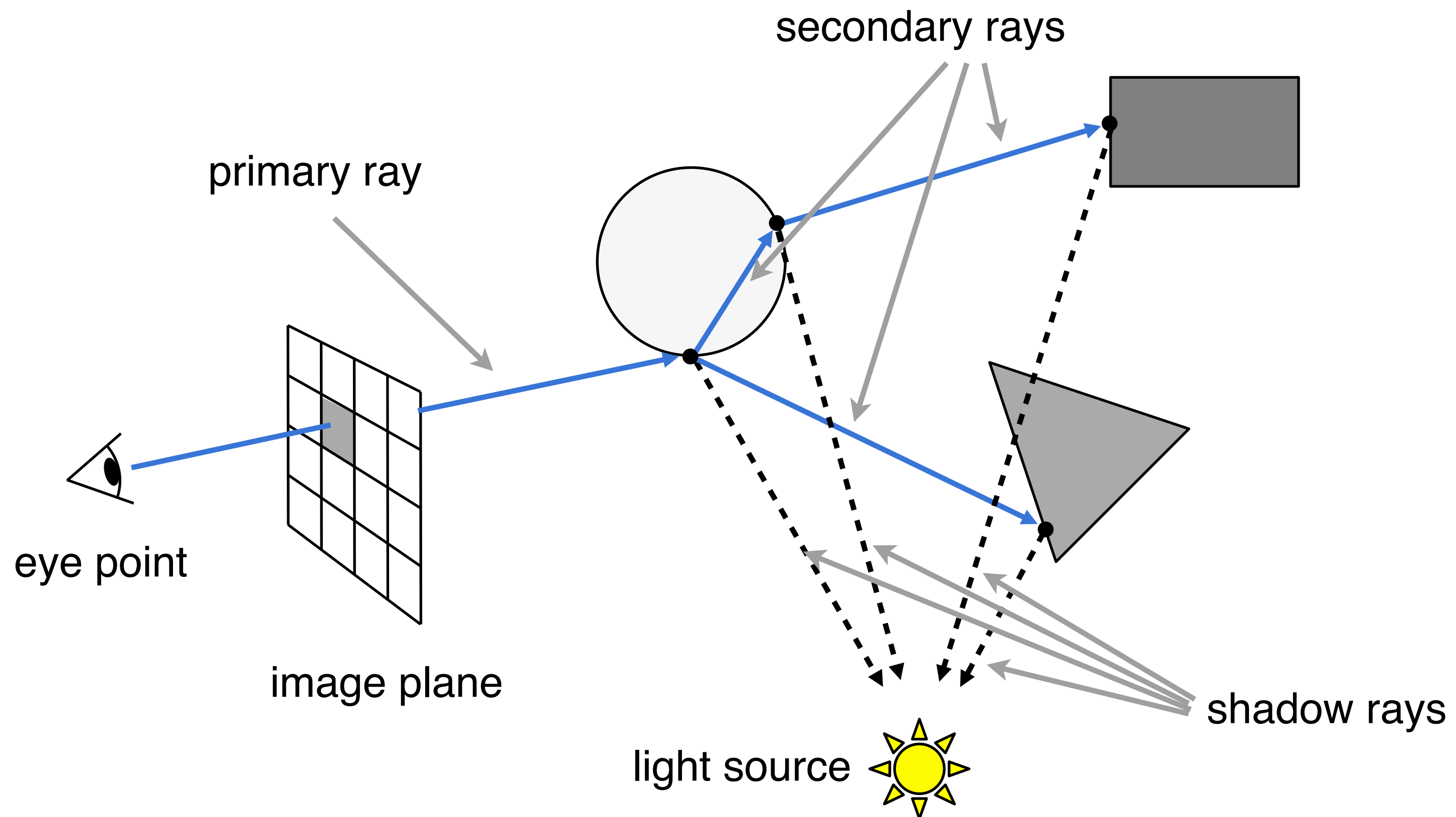
Recursive Ray Tracing



Recursive Ray Tracing



Recursive Ray Tracing



- Trace secondary rays recursively until hit a non-specular surface (or max desired levels of recursion)
- At each hit point, trace shadow rays to test light visibility (no contribution if blocked)
- Final pixel color is weighted sum of contributions along rays, as shown
- Gives more sophisticated effects (e.g. specular reflection, refraction, shadows), but we will go much further to derive a physically-based illumination model

Recursive Ray Tracing



Ray-Surface Intersection

Ray Intersection With Triangle Mesh

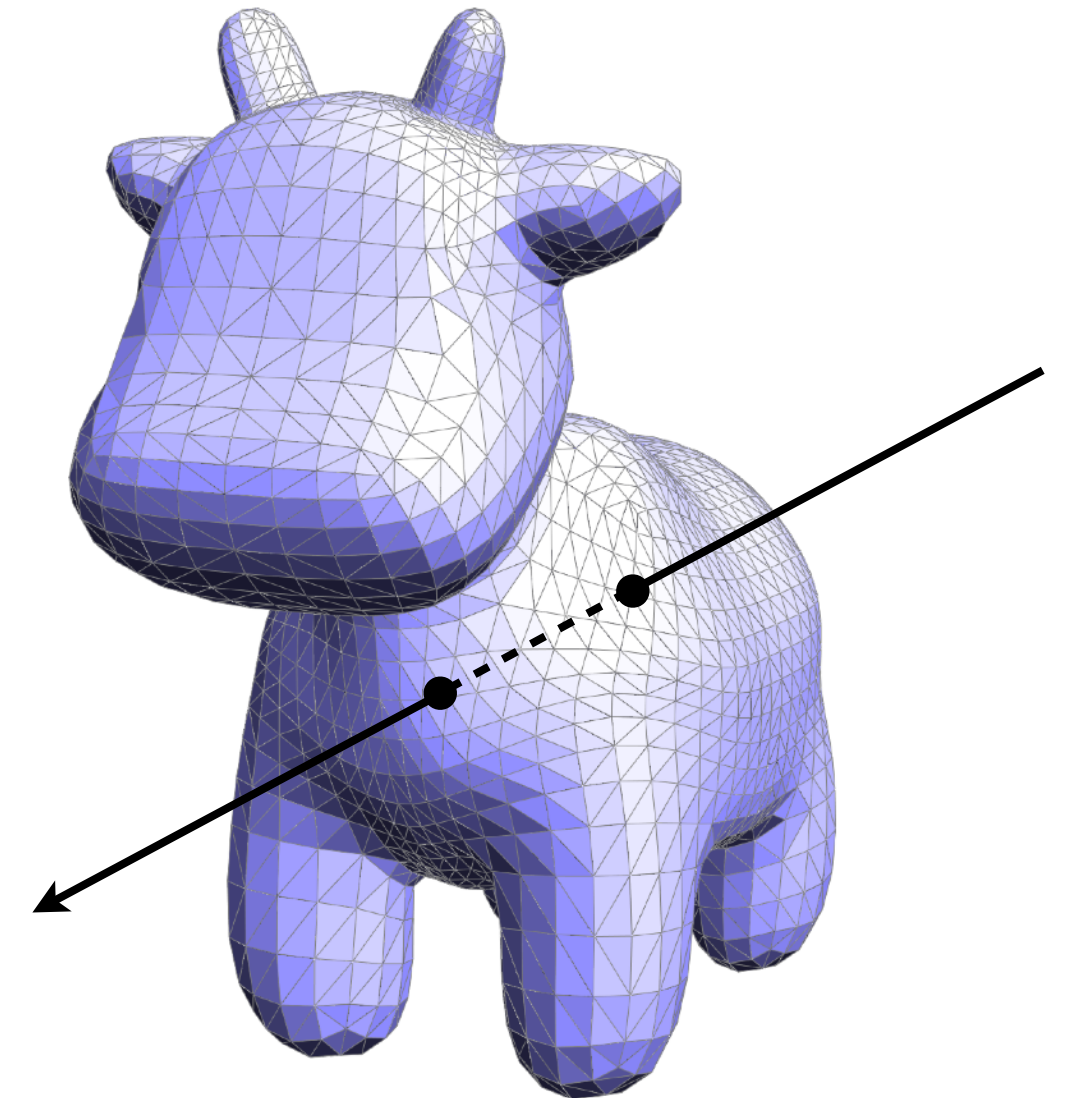
Why?

- **Rendering: visibility, shadows, lighting ...**
- **Geometry: inside/outside test**

How to compute?

Let's break this down:

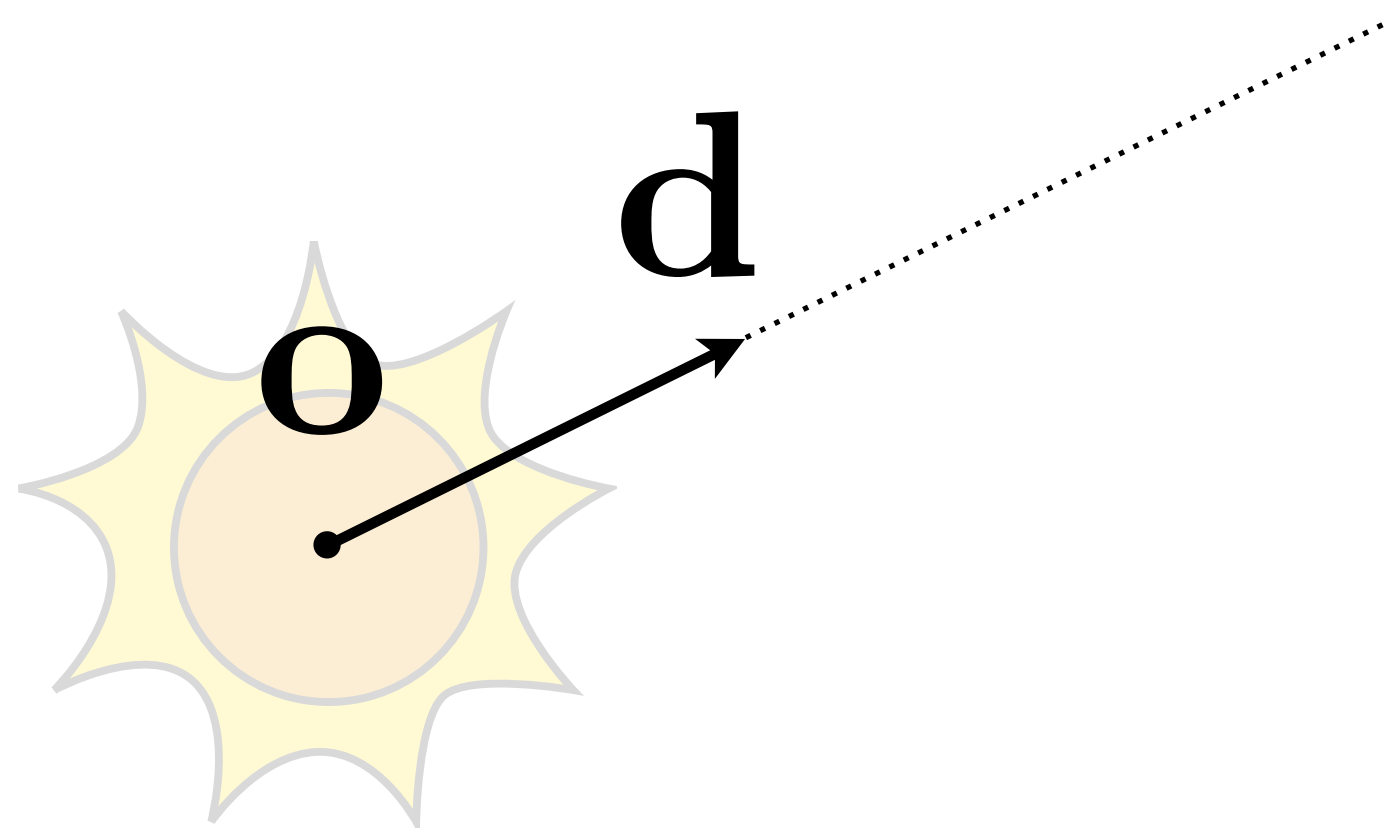
- **Simple idea: just intersect ray with each triangle**
- **Simple, but slow (study acceleration later)**
- **Note: can have 0, 1 or multiple intersections**



Ray Equation

Ray is defined by its origin and a direction vector

Example:



Ray equation:

$$\mathbf{r}(t) = \mathbf{o} + t\mathbf{d} \quad 0 \leq t < \infty$$

↑ ↑
point along ray "time"

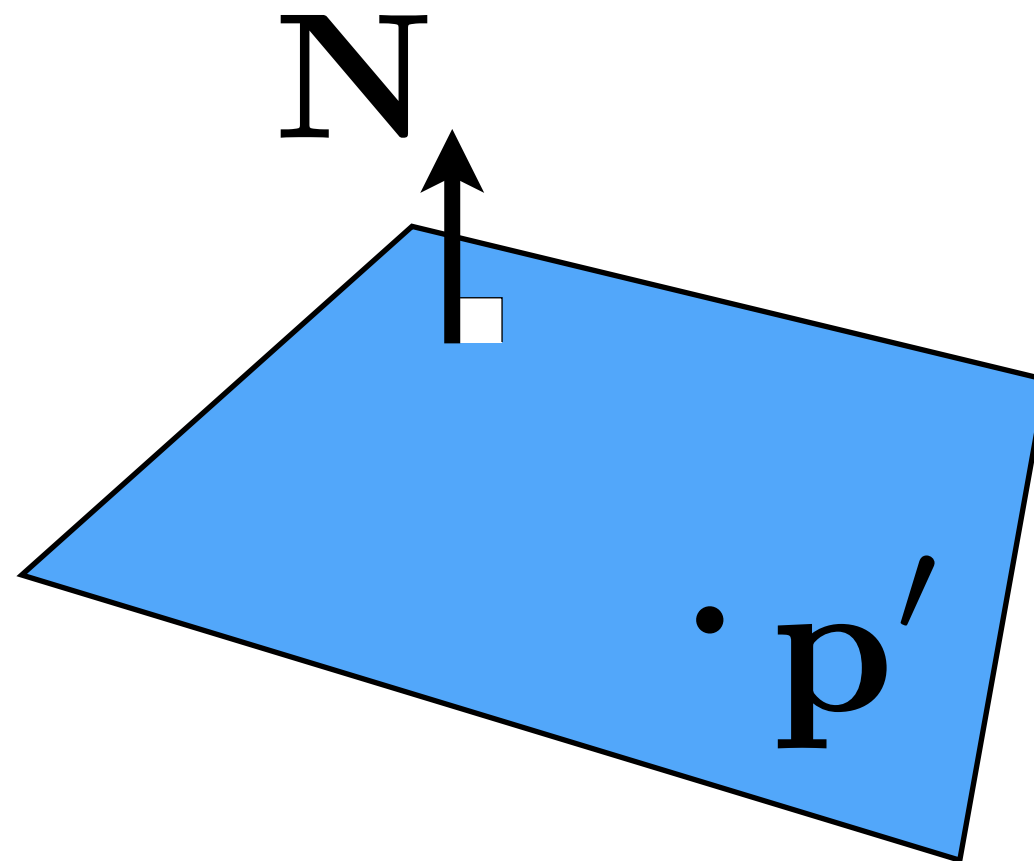
↑
origin

↑
unit direction

Plane Equation

Plane is defined by normal vector and a point on plane

Example:



Plane Equation:

$$\mathbf{p} : (\mathbf{p} - \mathbf{p}') \cdot \mathbf{N} = 0$$

$$ax + by + cz + d = 0$$

↑
all points on plane

↑
point on plane

↑
normal vector

Ray Intersection With Plane

Ray equation:

$$\mathbf{r}(t) = \mathbf{o} + t \mathbf{d}, \quad 0 \leq t < \infty$$

Plane equation:

$$\mathbf{p} : (\mathbf{p} - \mathbf{p}') \cdot \mathbf{N} = 0$$

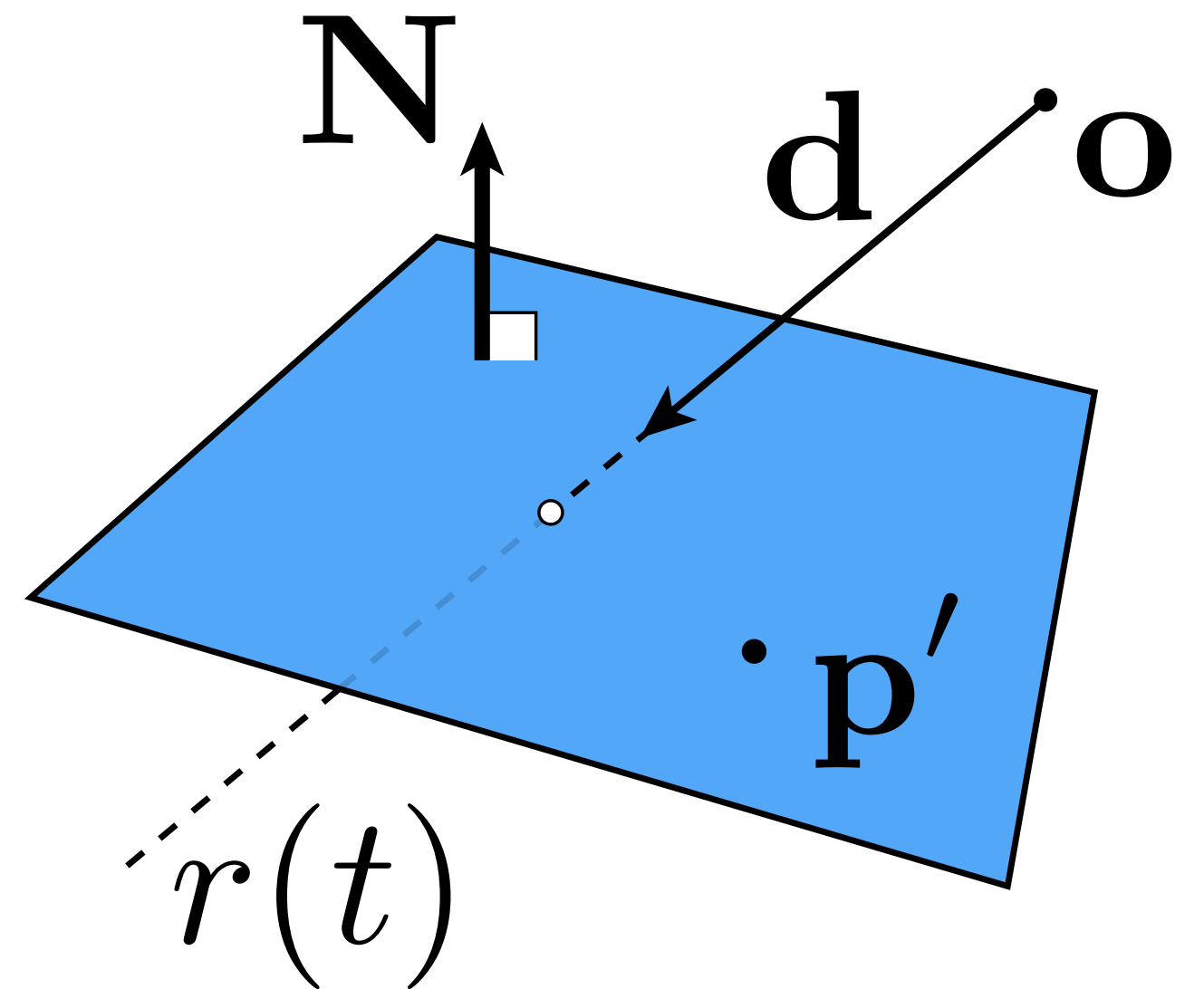
Solve for intersection

Set $\mathbf{p} = \mathbf{r}(t)$ and solve for t

$$(\mathbf{p} - \mathbf{p}') \cdot \mathbf{N} = (\mathbf{o} + t \mathbf{d} - \mathbf{p}') \cdot \mathbf{N} = 0$$

$$t = \frac{(\mathbf{p}' - \mathbf{o}) \cdot \mathbf{N}}{\mathbf{d} \cdot \mathbf{N}}$$

Check: $0 \leq t < \infty$

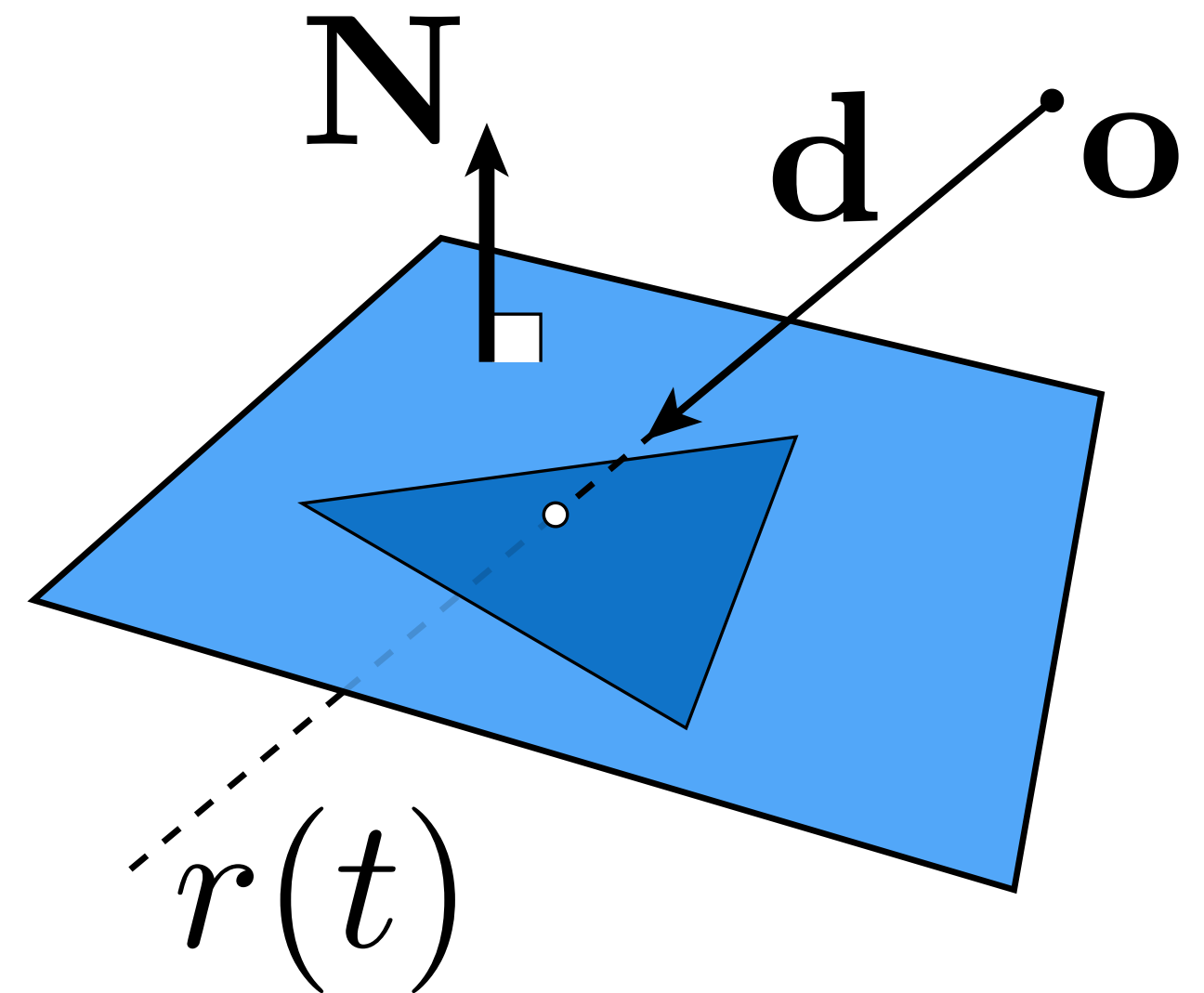


Ray Intersection With Triangle

Triangle is in a plane

- Ray-plane intersection
- Test if hit point is inside triangle (Assignment 1!)

Many ways to optimize...



Can Optimize: e.g. Möller Trumbore Algorithm

$$\vec{\mathbf{O}} + t\vec{\mathbf{D}} = (1 - b_1 - b_2)\vec{\mathbf{P}}_0 + b_1\vec{\mathbf{P}}_1 + b_2\vec{\mathbf{P}}_2$$

Where:

$$\begin{bmatrix} t \\ b_1 \\ b_2 \end{bmatrix} = \frac{1}{\vec{\mathbf{S}}_1 \cdot \vec{\mathbf{E}}_1} \begin{bmatrix} \vec{\mathbf{S}}_2 \cdot \vec{\mathbf{E}}_2 \\ \vec{\mathbf{S}}_1 \cdot \vec{\mathbf{S}} \\ \vec{\mathbf{S}}_2 \cdot \vec{\mathbf{D}} \end{bmatrix}$$

$$\vec{\mathbf{E}}_1 = \vec{\mathbf{P}}_1 - \vec{\mathbf{P}}_0$$

$$\vec{\mathbf{E}}_2 = \vec{\mathbf{P}}_2 - \vec{\mathbf{P}}_0$$

$$\vec{\mathbf{S}} = \vec{\mathbf{O}} - \vec{\mathbf{P}}_0$$

$$\vec{\mathbf{S}}_1 = \vec{\mathbf{D}} \times \vec{\mathbf{E}}_2$$

$$\vec{\mathbf{S}}_2 = \vec{\mathbf{S}} \times \vec{\mathbf{E}}_1$$

Cost = (1 div, 27 mul, 17 add)

Ray Intersection With Sphere

Ray: $\mathbf{r}(t) = \mathbf{o} + t \mathbf{d}$, $0 \leq t < \infty$

Sphere: $\mathbf{p} : (\mathbf{p} - \mathbf{c})^2 - R^2 = 0$

Solve for intersection:

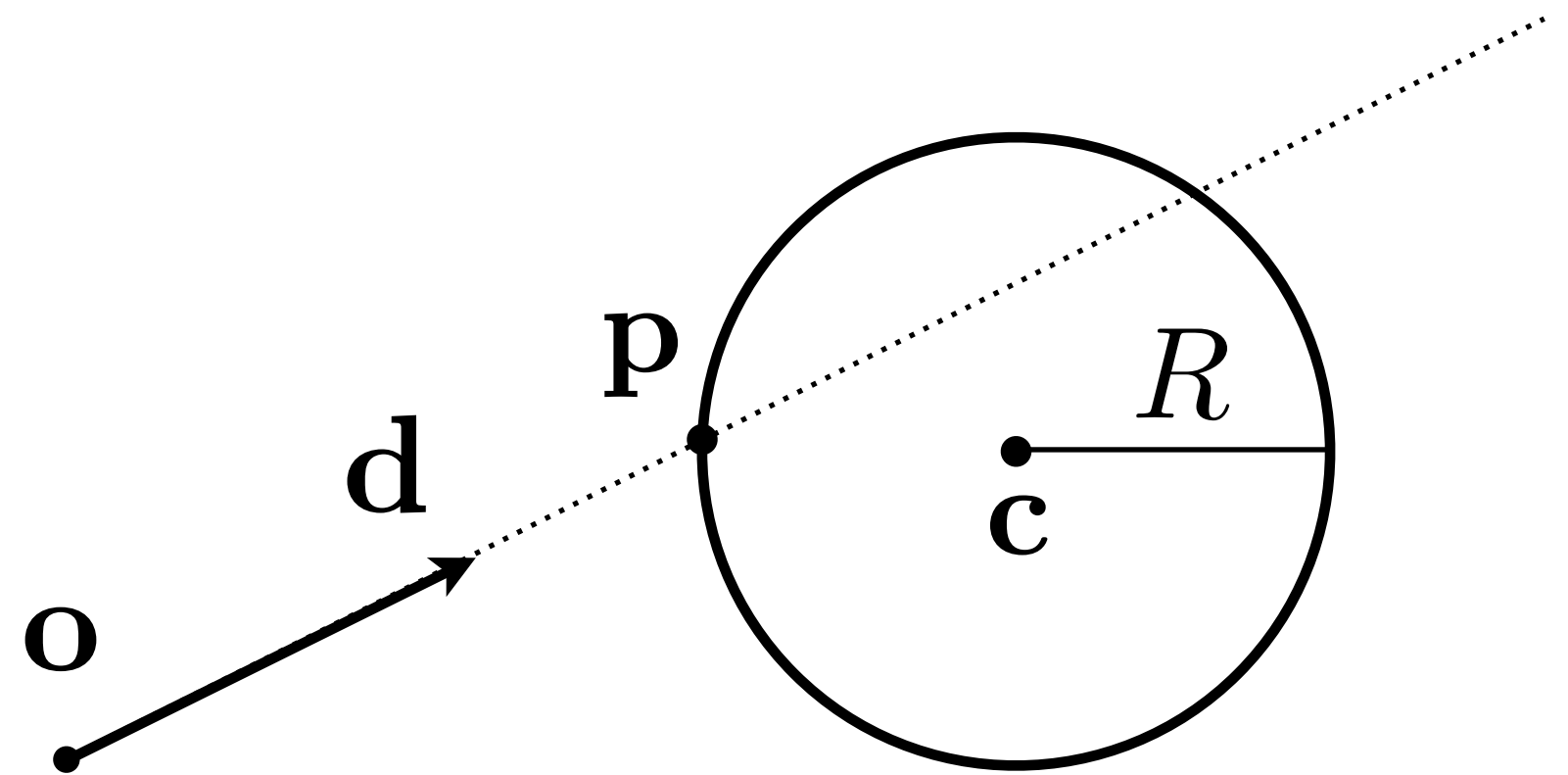
$$(\mathbf{o} + t \mathbf{d} - \mathbf{c})^2 - R^2 = 0$$

$$a t^2 + b t + c = 0, \text{ where}$$

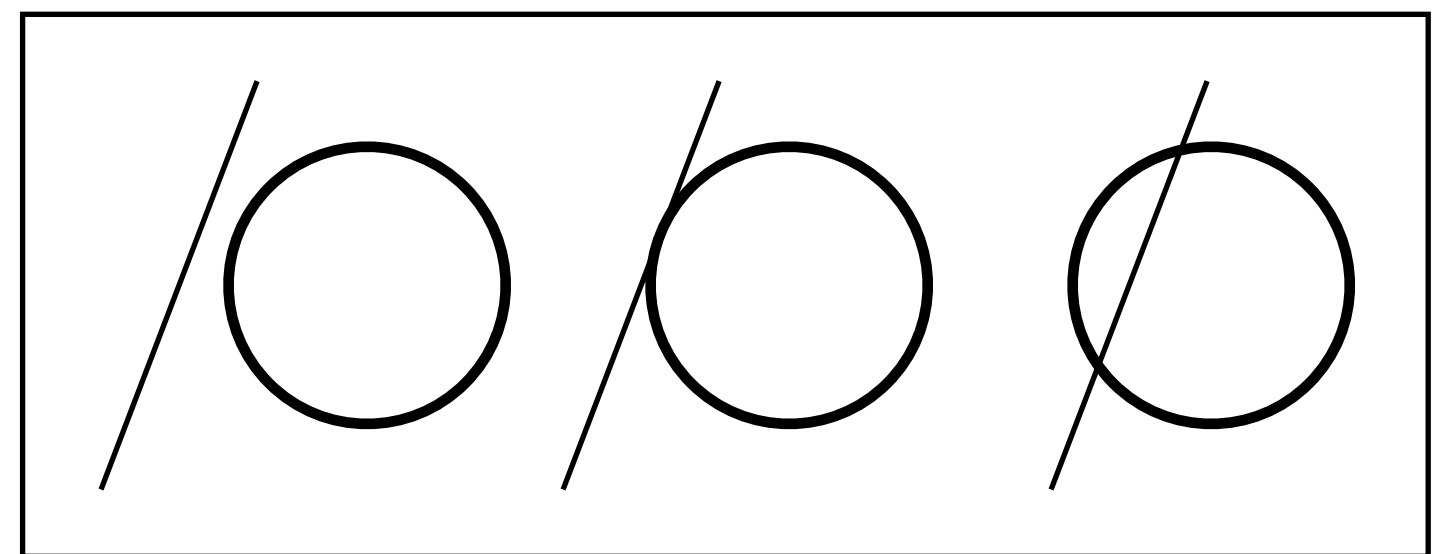
$$a = \mathbf{d} \cdot \mathbf{d}$$

$$b = 2(\mathbf{o} - \mathbf{c}) \cdot \mathbf{d}$$

$$c = (\mathbf{o} - \mathbf{c}) \cdot (\mathbf{o} - \mathbf{c}) - R^2$$



$$t = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$



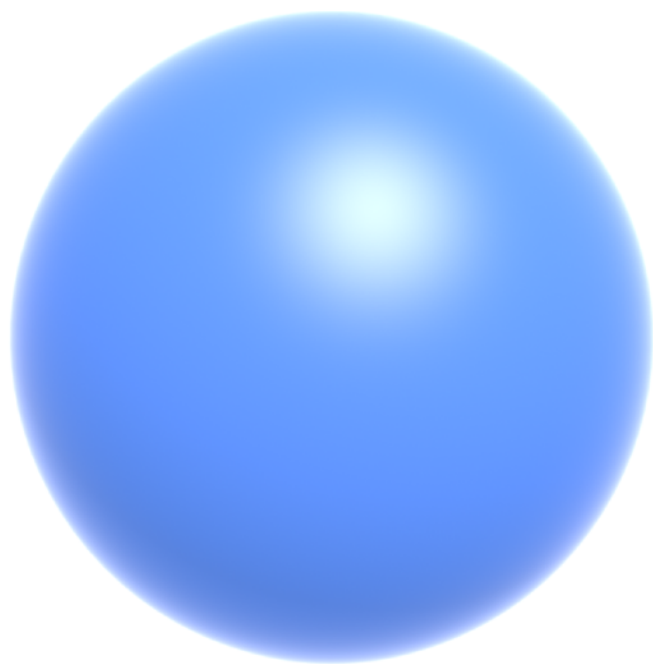
Ray Intersection With Implicit Surface

Ray: $\mathbf{r}(t) = \mathbf{o} + t \mathbf{d}$, $0 \leq t < \infty$

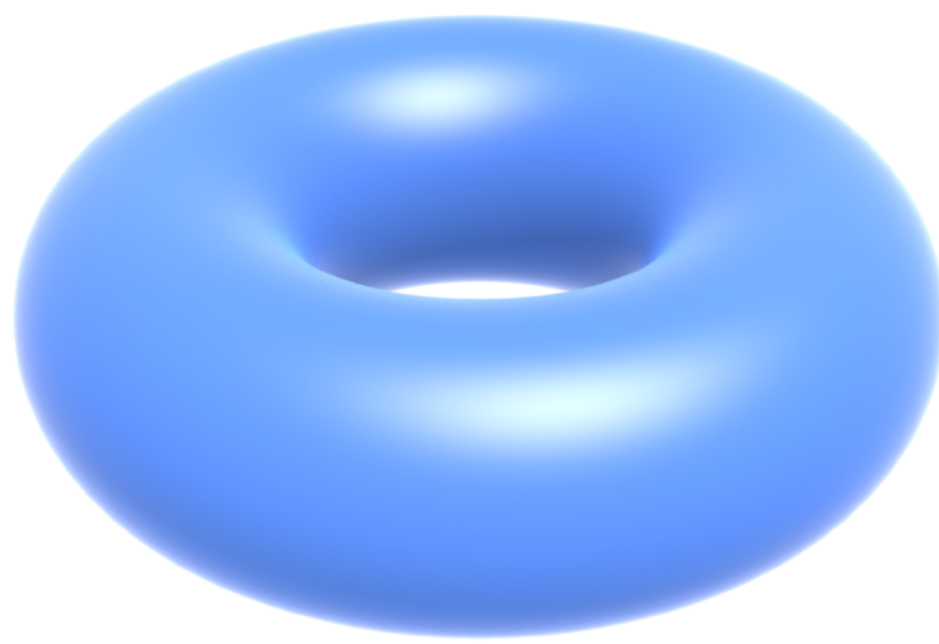
General implicit surface: $\mathbf{p} : f(\mathbf{p}) = 0$

Substitute ray equation: $f(\mathbf{o} + t \mathbf{d}) = 0$

Solve for real, positive roots



$$x^2 + y^2 + z^2 = 1$$



$$(R - \sqrt{x^2 + y^2})^2 + z^2 = r^2$$



$$\left(x^2 + \frac{9y^2}{4} + z^2 - 1\right)^3 = x^2 z^3 + \frac{9y^2 z^3}{80}$$

Accelerating Ray-Surface Intersection

Ray Tracing – Performance Challenges

Simple ray-scene intersection

- Exhaustively test ray-intersection with every object

Problem:

- Exhaustive algorithm = $\#pixels \times \#objects$
- Very slow!

Ray Tracing – Performance Challenges



Jun Yan, Tracy Renderer

San Miguel Scene, 10.7M triangles

Ray Tracing – Performance Challenges



Deussen et al; Pharr & Humphreys, PBRT

Plant Ecosystem, 20M triangles

Bounding Volumes

Bounding Volumes

Quick way to avoid intersections: bound complex object with a simple volume

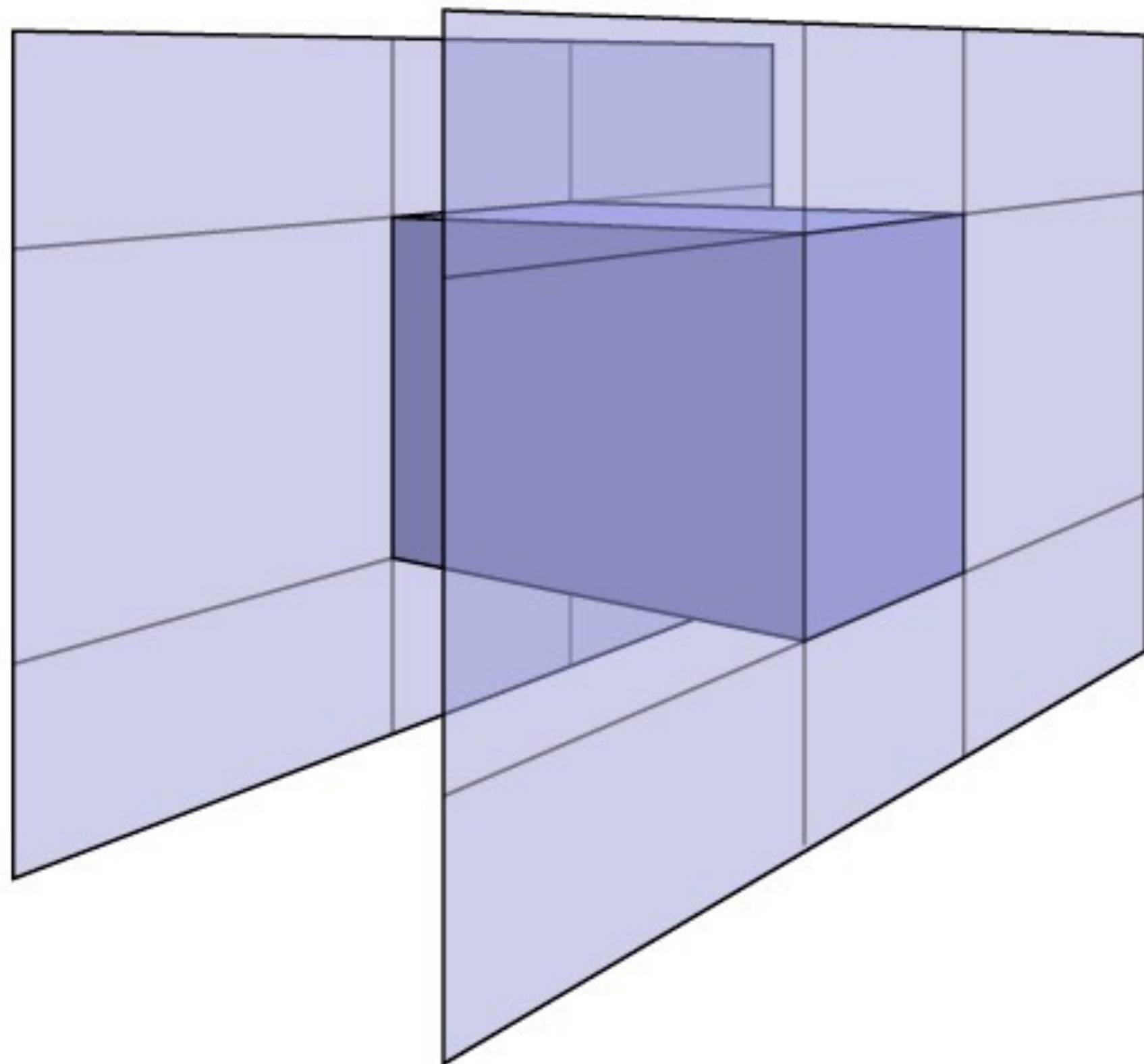
- Object is fully contained in the volume
- If it doesn't hit the volume, it doesn't hit the object
- So test bvol first, then test object if it hits



Ray-Intersection With Box

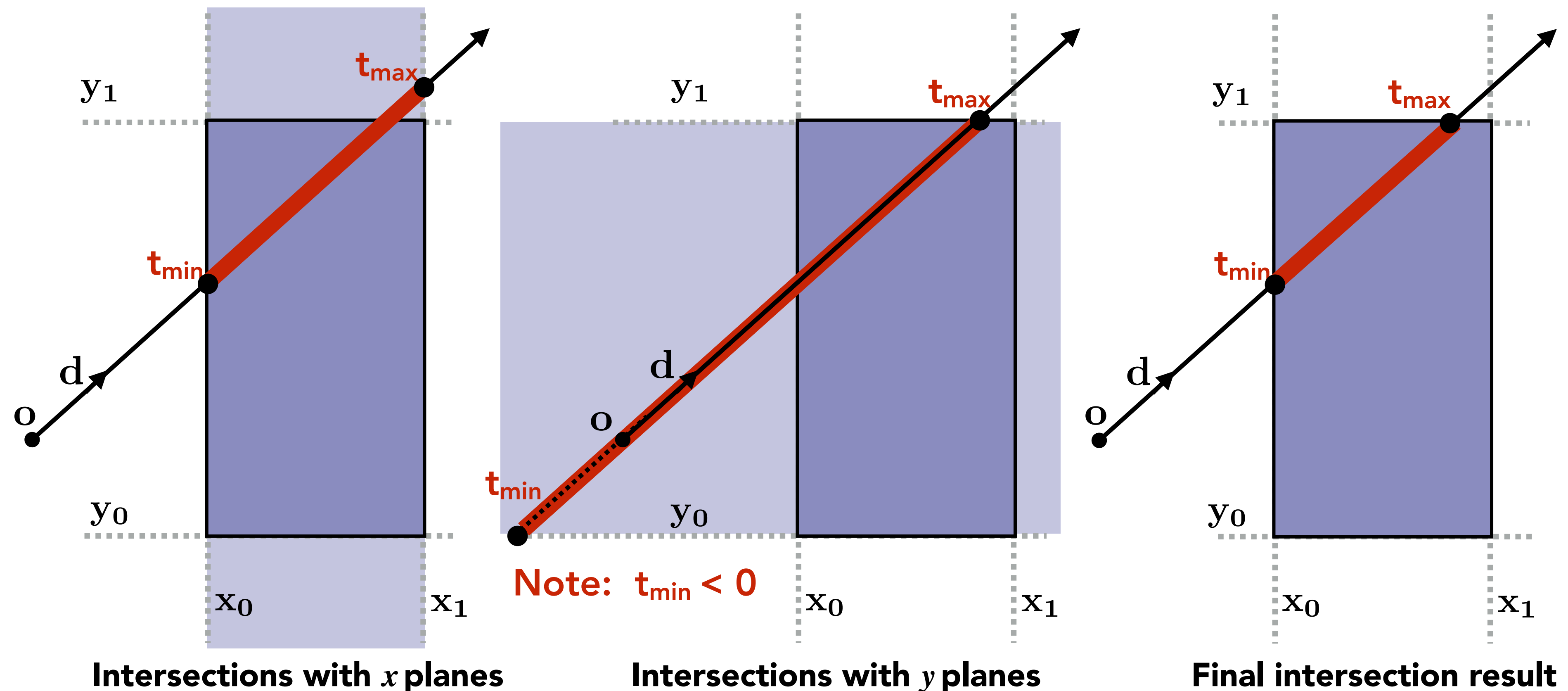
Could intersect with 6 faces individually

Better way: box is the intersection of 3 slabs



Ray Intersection with Axis-Aligned Box

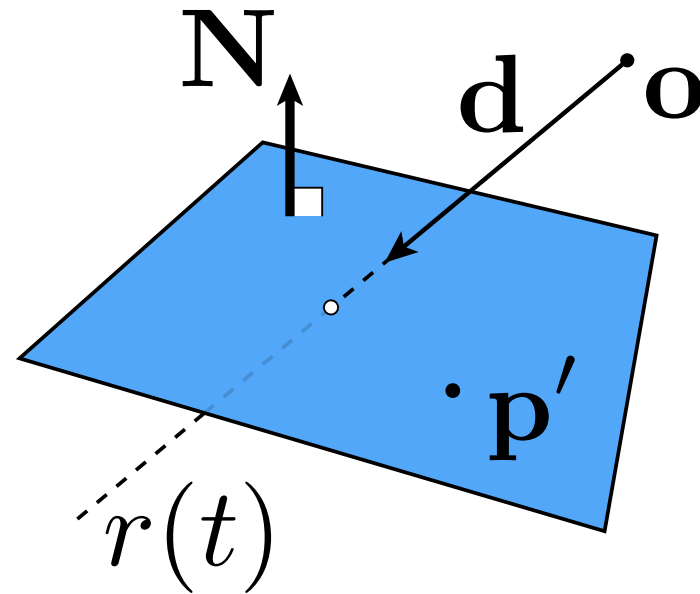
2D example; 3D is the same! Compute intersections with slabs and take intersection of t_{\min}/t_{\max} intervals



How do we know when the ray misses the box?

Optimize Ray-Plane Intersection For Axis-Aligned Planes?

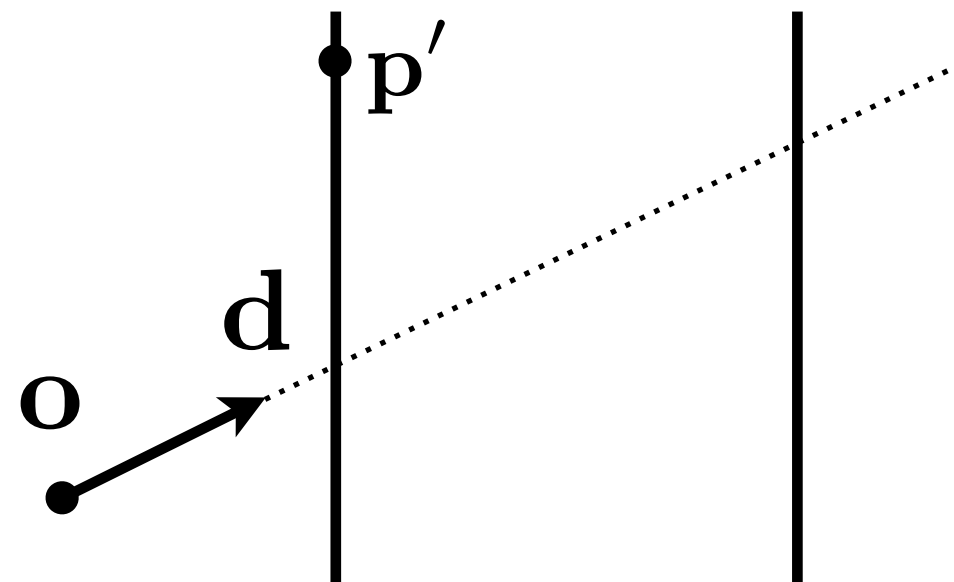
General



$$t = \frac{(\mathbf{p}' - \mathbf{o}) \cdot \mathbf{N}}{\mathbf{d} \cdot \mathbf{N}}$$

3 subtractions, 6 multiplies, 1 division

**Perpendicular
to x-axis**

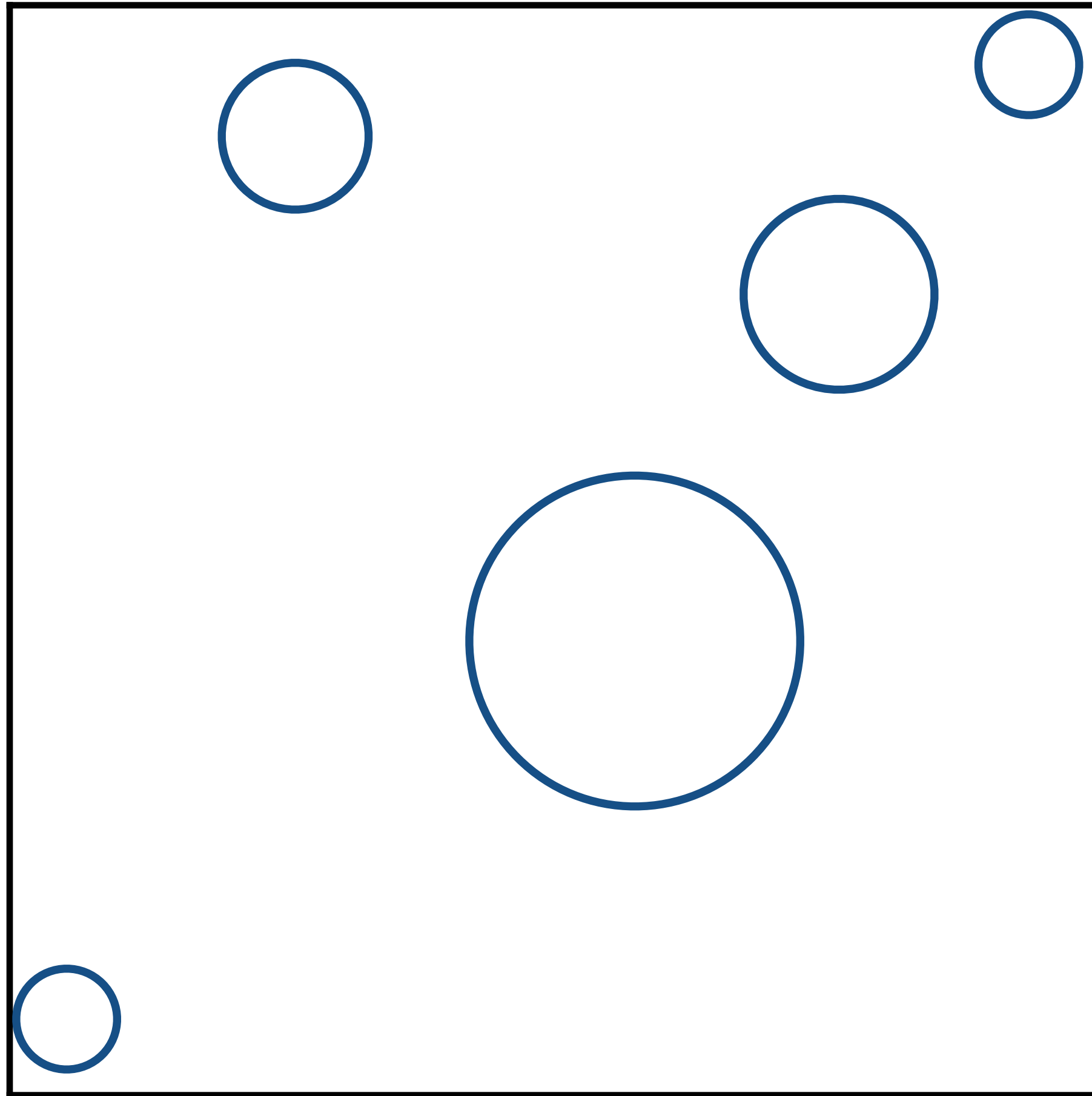


$$t = \frac{p'_x - o_x}{d_x}$$

1 subtraction, 1 division

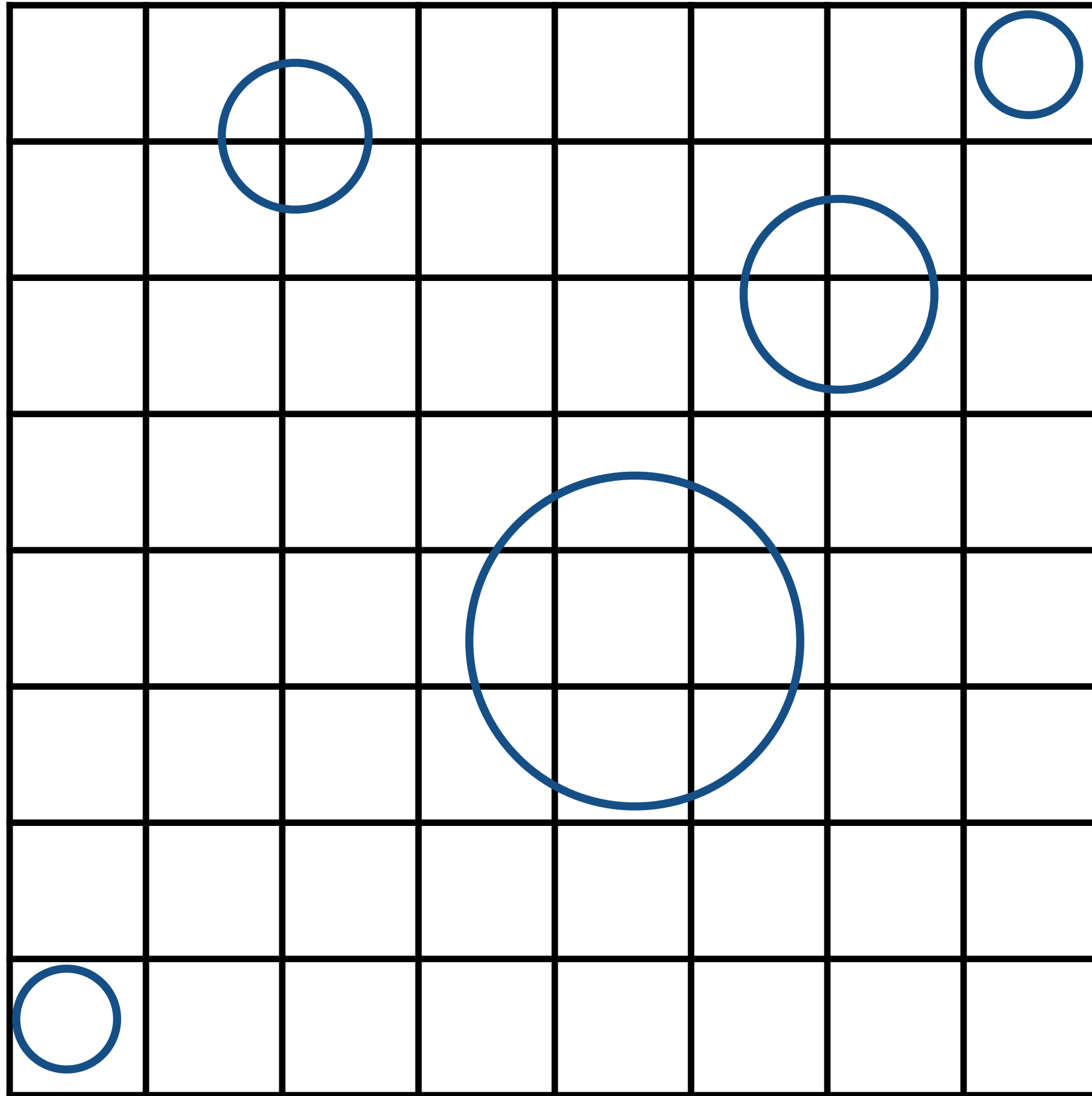
Uniform Spatial Partitions (Grids)

Preprocess – Build Acceleration Grid



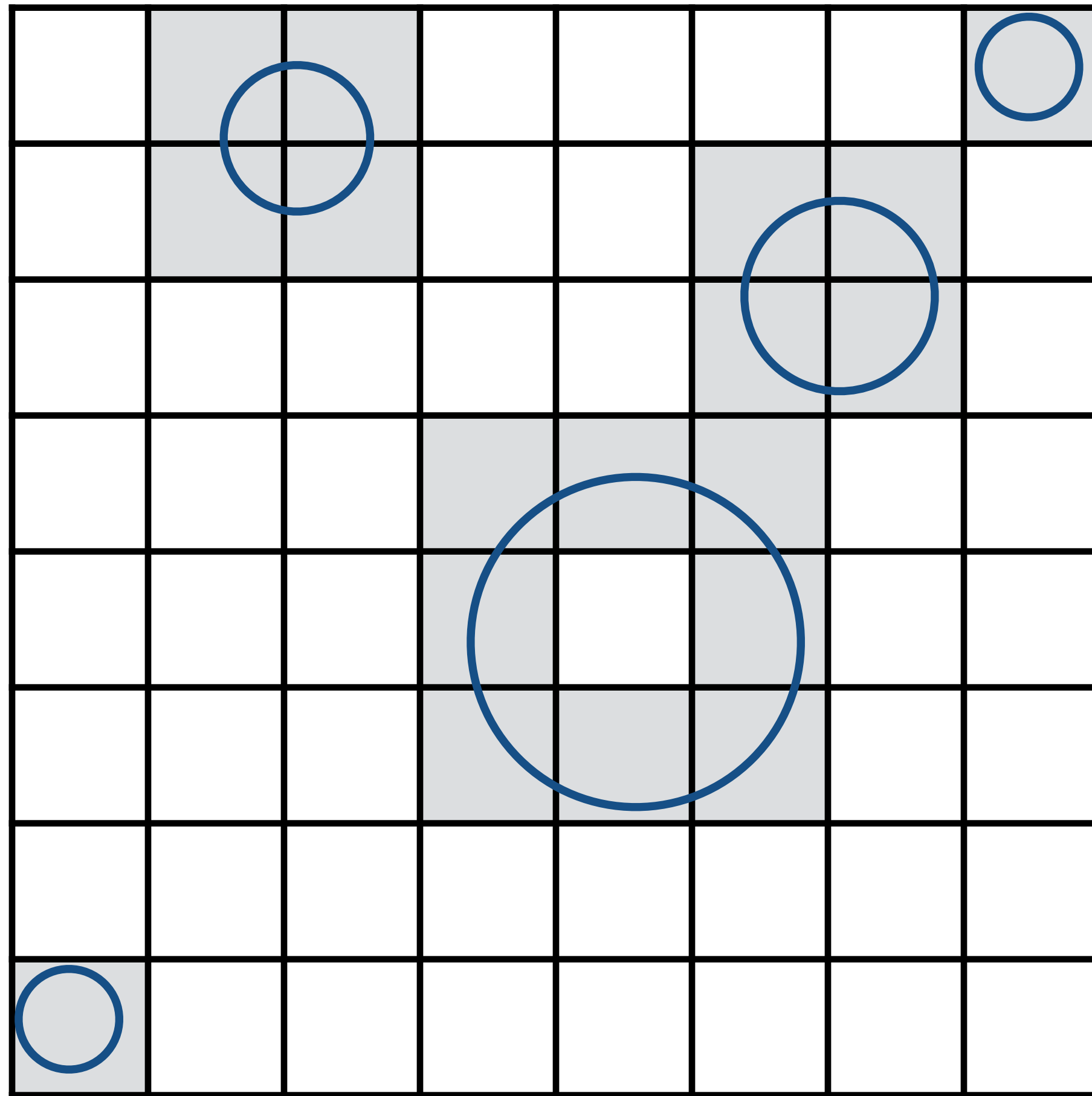
1. Find bounding box

Preprocess – Build Acceleration Grid



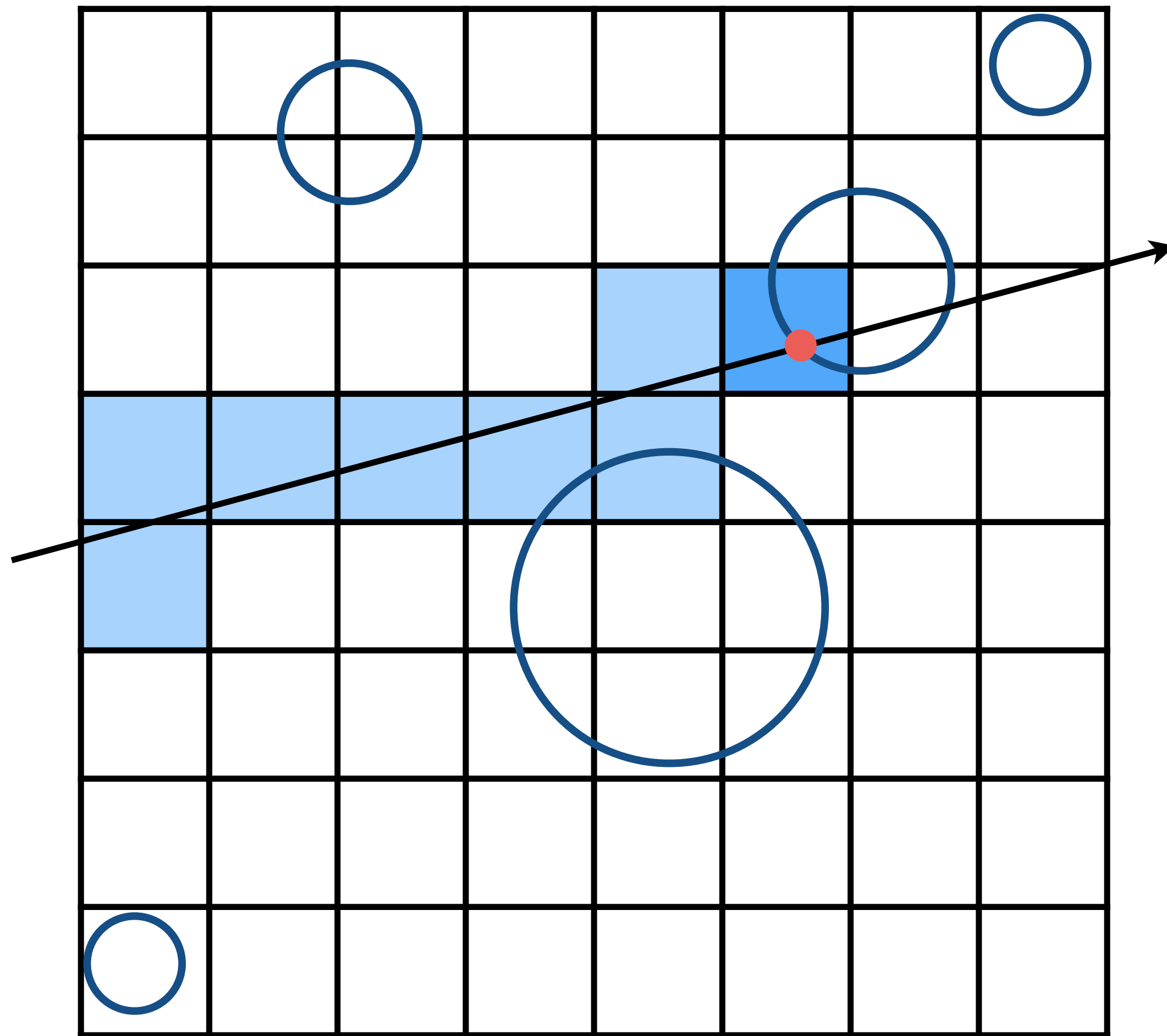
1. Find bounding box
2. Create grid

Preprocess – Build Acceleration Grid



1. Find bounding box
2. Create grid
3. Store each object in overlapping cells

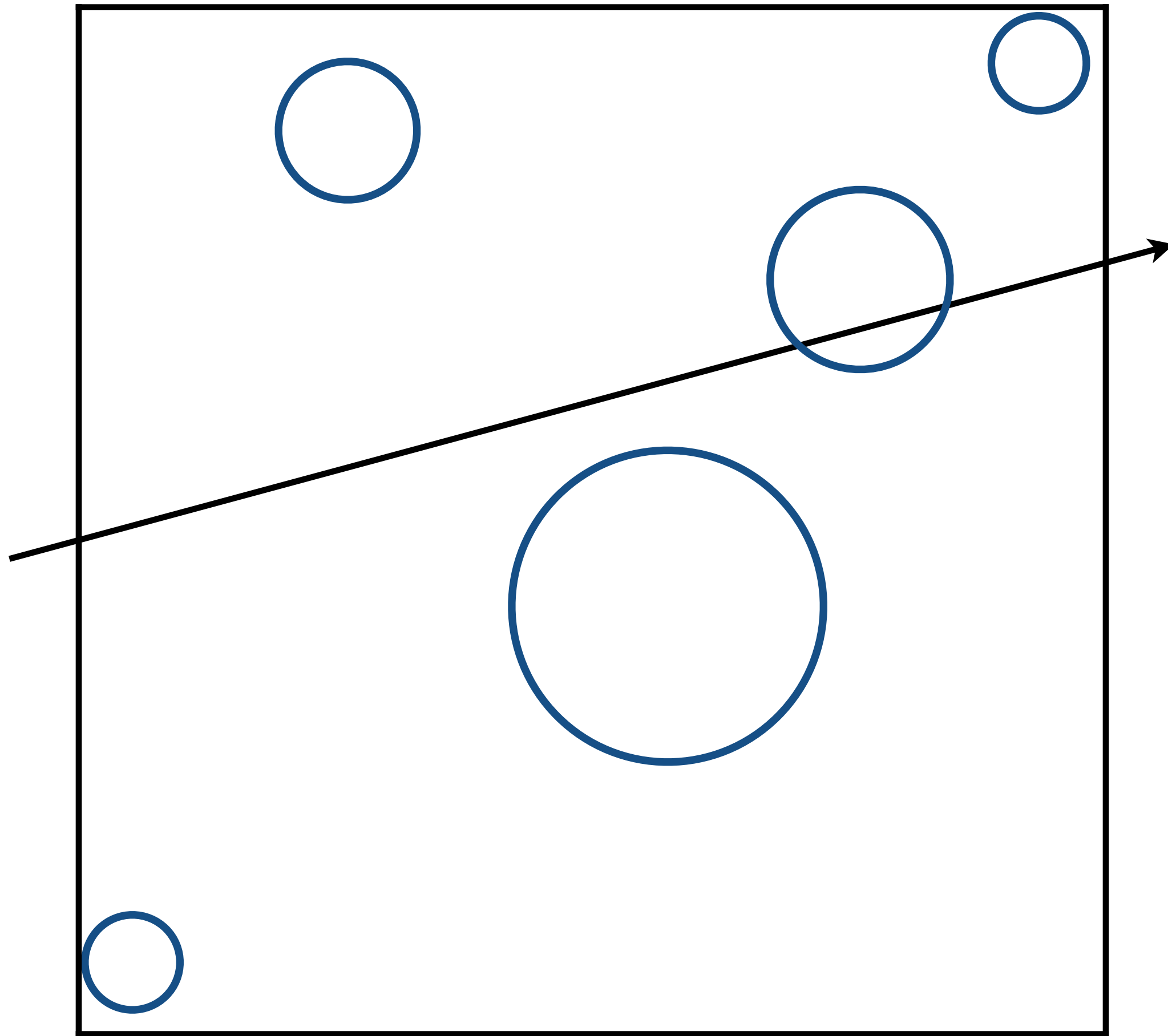
Ray-Scene Intersection



Step through grid in ray traversal order (3D line - 3D DDA)

For each grid cell
Test intersection with all objects stored at that cell

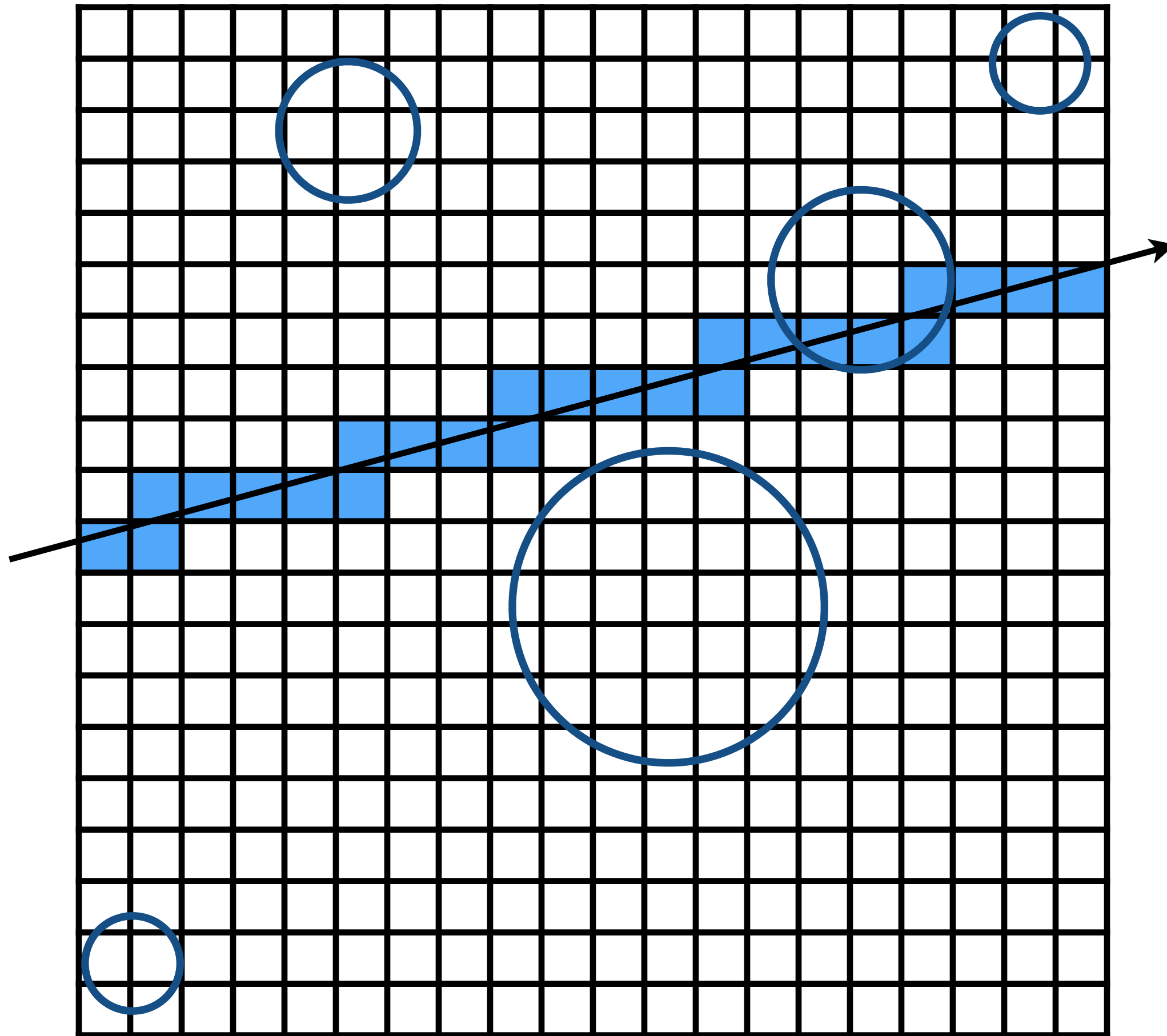
Grid Resolution?



One cell

- No speedup

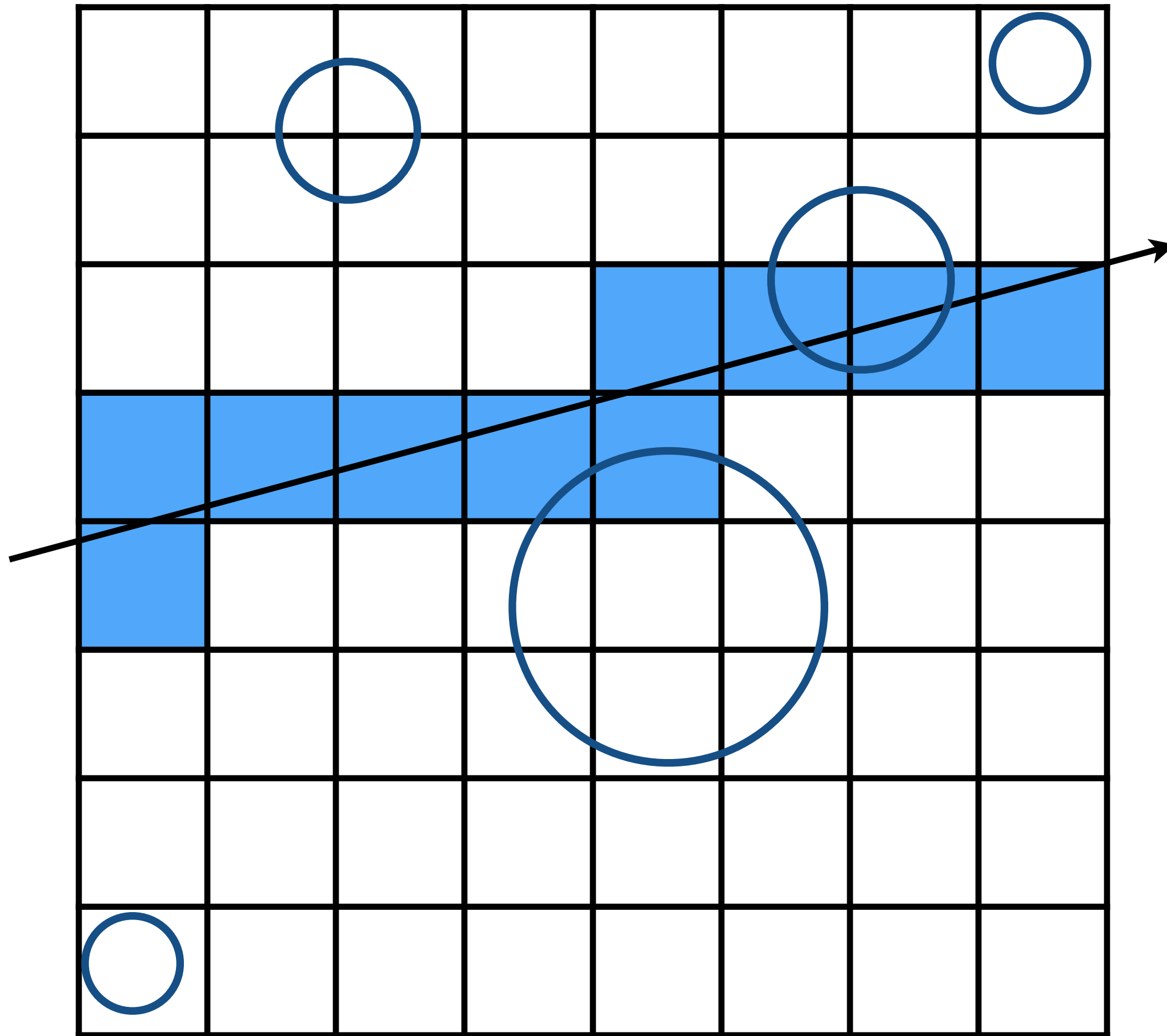
Grid Resolution?



Too many cells

- Inefficiency due to extraneous grid traversal

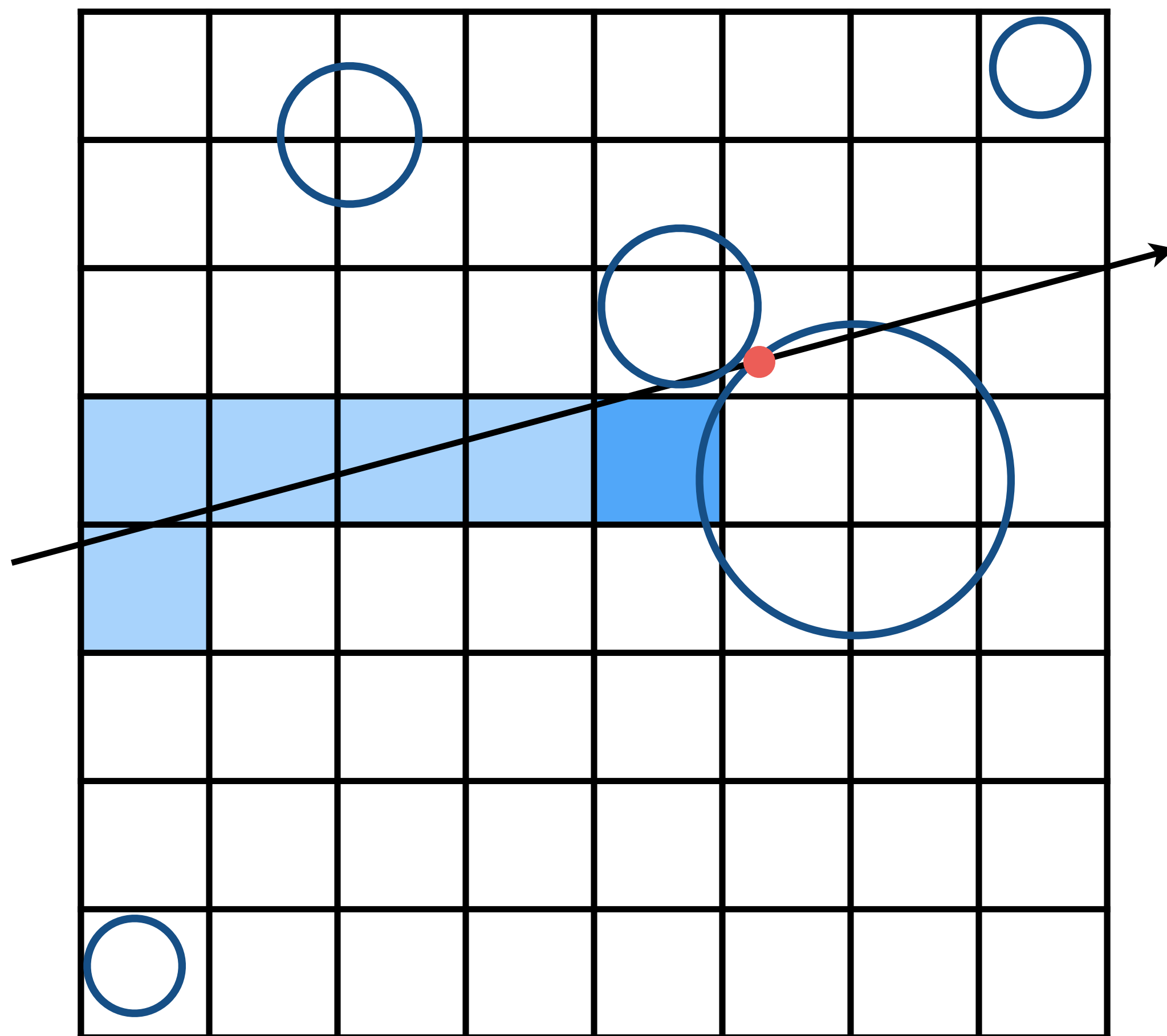
Grid Resolution?



Heuristic:

- $\#cells = C * \#objs$
- $C \approx 27$ in 3D

Careful! Objects Overlapping Multiple Cells



What goes wrong here?

- First intersection found (red) is not the nearest!

Solution?

- Check intersection point is inside cell

Optimize

- Cache intersection to avoid re-testing (mailboxing)

Uniform Grids – When They Work Well



Deussen et al; Pharr & Humphreys, PBRT

**Grids work well on large collections of objects
that are distributed evenly in size and space**

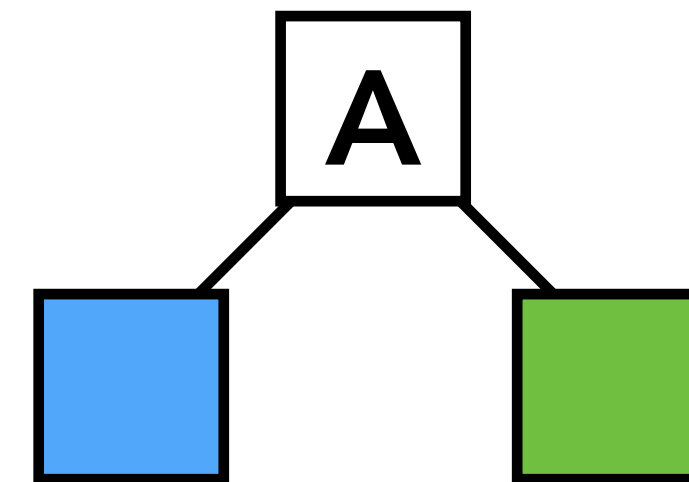
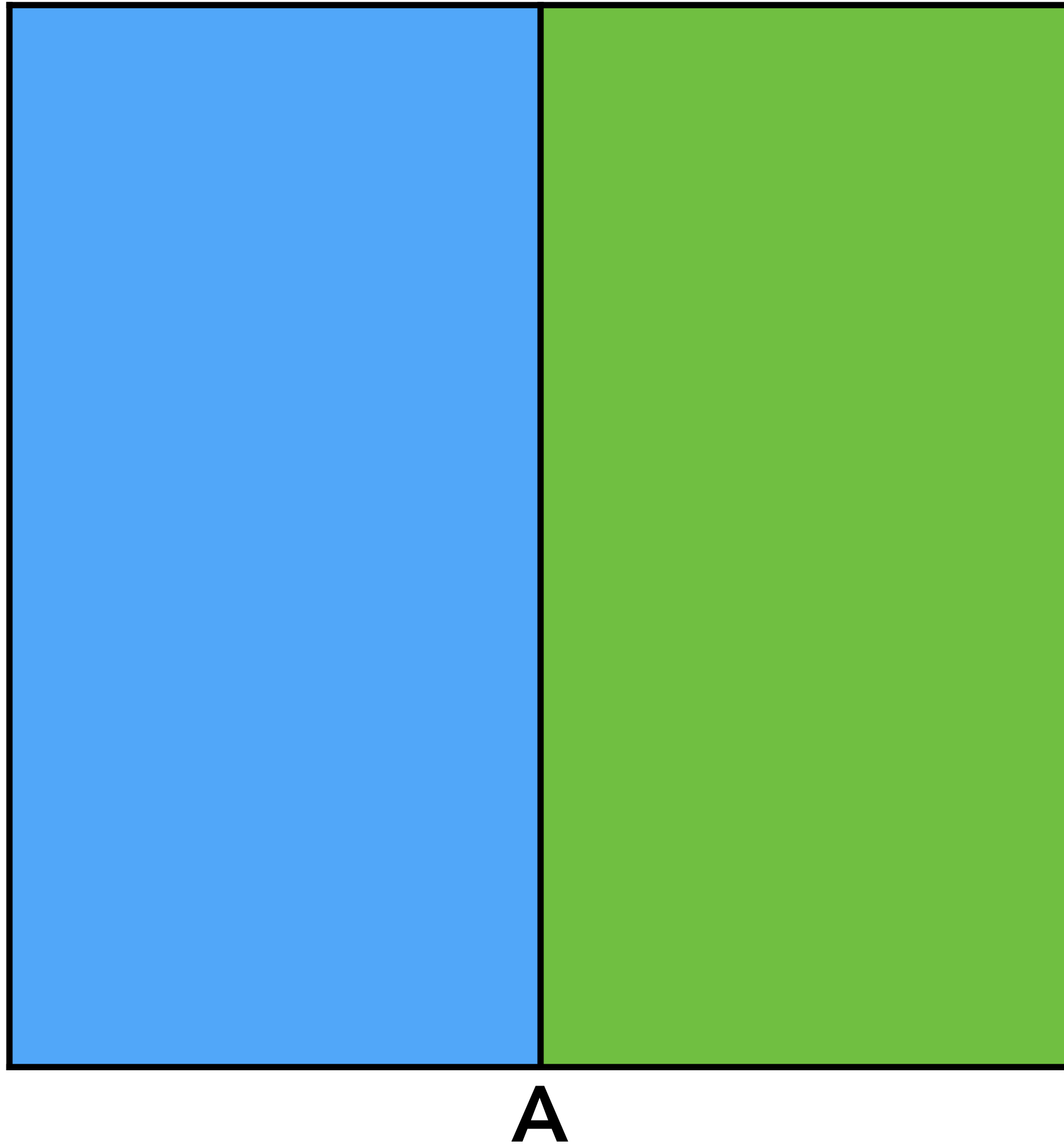
Uniform Grids – When They Fail



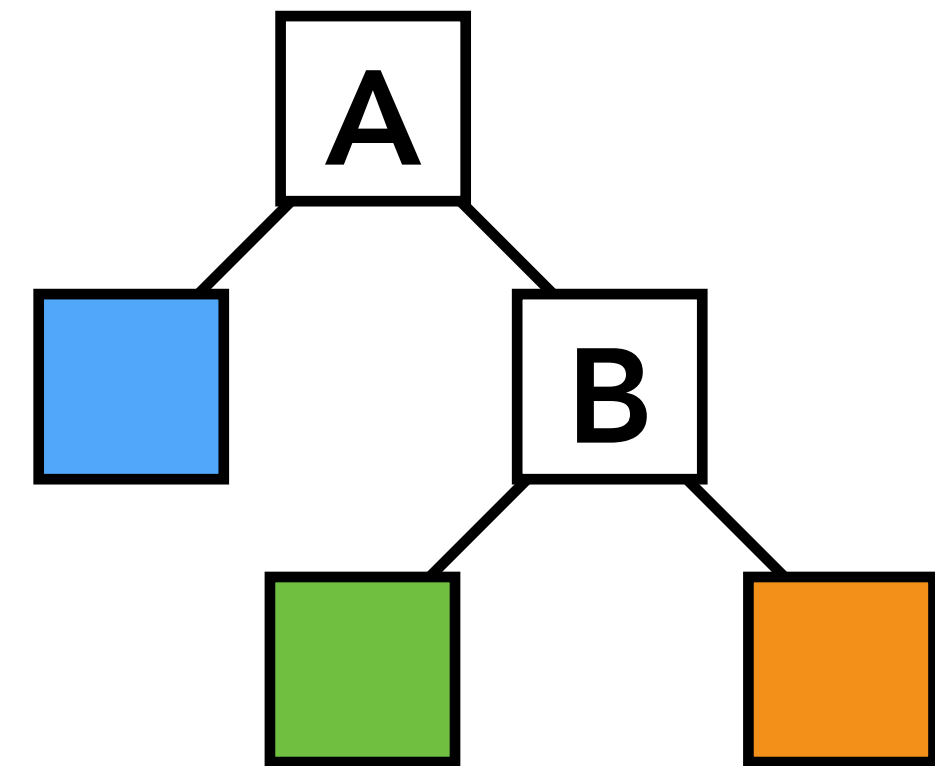
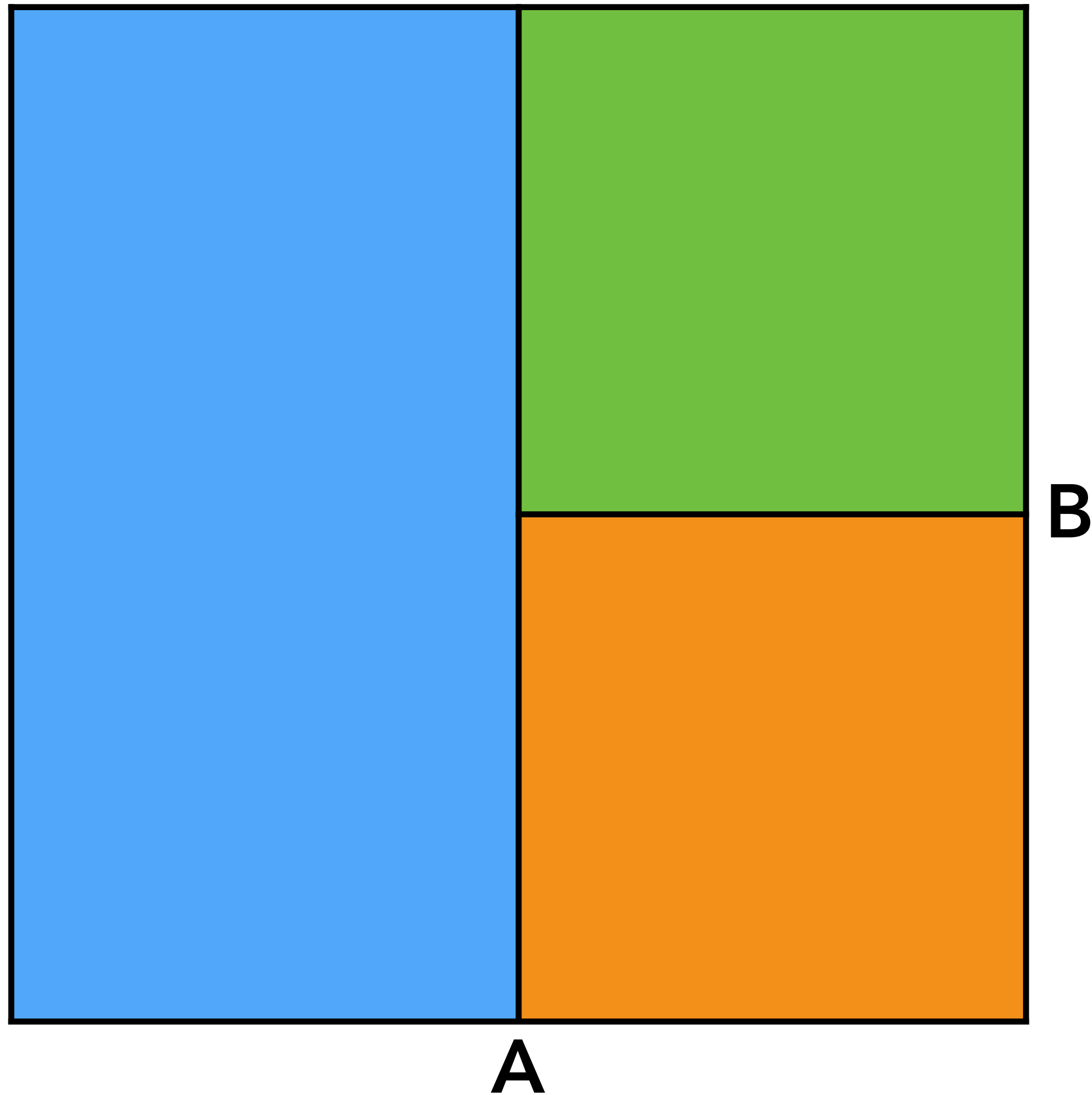
“Teapot in a stadium” problem

Non-Uniform Spatial Partitions: Spatial Hierarchies

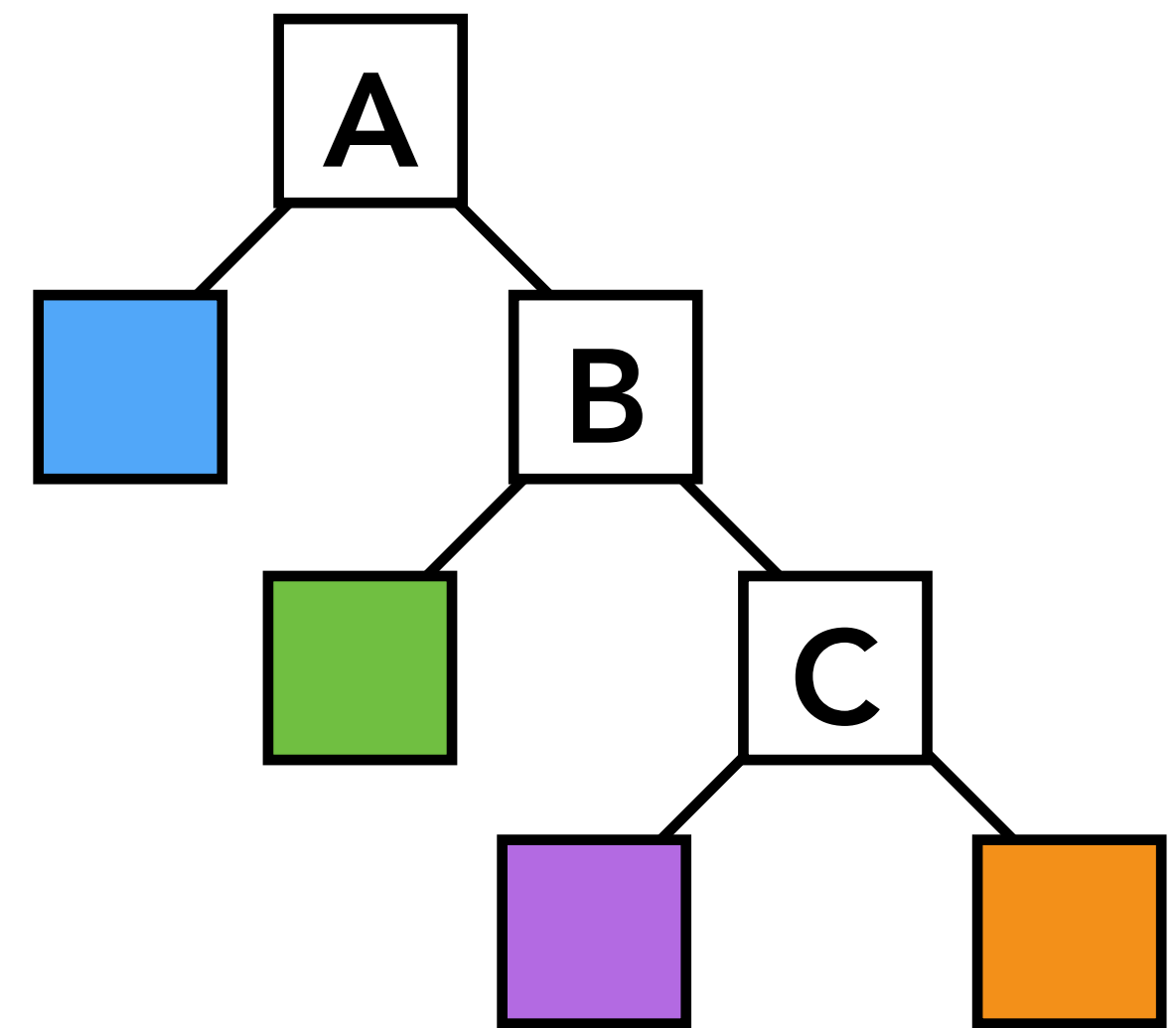
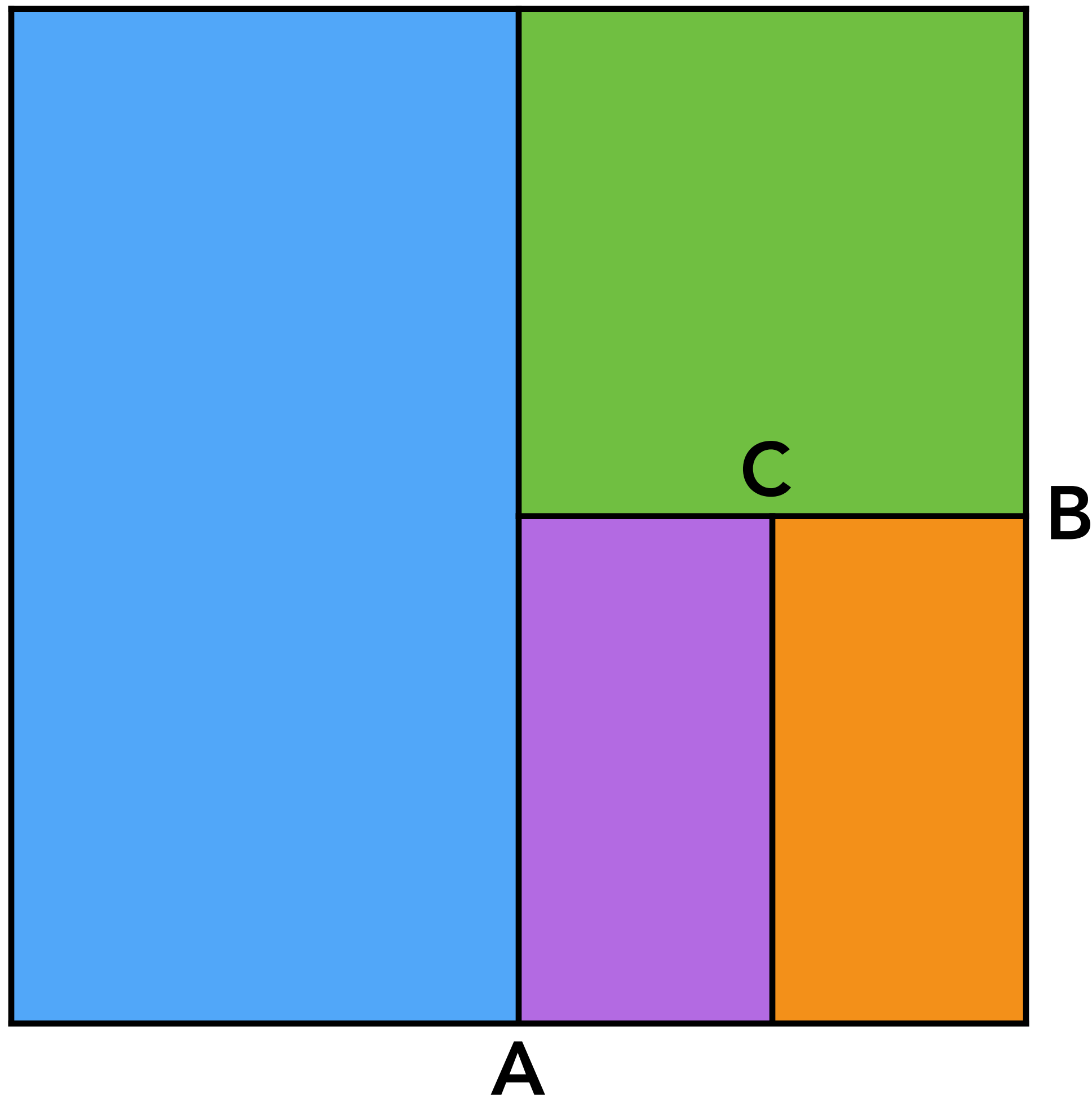
Spatial Hierarchies



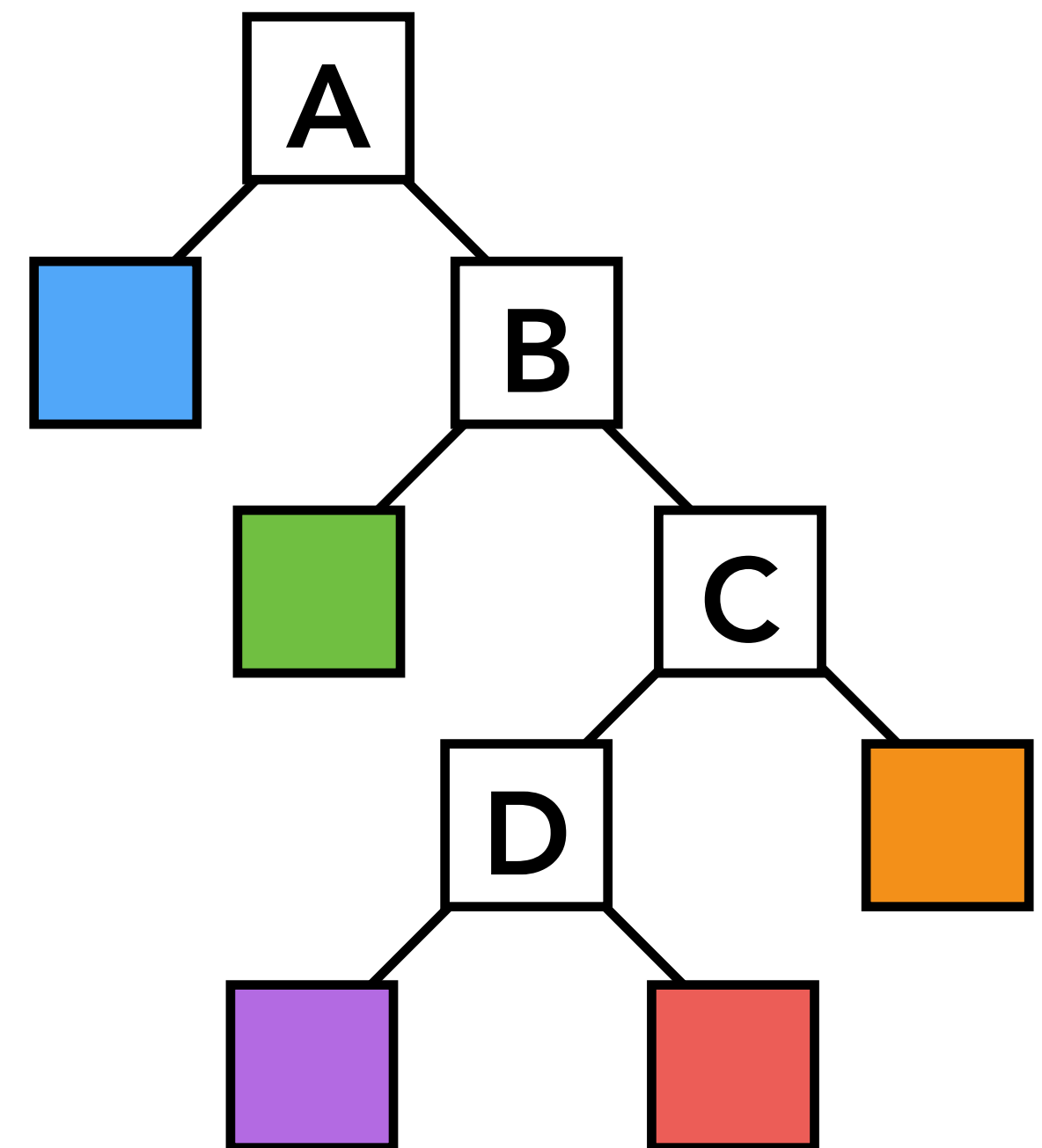
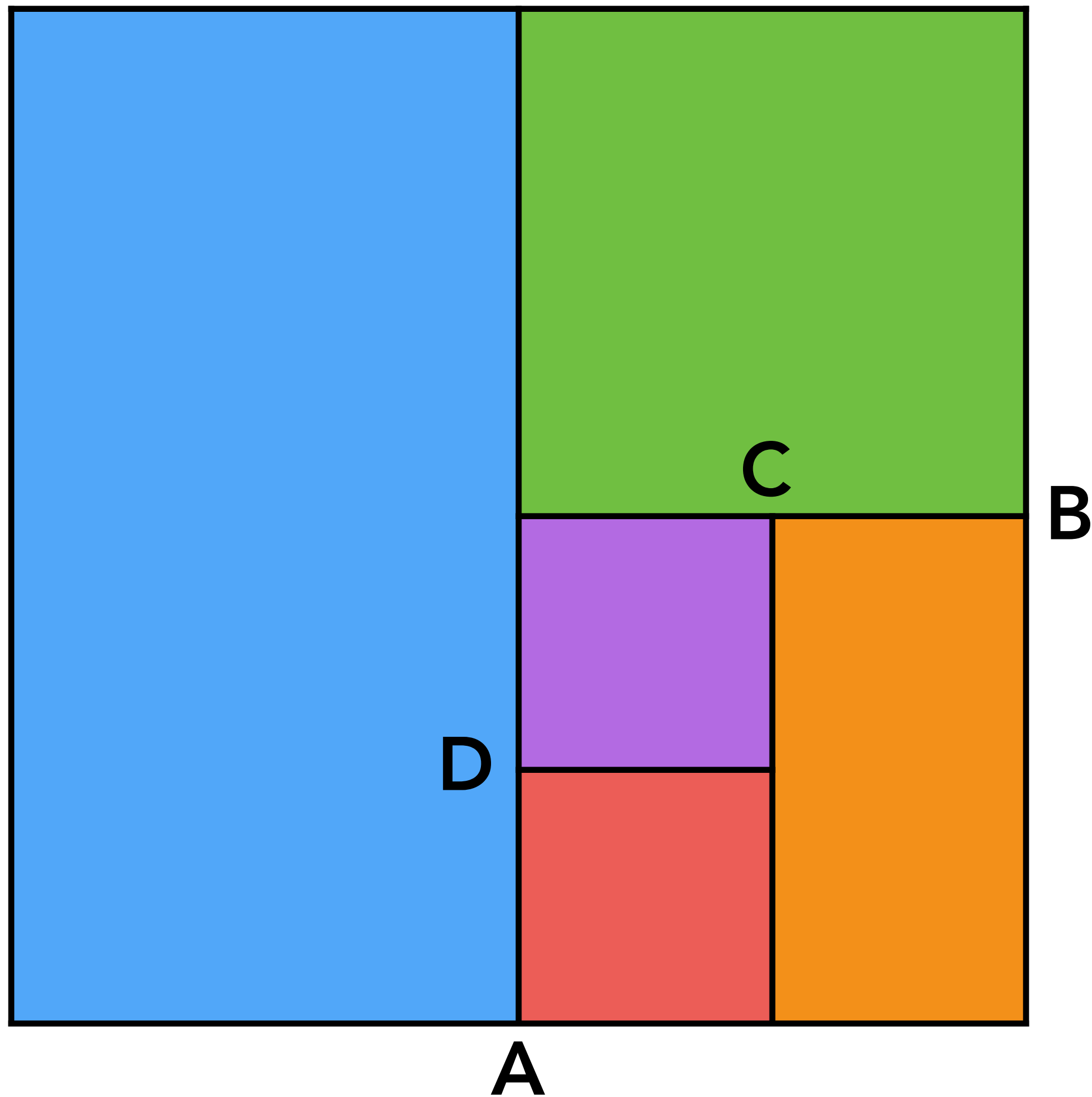
Spatial Hierarchies



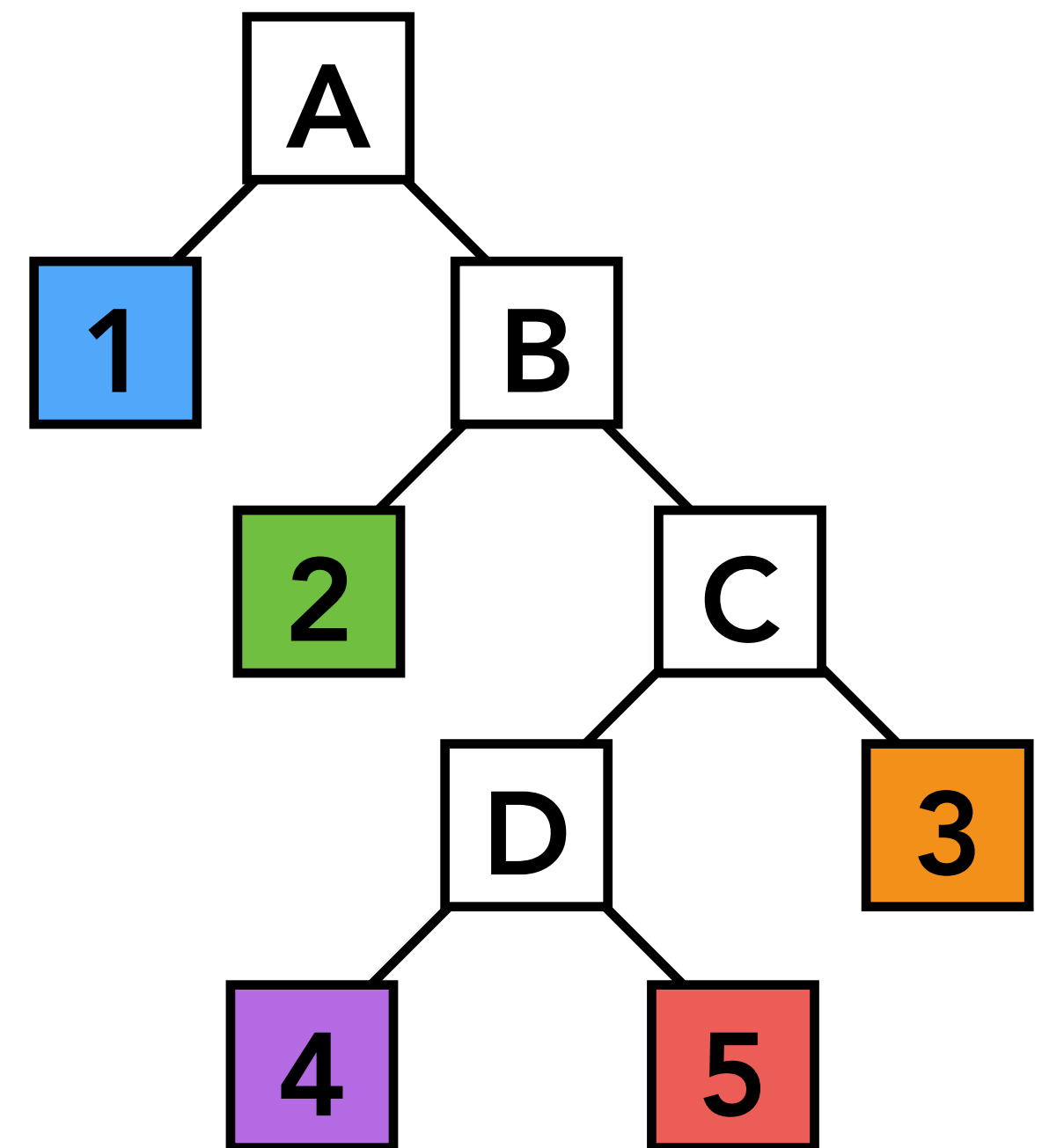
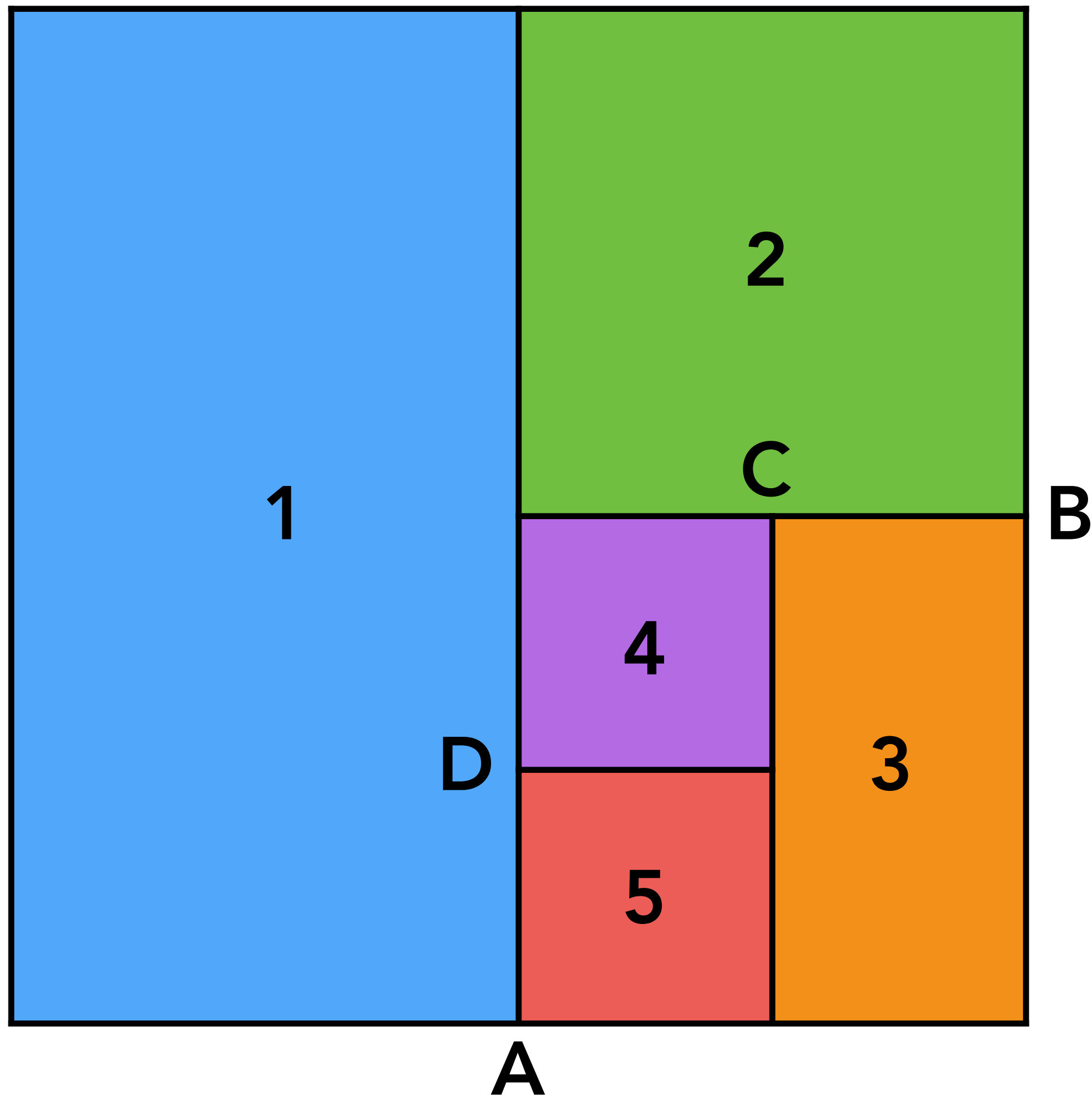
Spatial Hierarchies



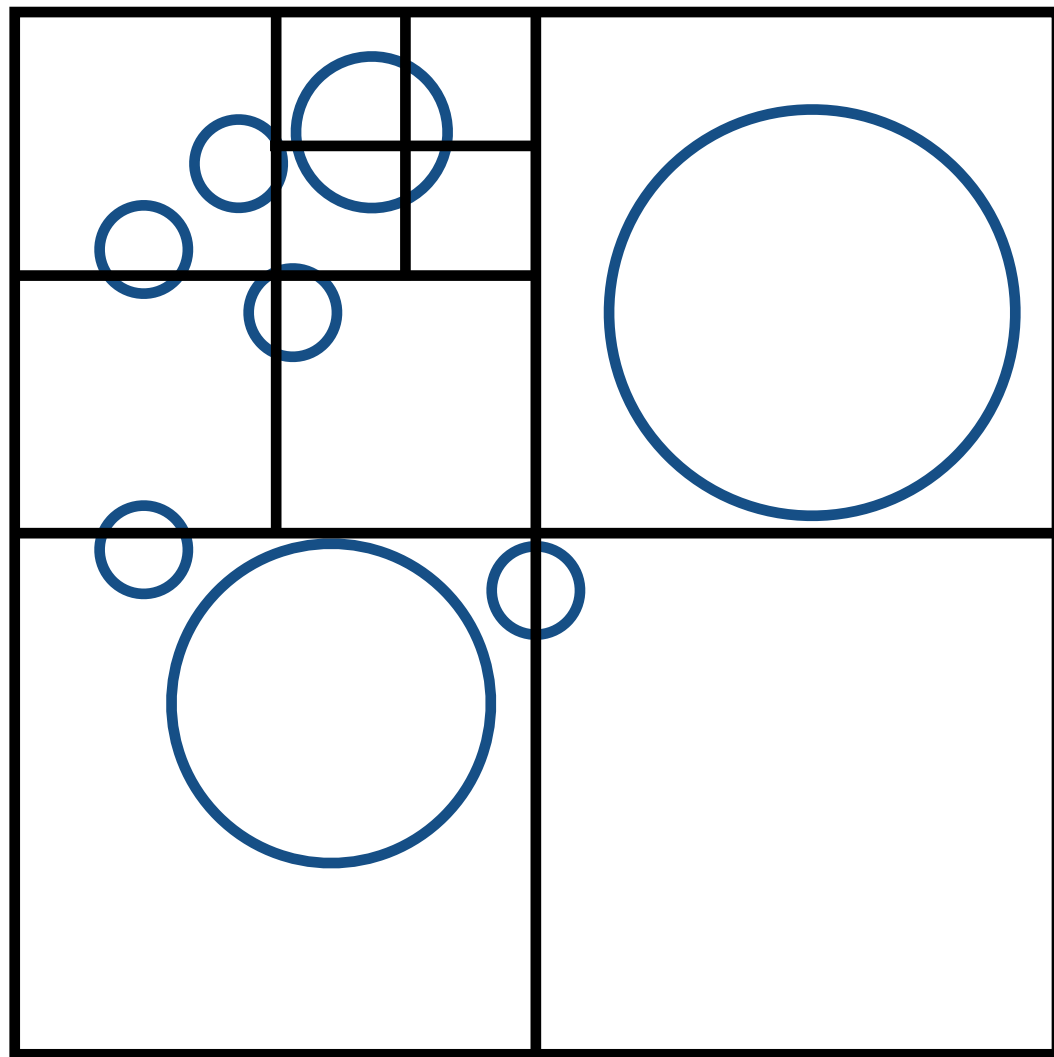
Spatial Hierarchies



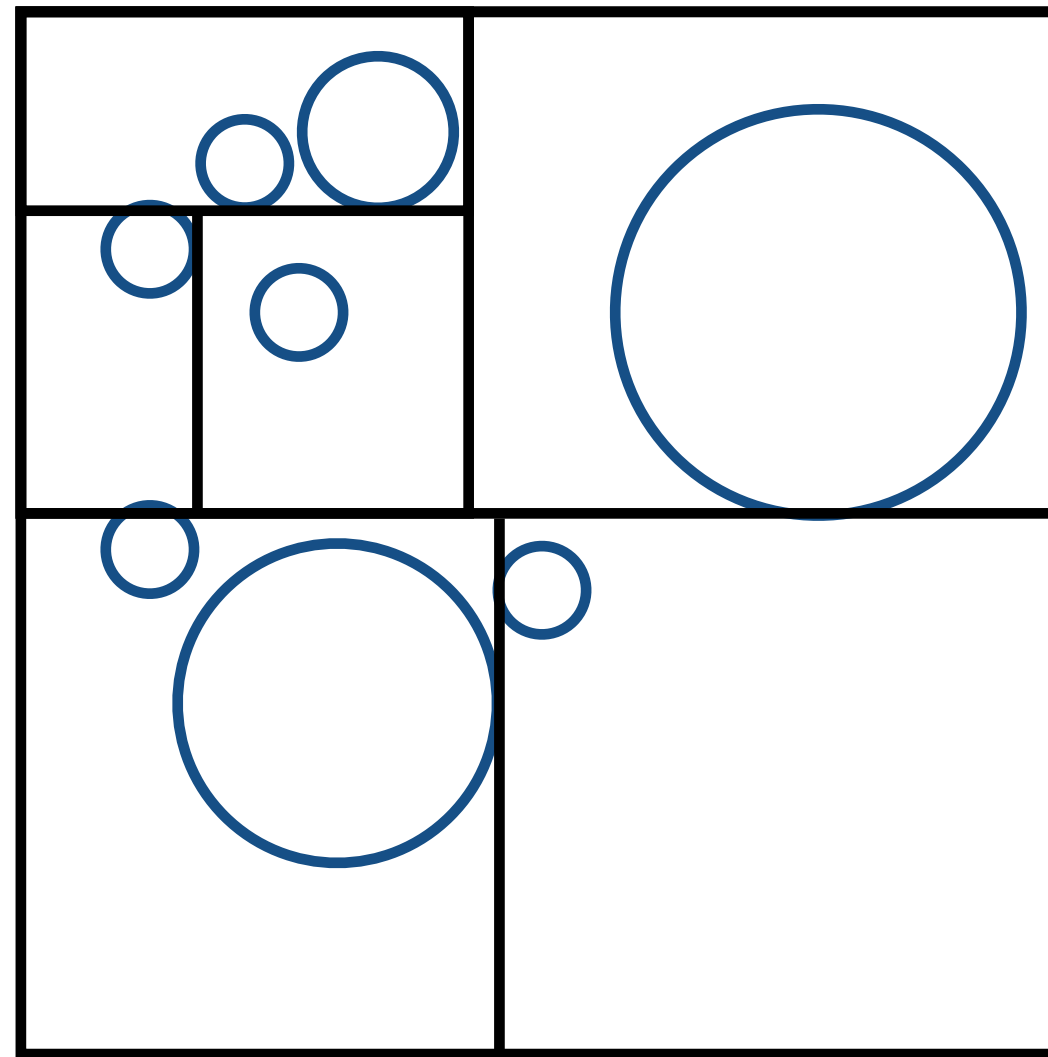
Spatial Hierarchies



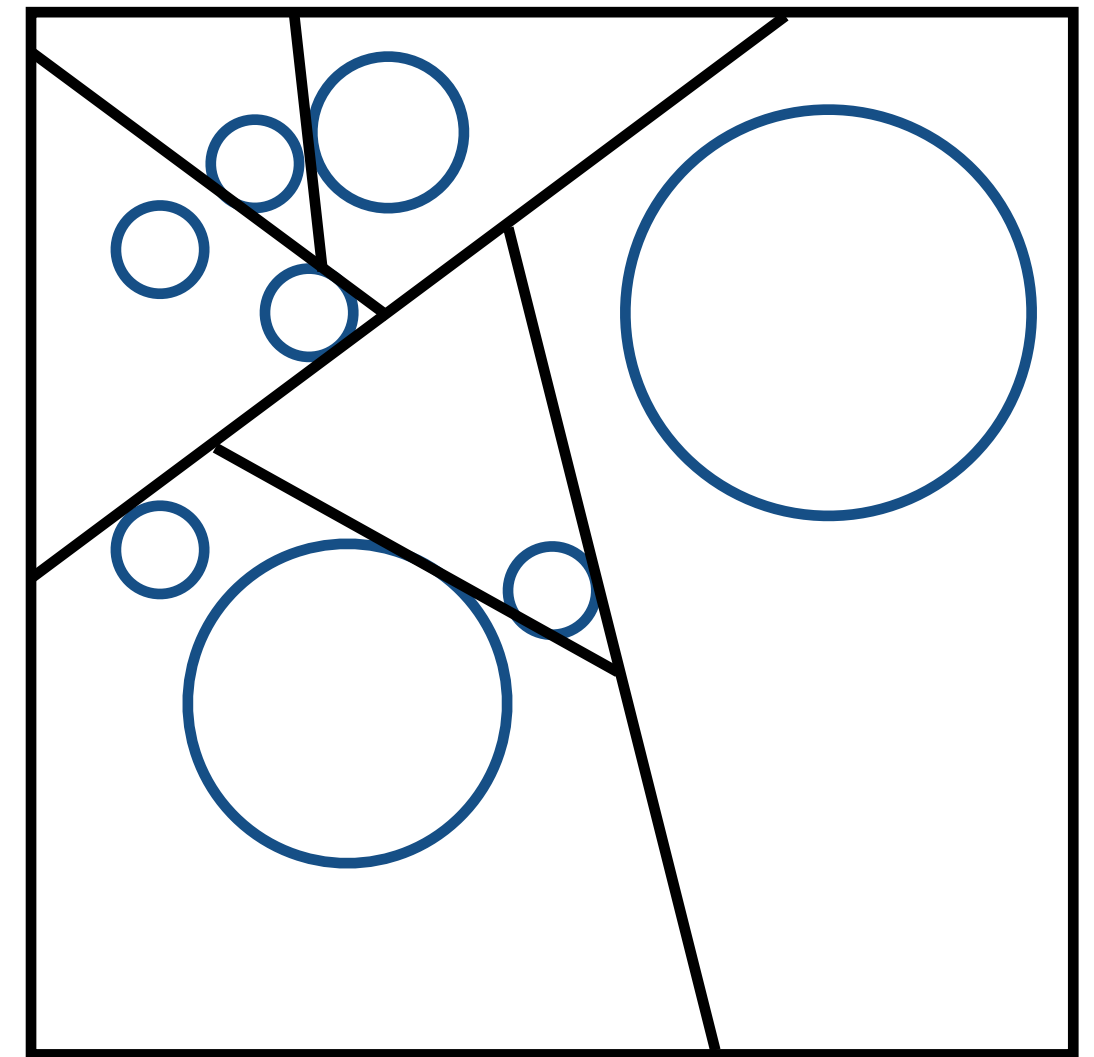
Spatial Partitioning Variants



Oct-Tree



KD-Tree



BSP-Tree

Note: you could have these in both 2D and 3D. In lecture we will illustrate principles in 2D, but for assignment you will implement 3D versions.

KD-Trees

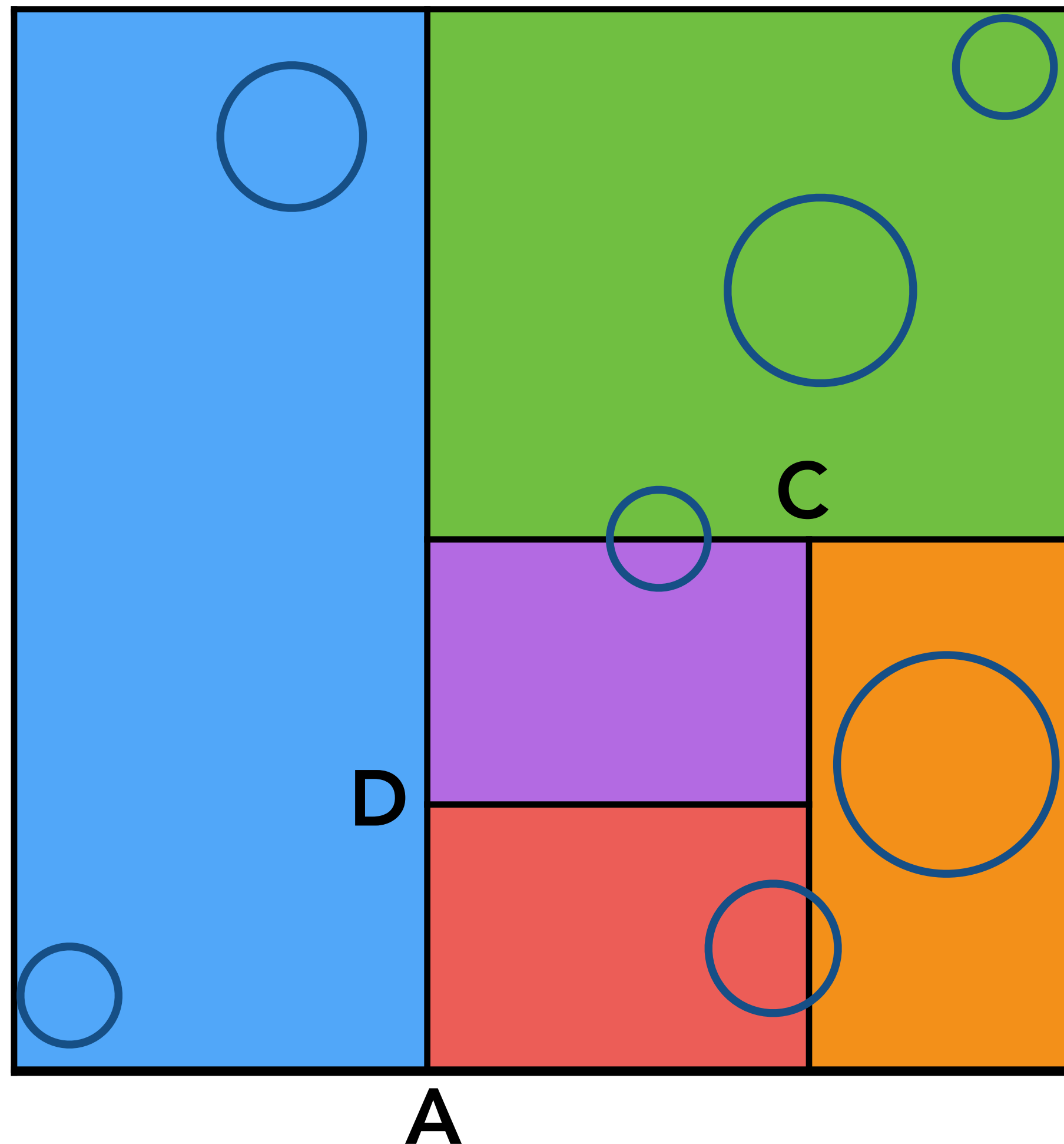
Internal nodes store

- split axis: x-, y-, or z-axis
- split position: coordinate of split plane along axis
- children: reference to child nodes

Leaf nodes store

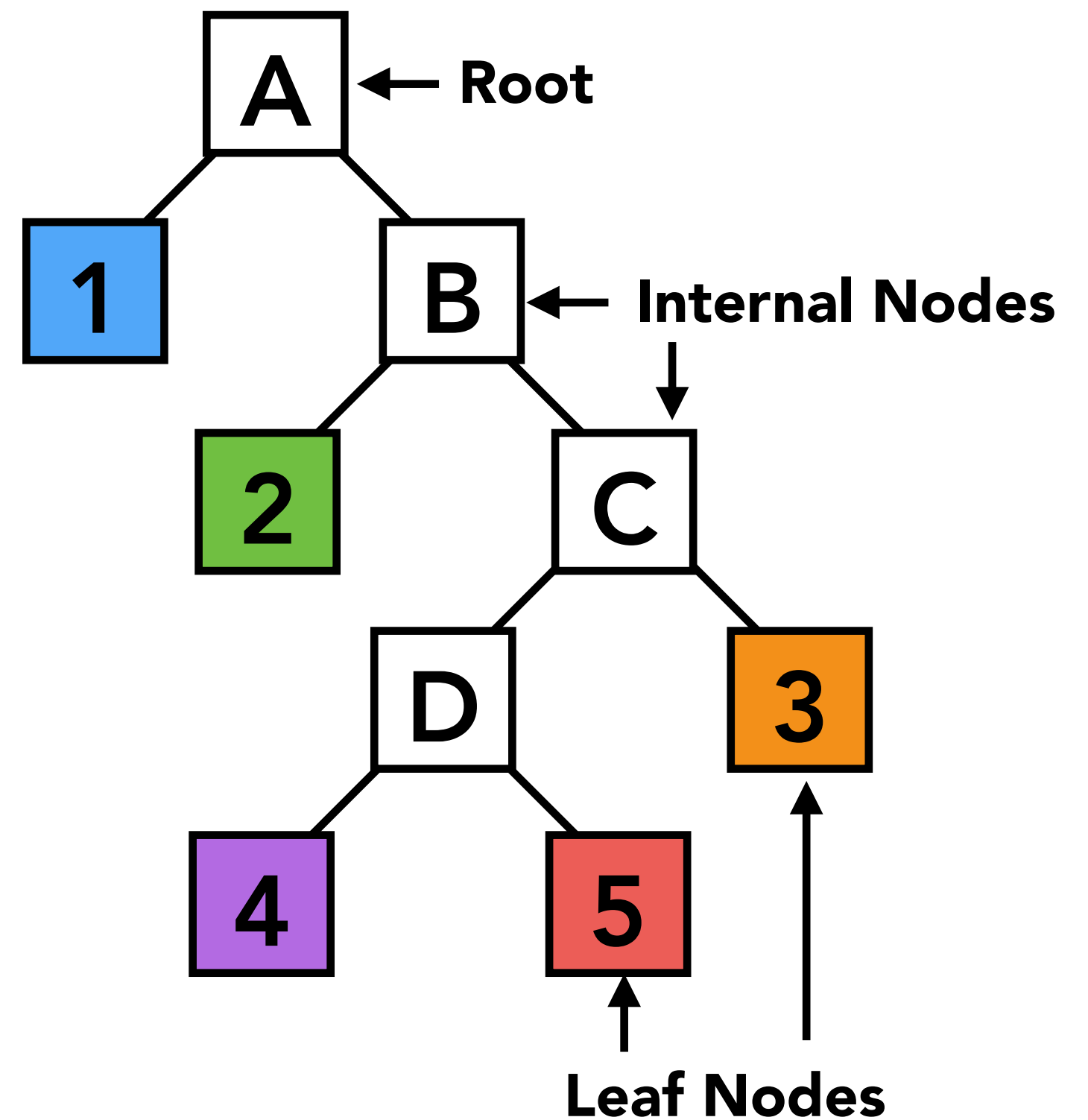
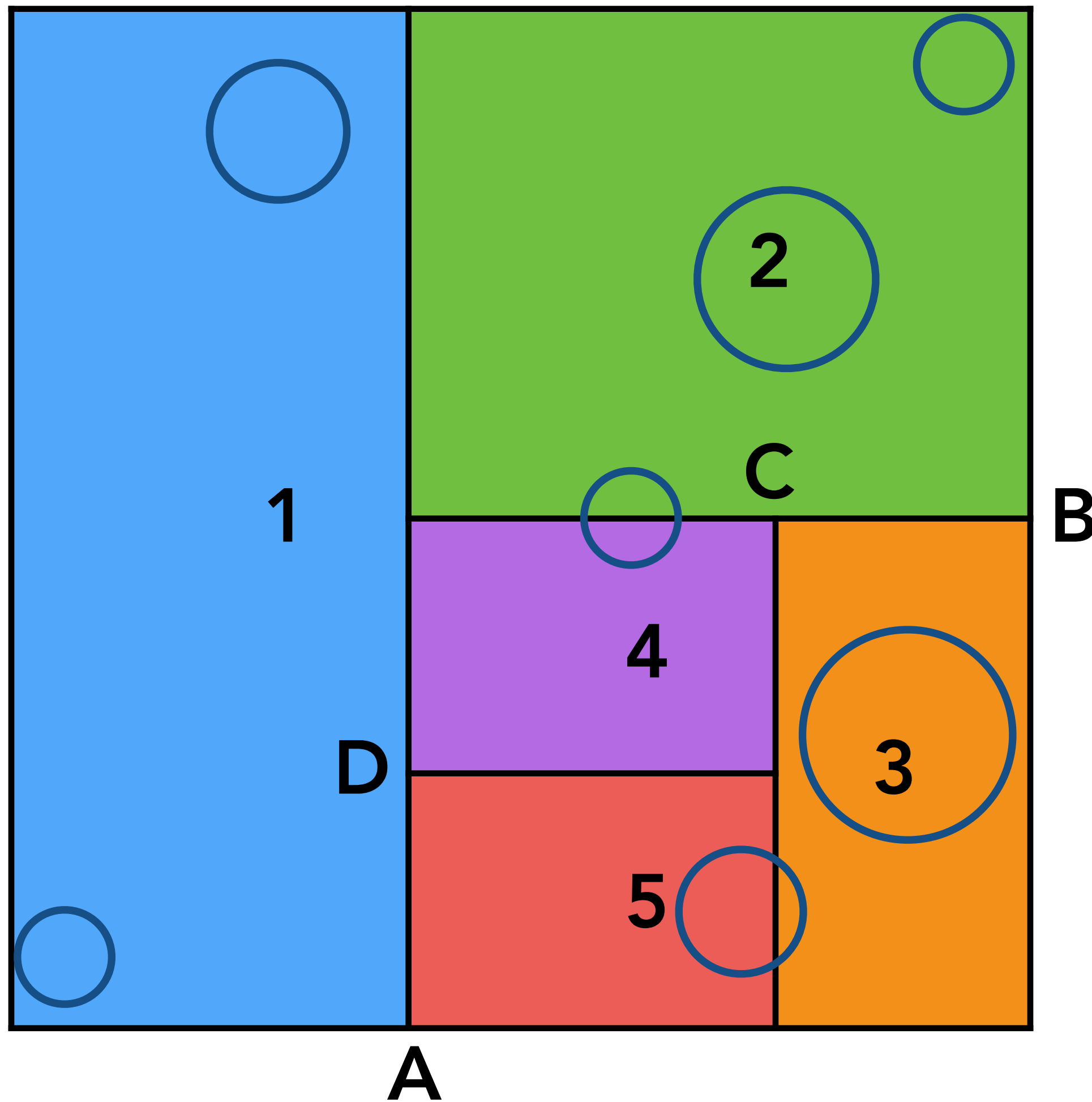
- list of objects
- mailbox information

KD-Tree Pre-Processing



- Find bounding box
- Recursively split cells, axis-aligned planes
- Until termination criteria met (e.g. max #splits or min #objs)
- Store obj references with each leaf node

KD-Tree Pre-Processing



Only leaf nodes store references to geometry

KD-Tree Pre-Processing

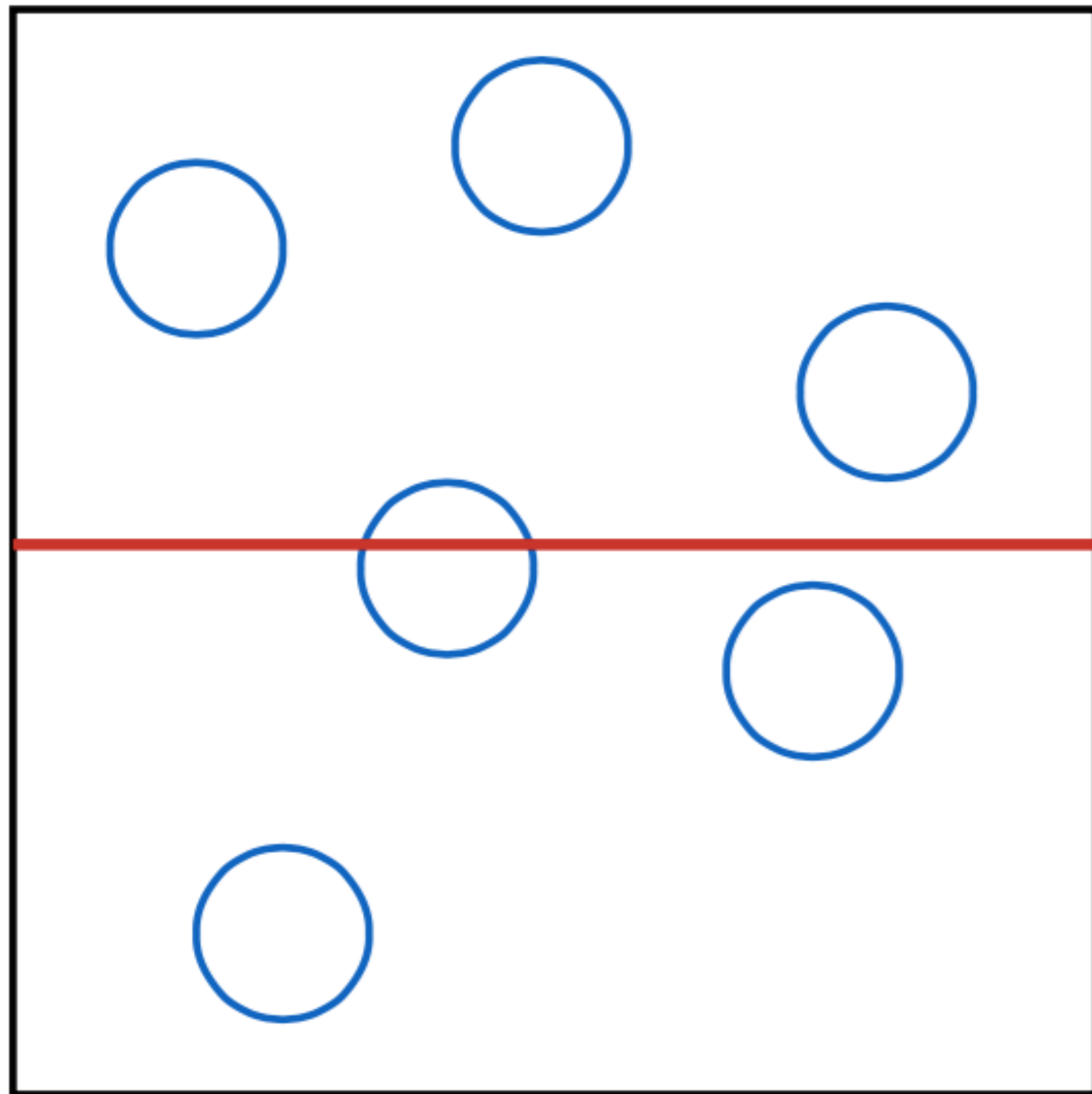
Choosing the split plane

- Simple: midpoint, median split
- Ideal: split to minimize expected cost of ray intersection

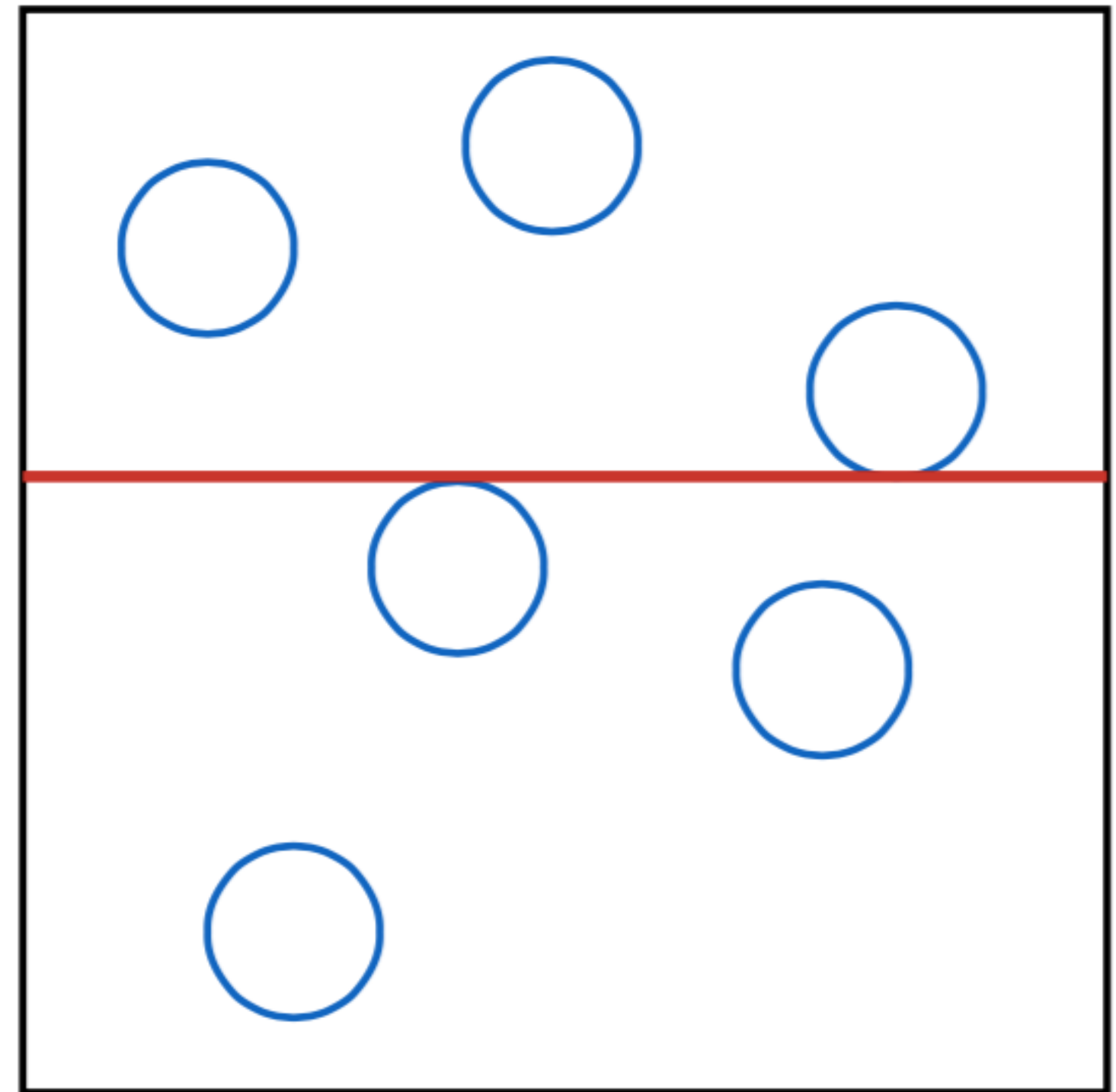
Termination criteria?

- Simple: common to prescribe maximum tree depth (empirical $8 + 1.3 \log N$, $N = \#objs$) [PBRT]
- Ideal: stop when splitting does not reduce expected cost of ray intersection

Simple Hierarchy Construction

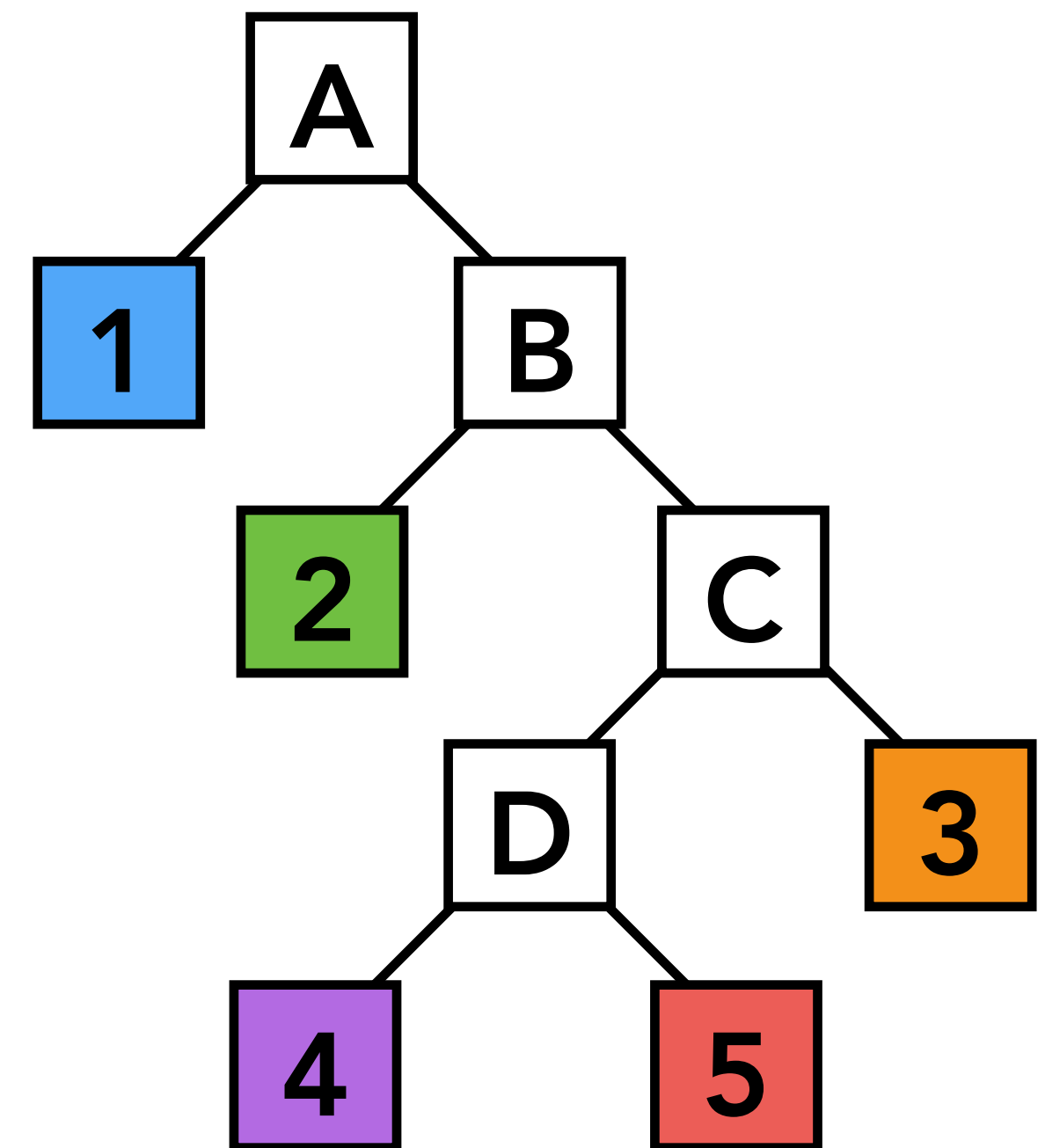
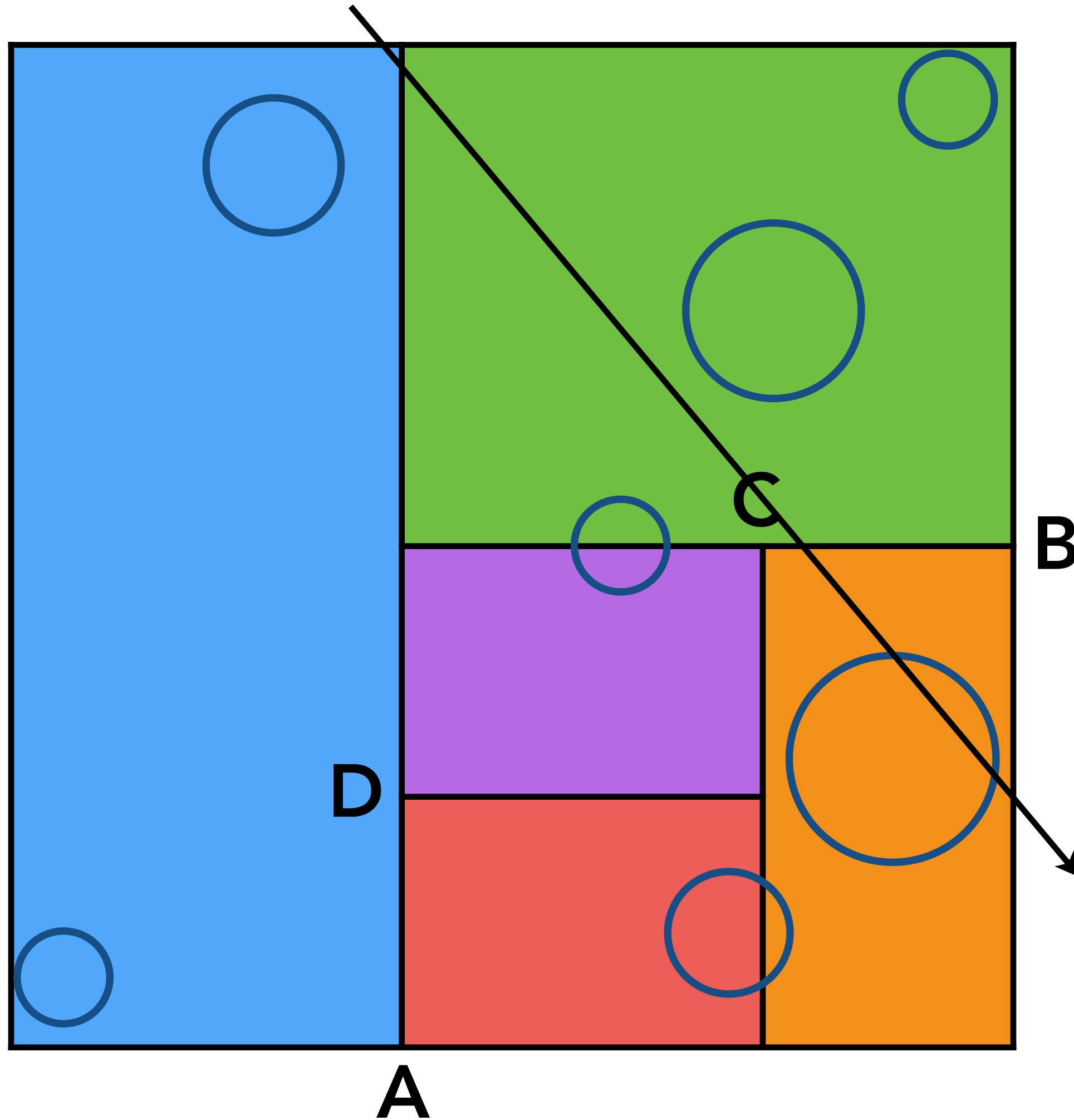


Split at midpoint

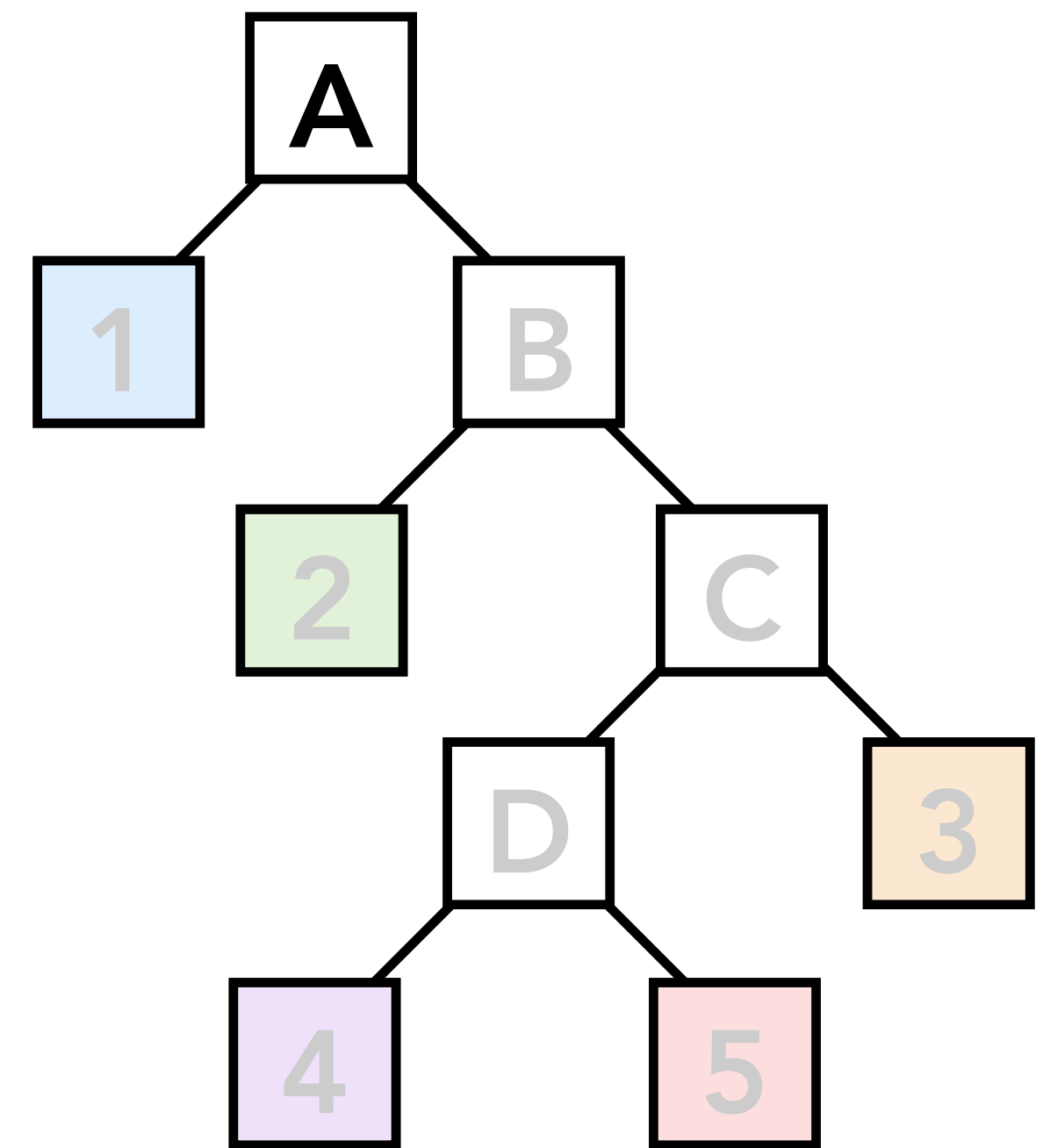
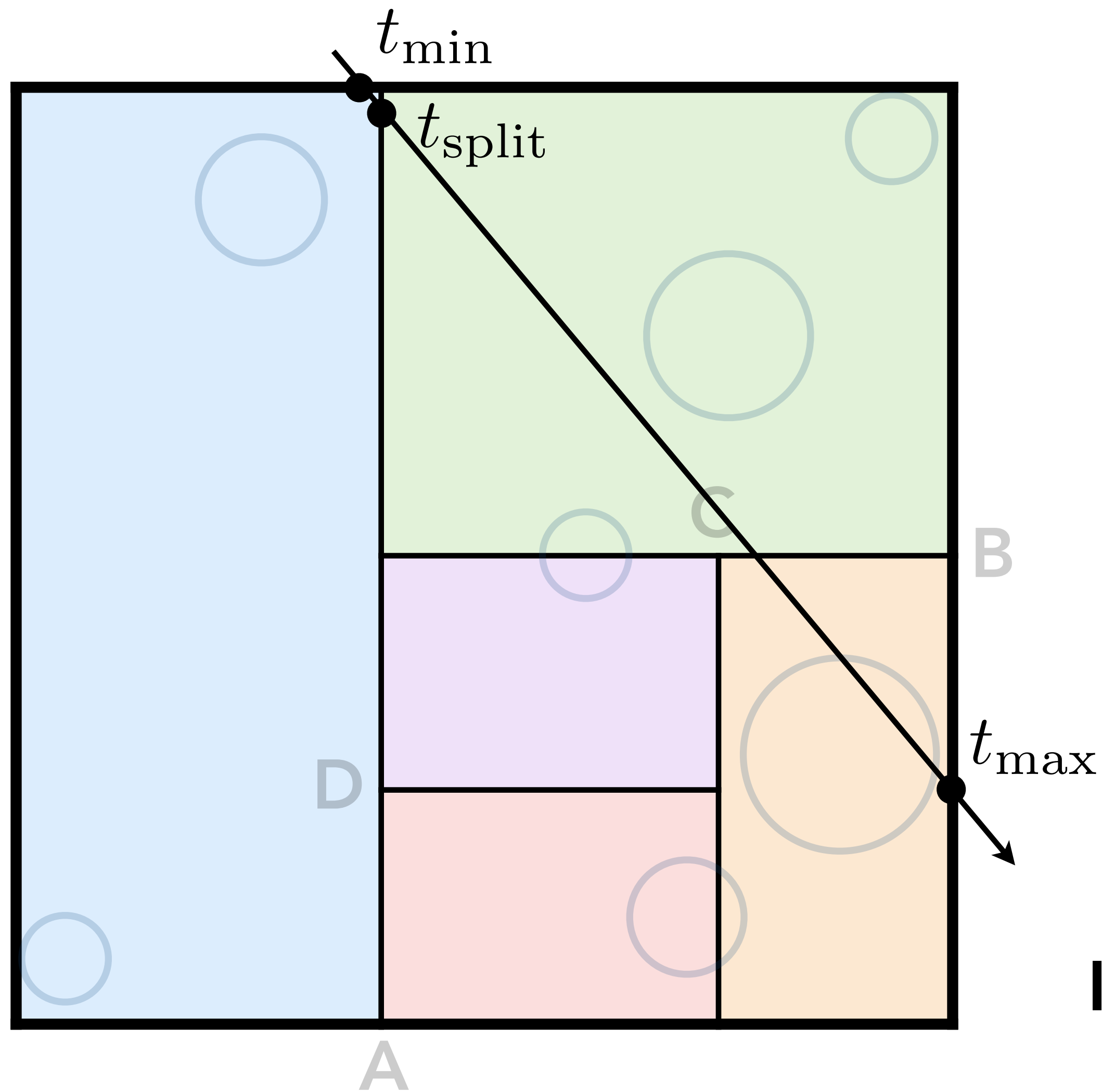


Split at median

Top-Down Recursive In-Order Traversal

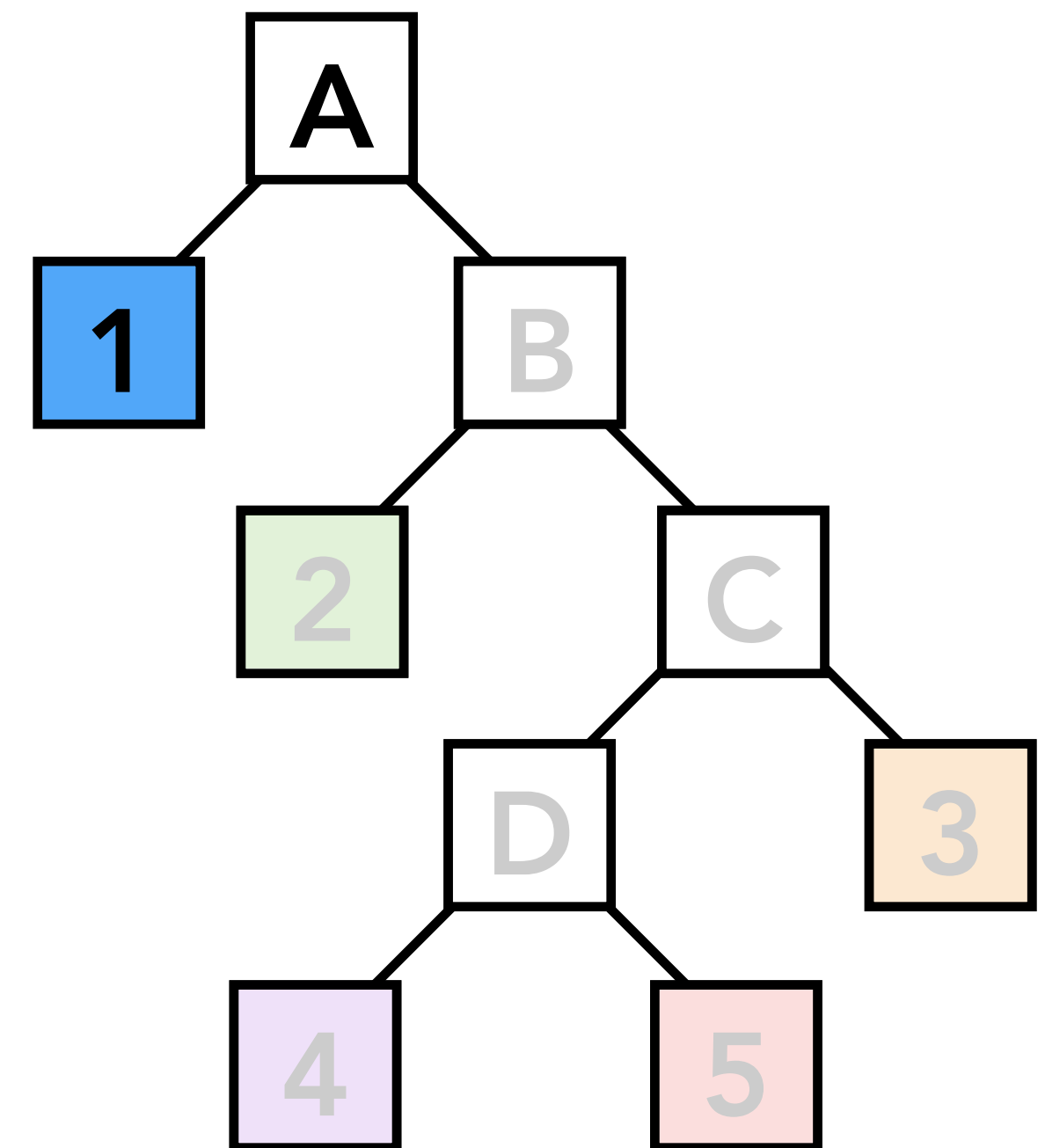
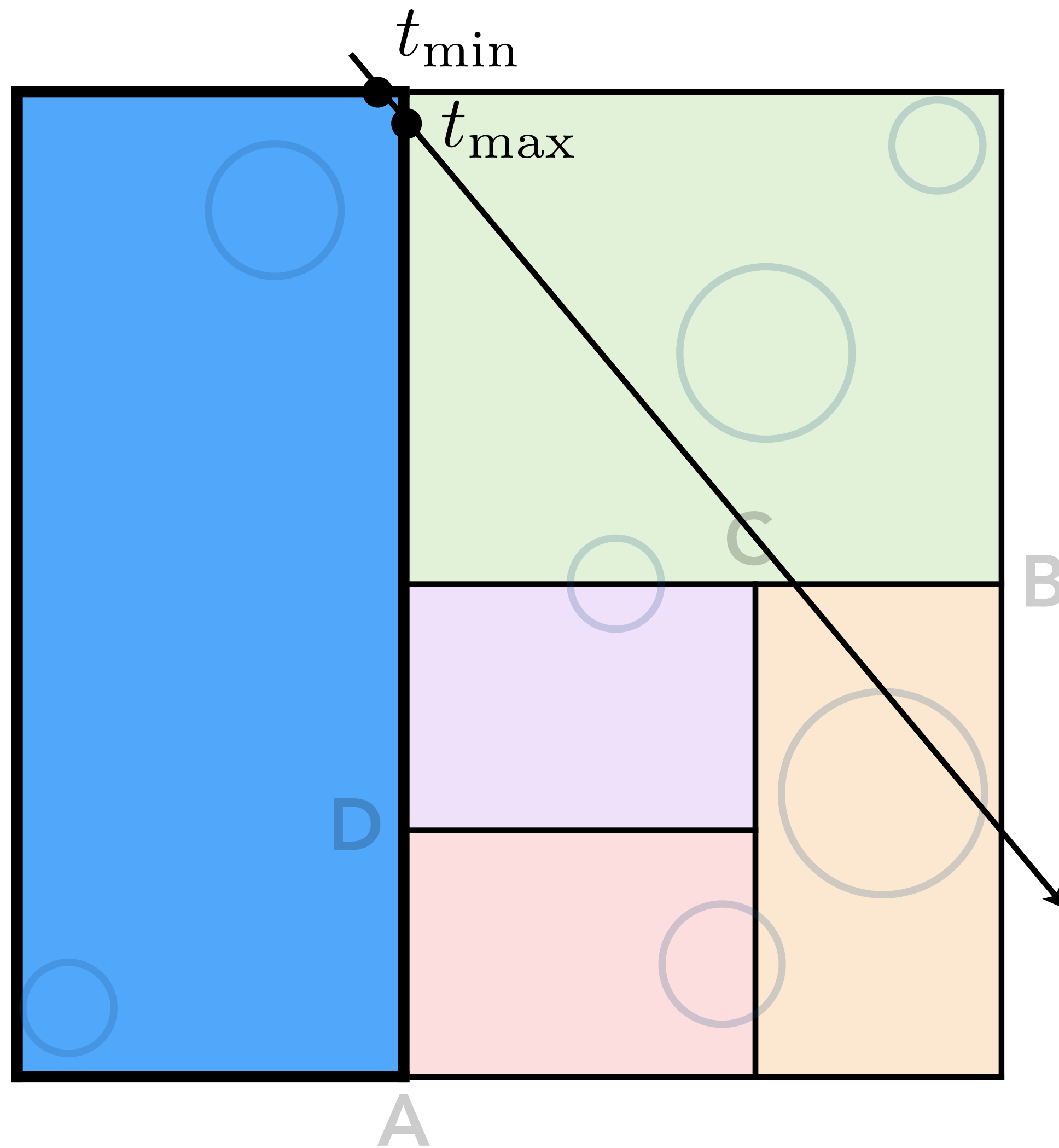


Top-Down Recursive In-Order Traversal



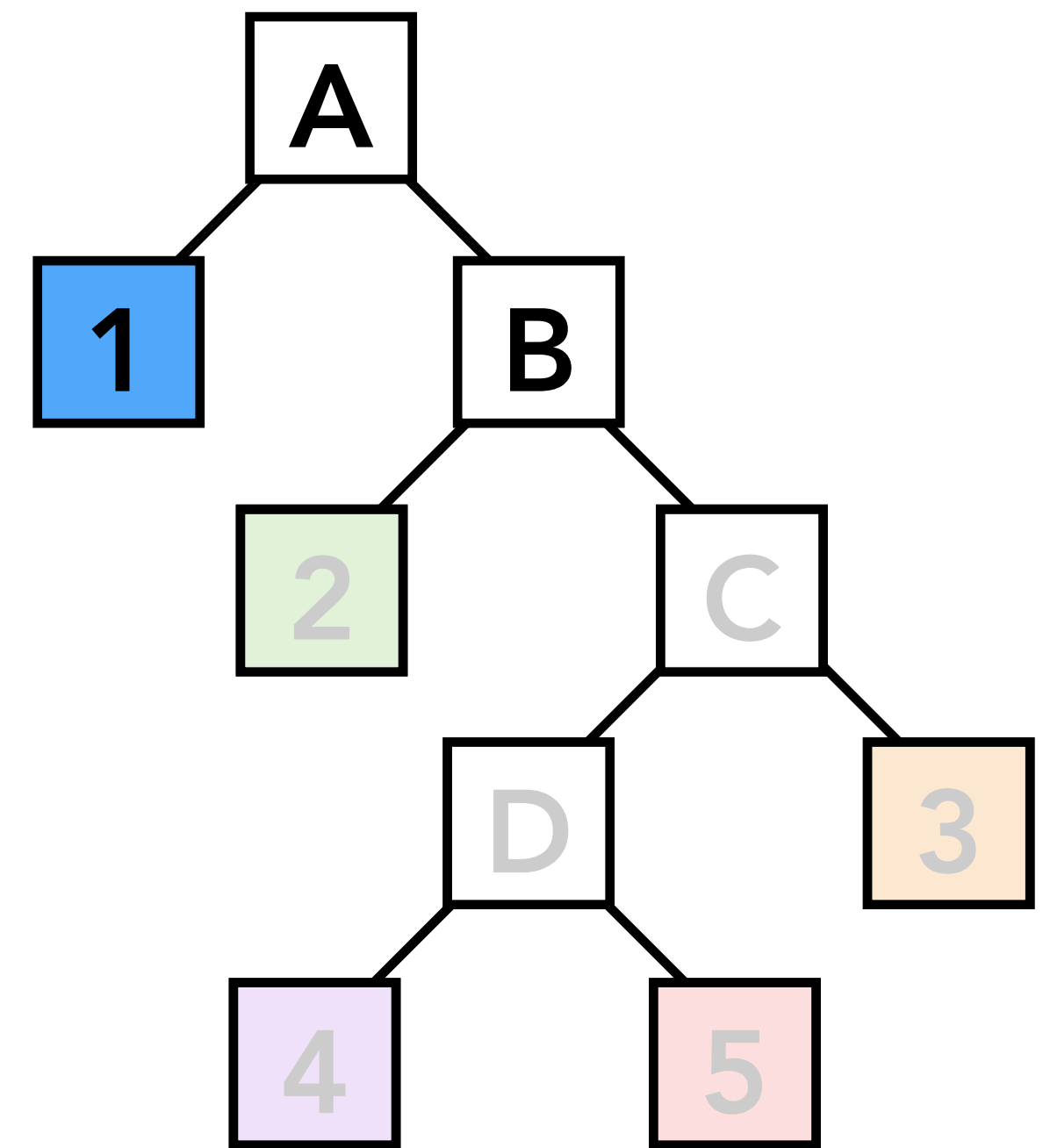
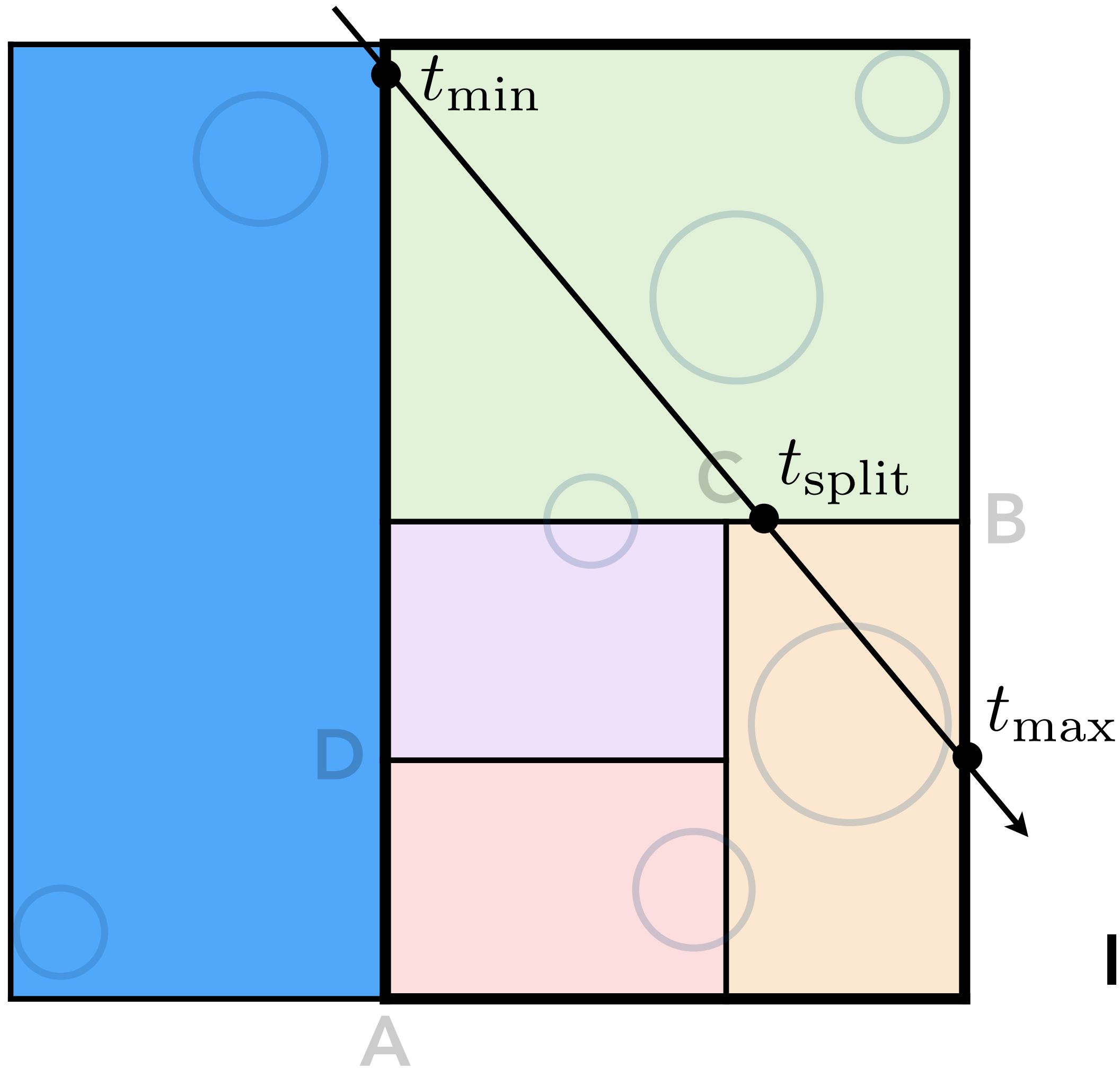
Internal node: split

Top-Down Recursive In-Order Traversal



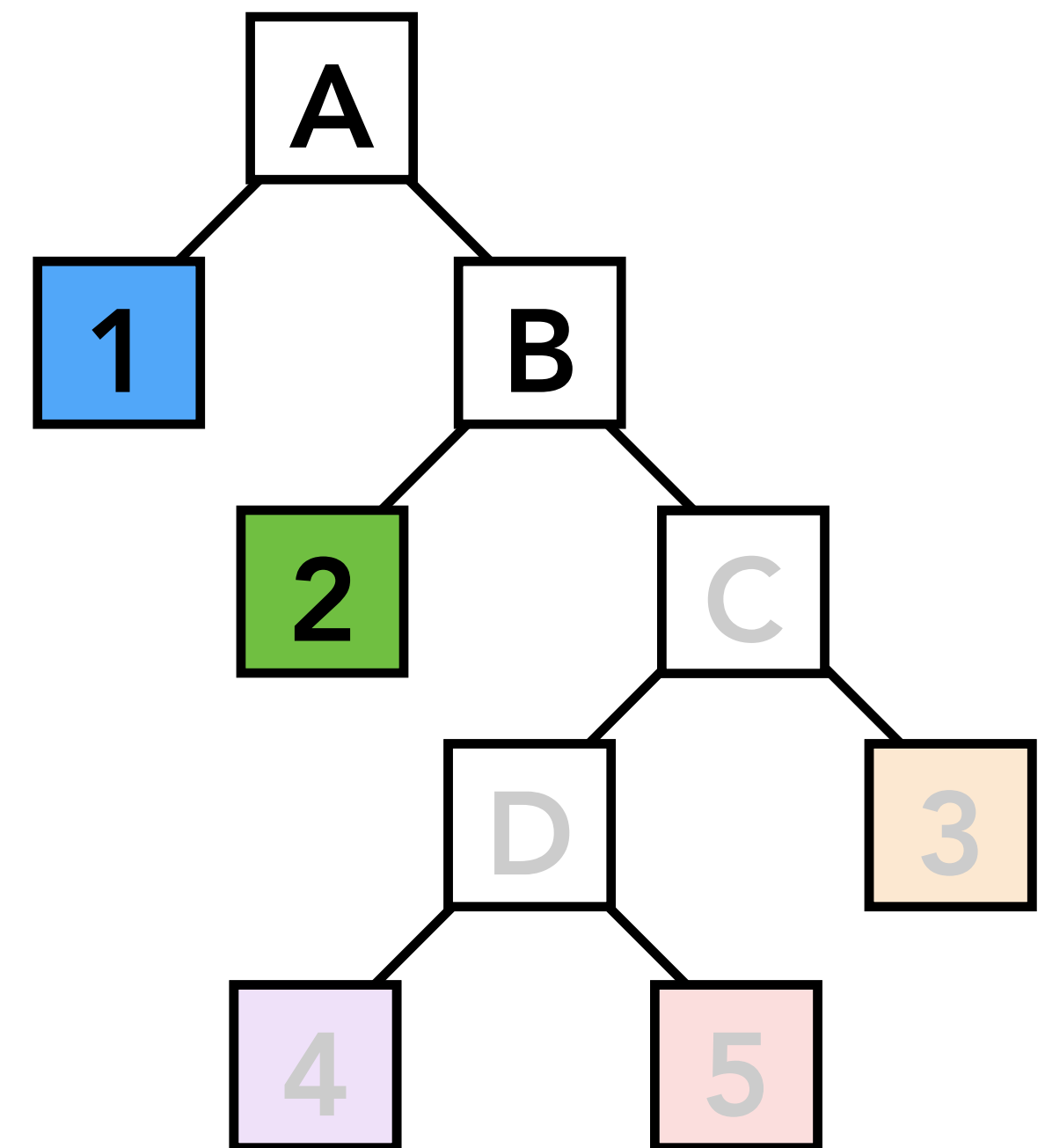
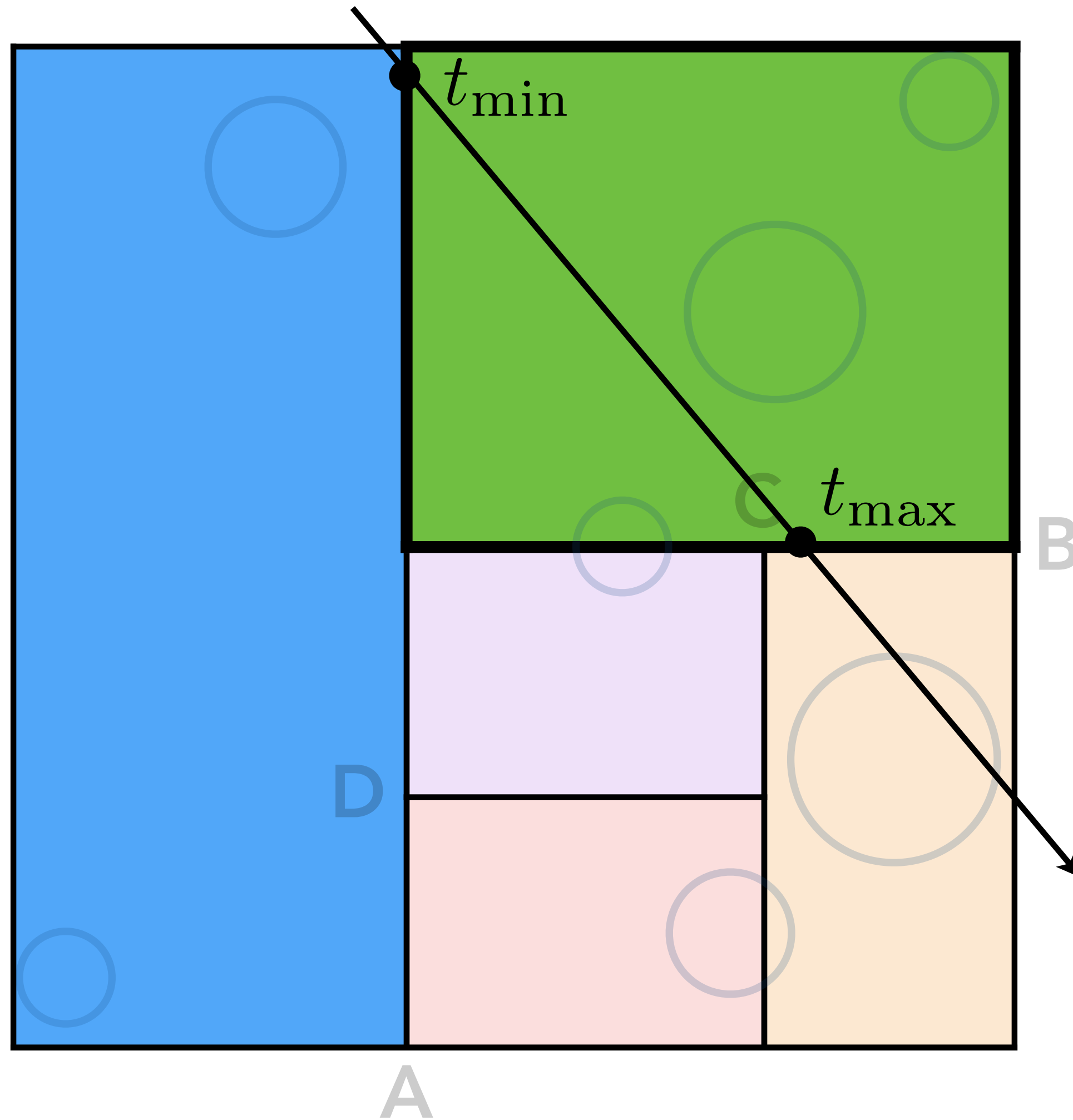
Leaf node: intersect all objects

Top-Down Recursive In-Order Traversal



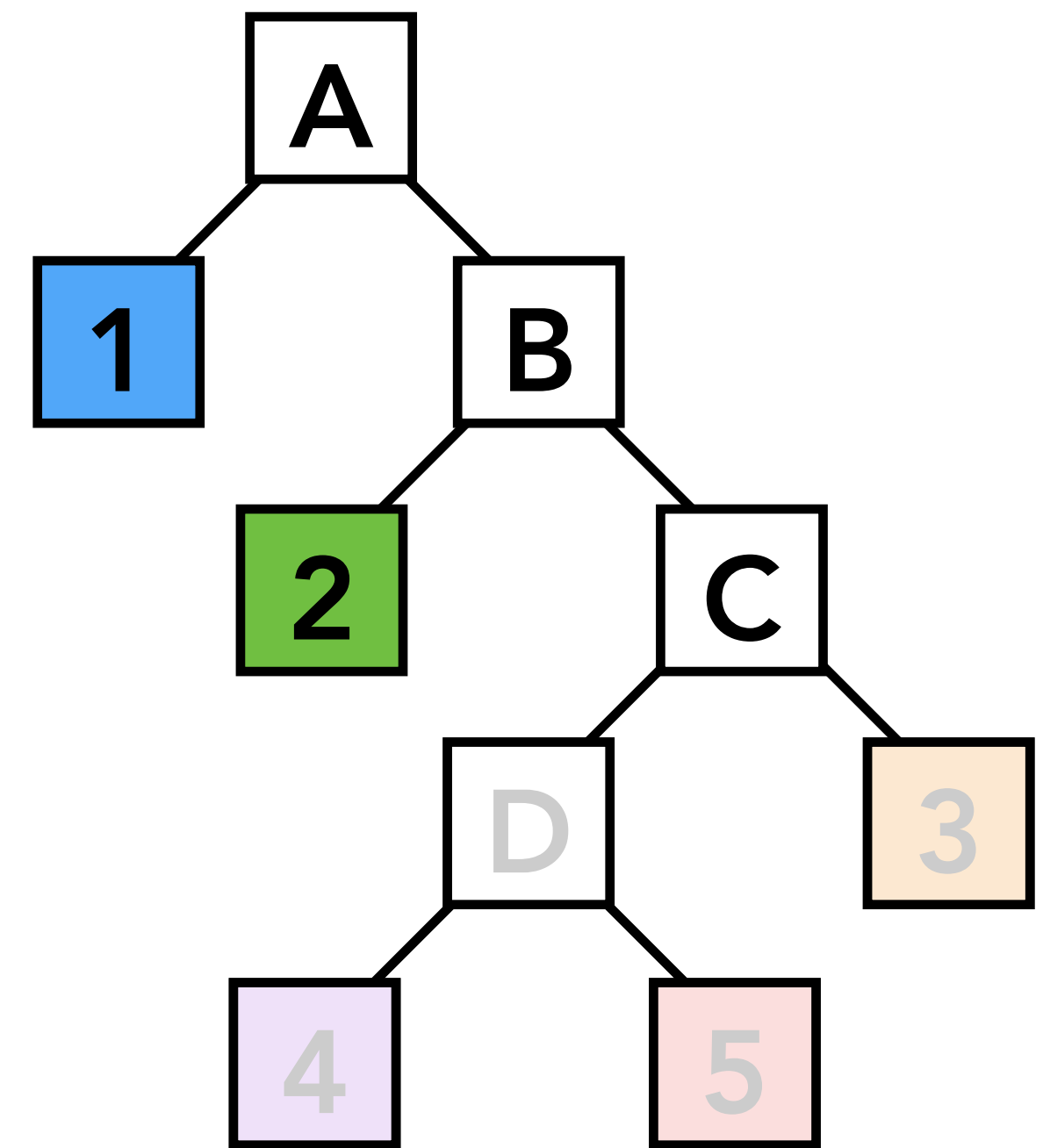
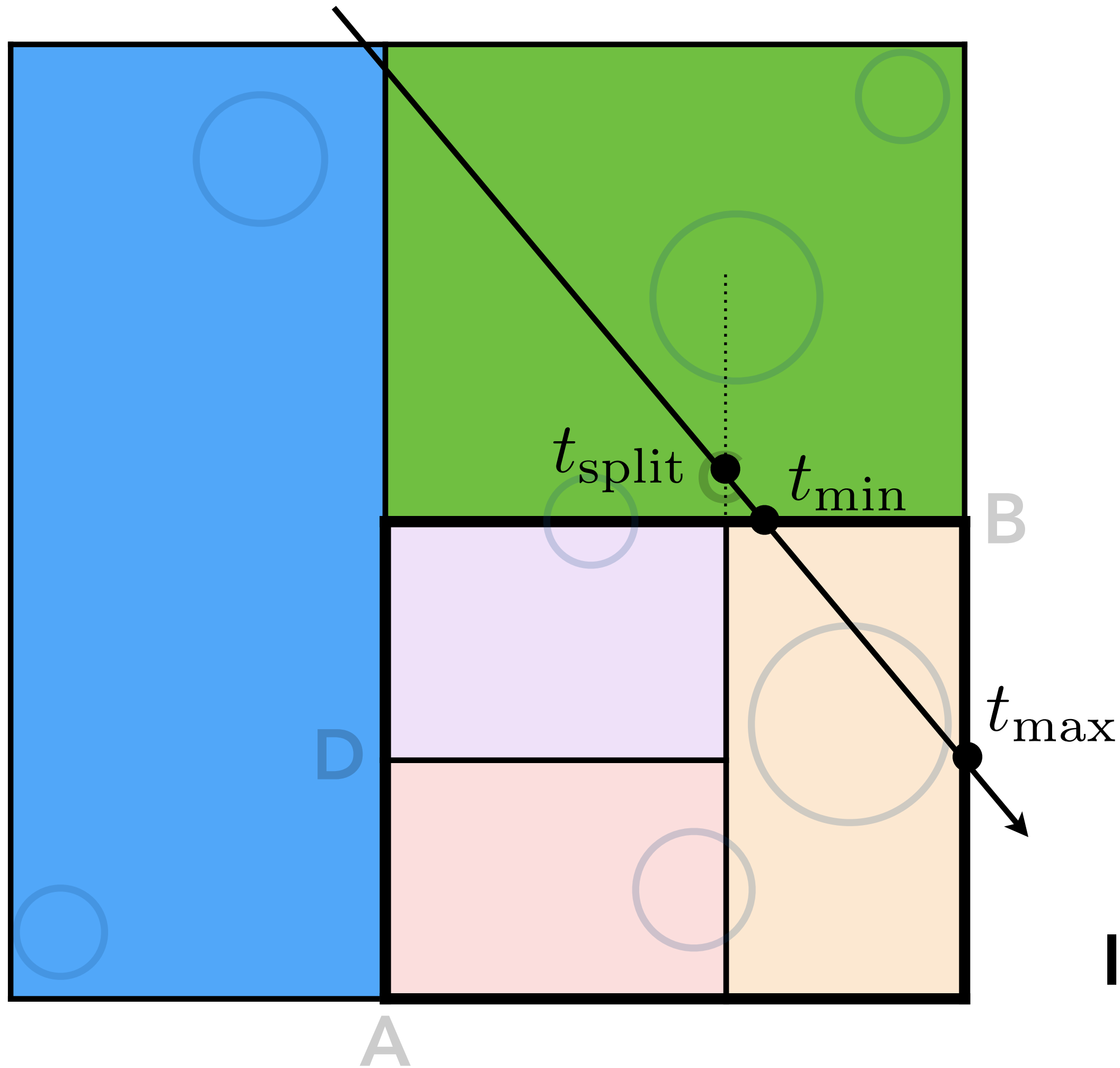
Internal node: split

Top-Down Recursive In-Order Traversal



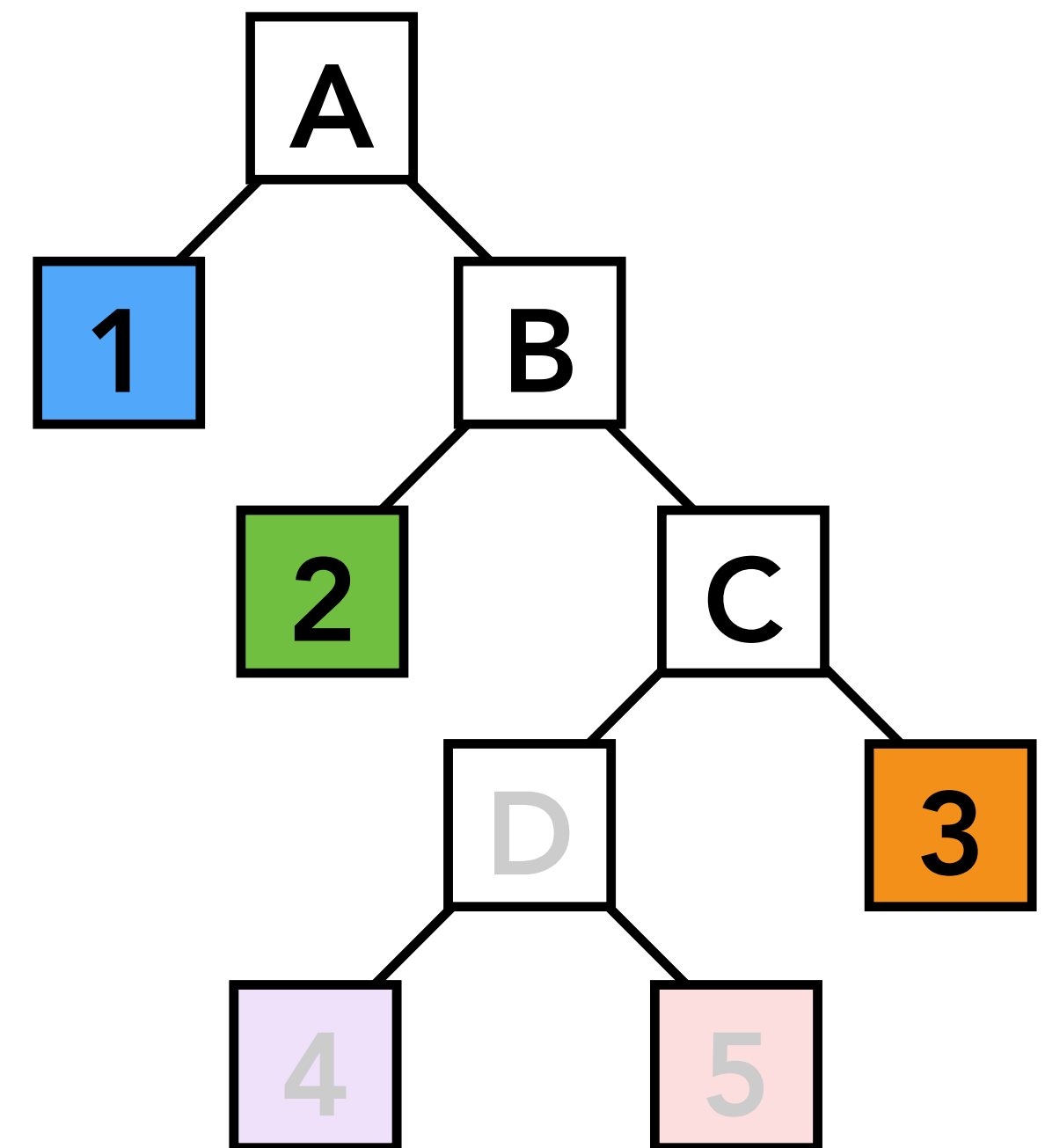
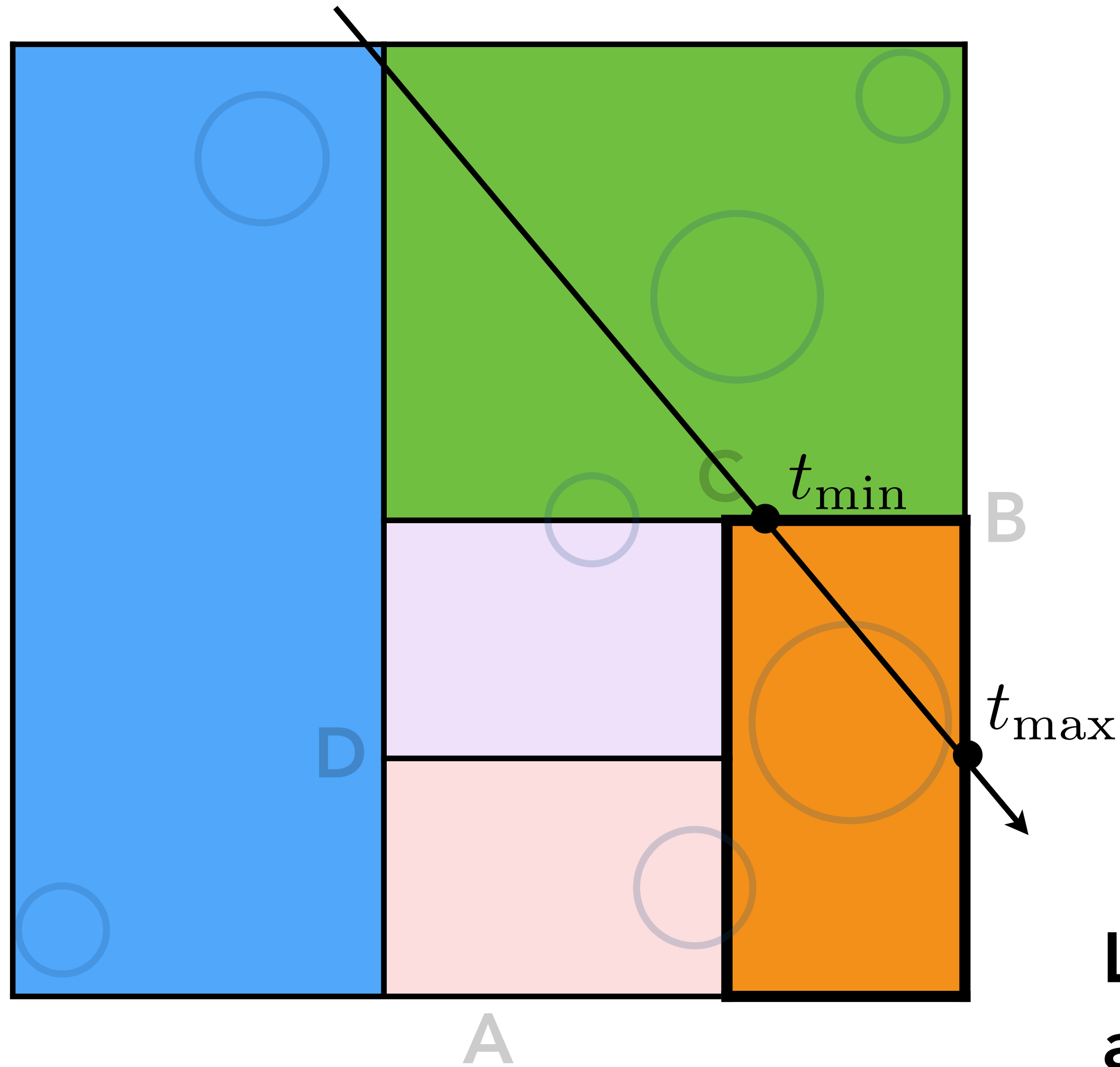
Leaf node: intersect all objects

Top-Down Recursive In-Order Traversal



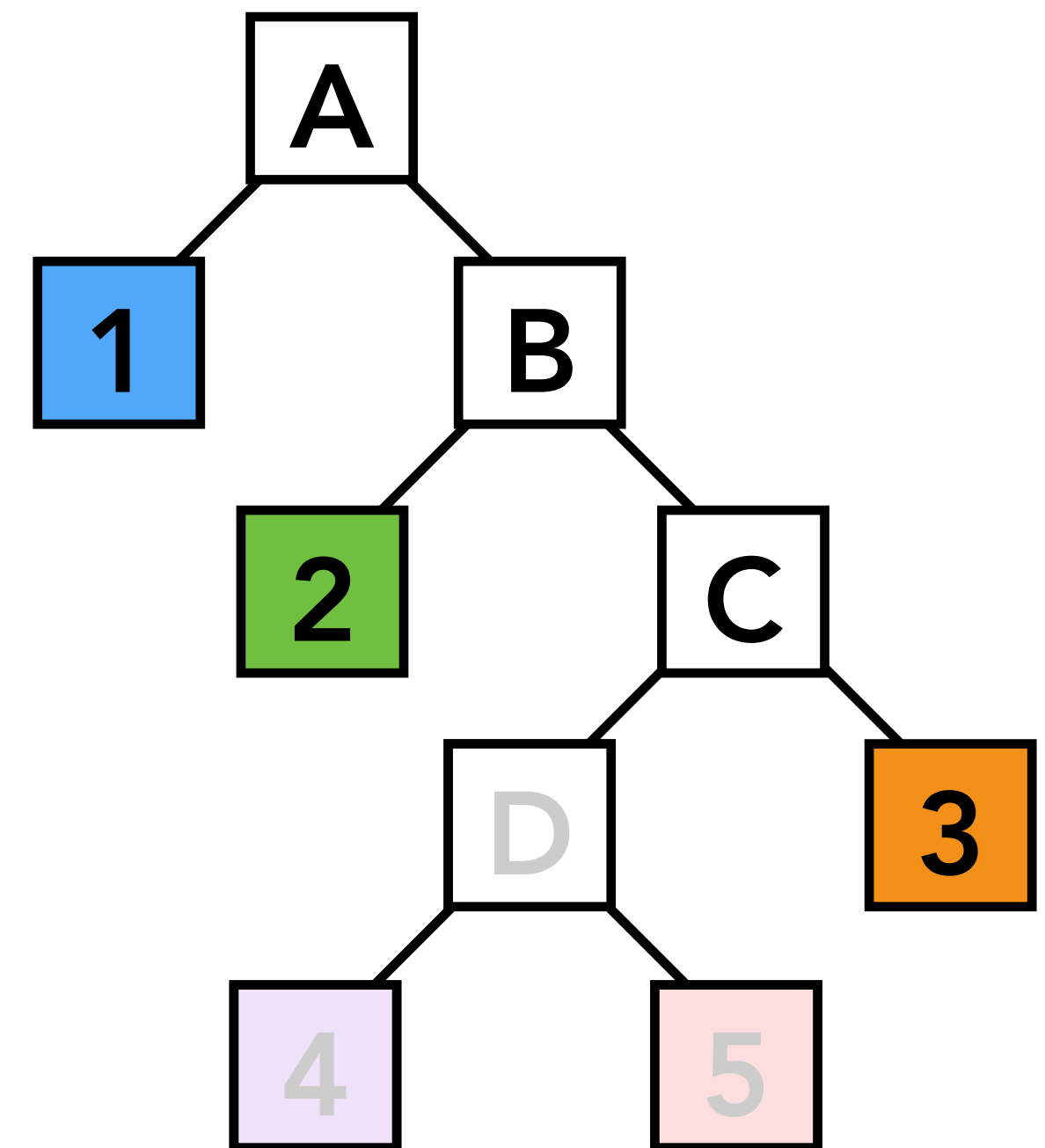
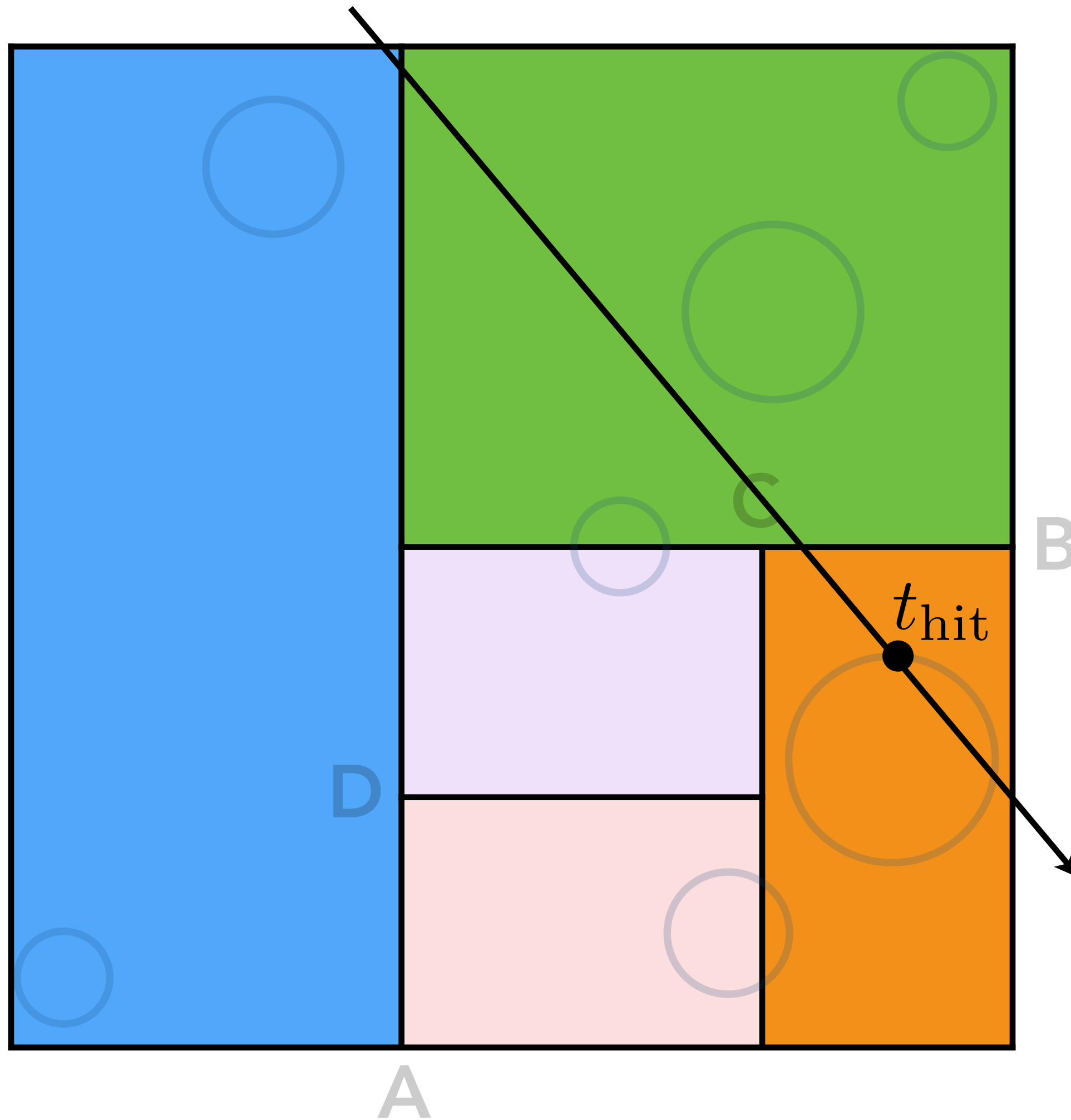
Internal node: split

Top-Down Recursive In-Order Traversal



Leaf node: intersect
all objects

Top-Down Recursive In-Order Traversal

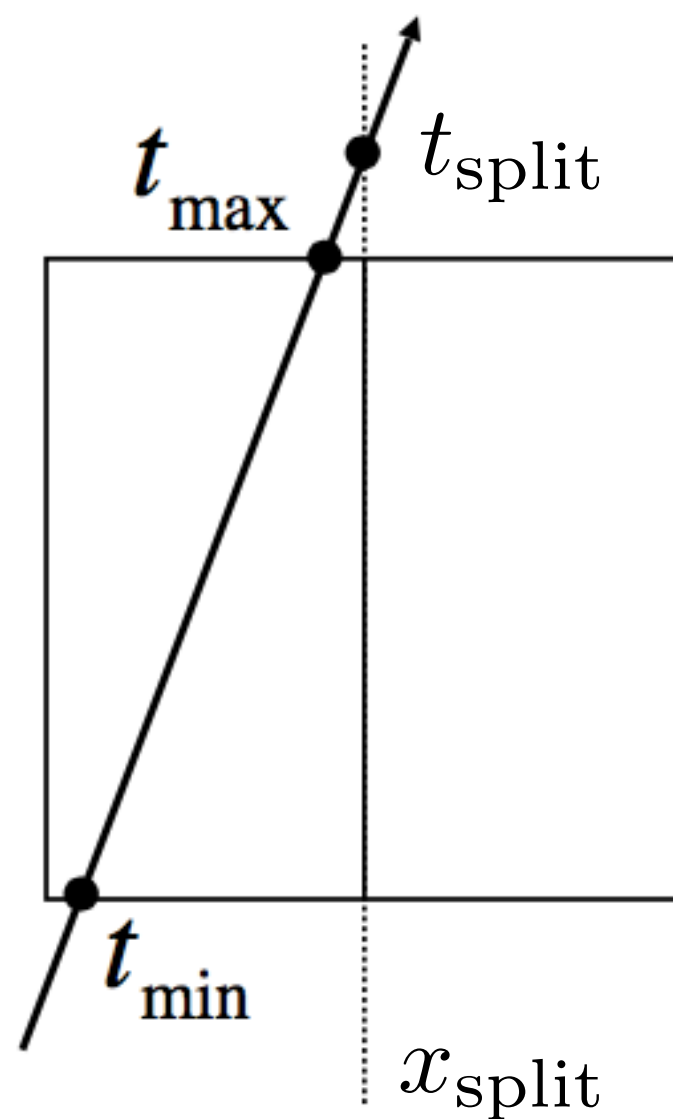


Intersection found

KD-Trees Traversal – Recursive Step

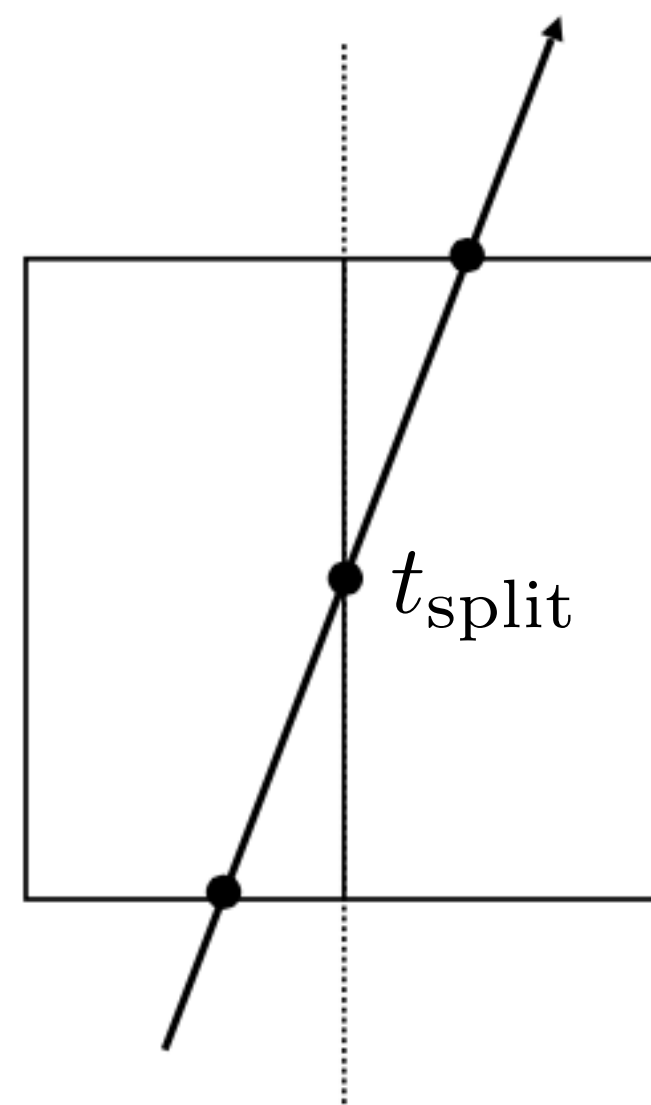
W.L.O.G. consider x-axis split with ray moving right

$$t_{\text{split}} = (x_{\text{split}} - \mathbf{o}_x) / \mathbf{d}_x$$



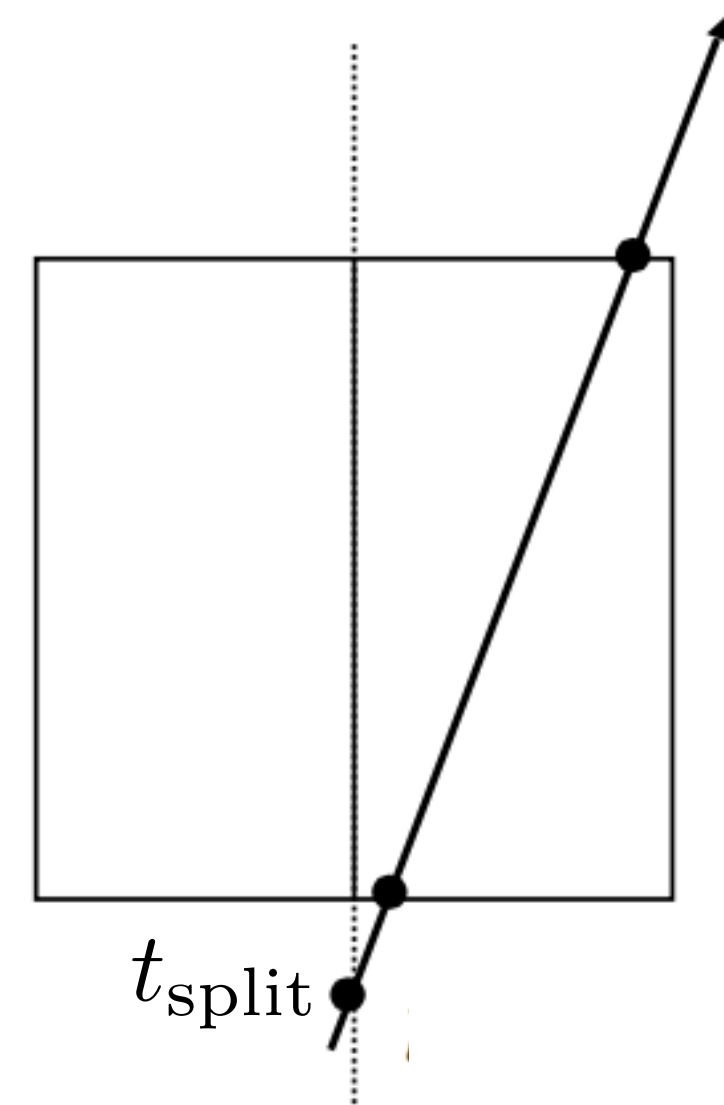
$$t_{\text{max}} < t_{\text{split}}$$

Intersect(L, t_{min} , t_{max})



$$t_{\text{min}} < t_{\text{split}} < t_{\text{max}}$$

Intersect(L, t_{min} , t_{split})
Intersect(R, t_{split} , t_{max})



$$t_{\text{split}} < t_{\text{min}}$$

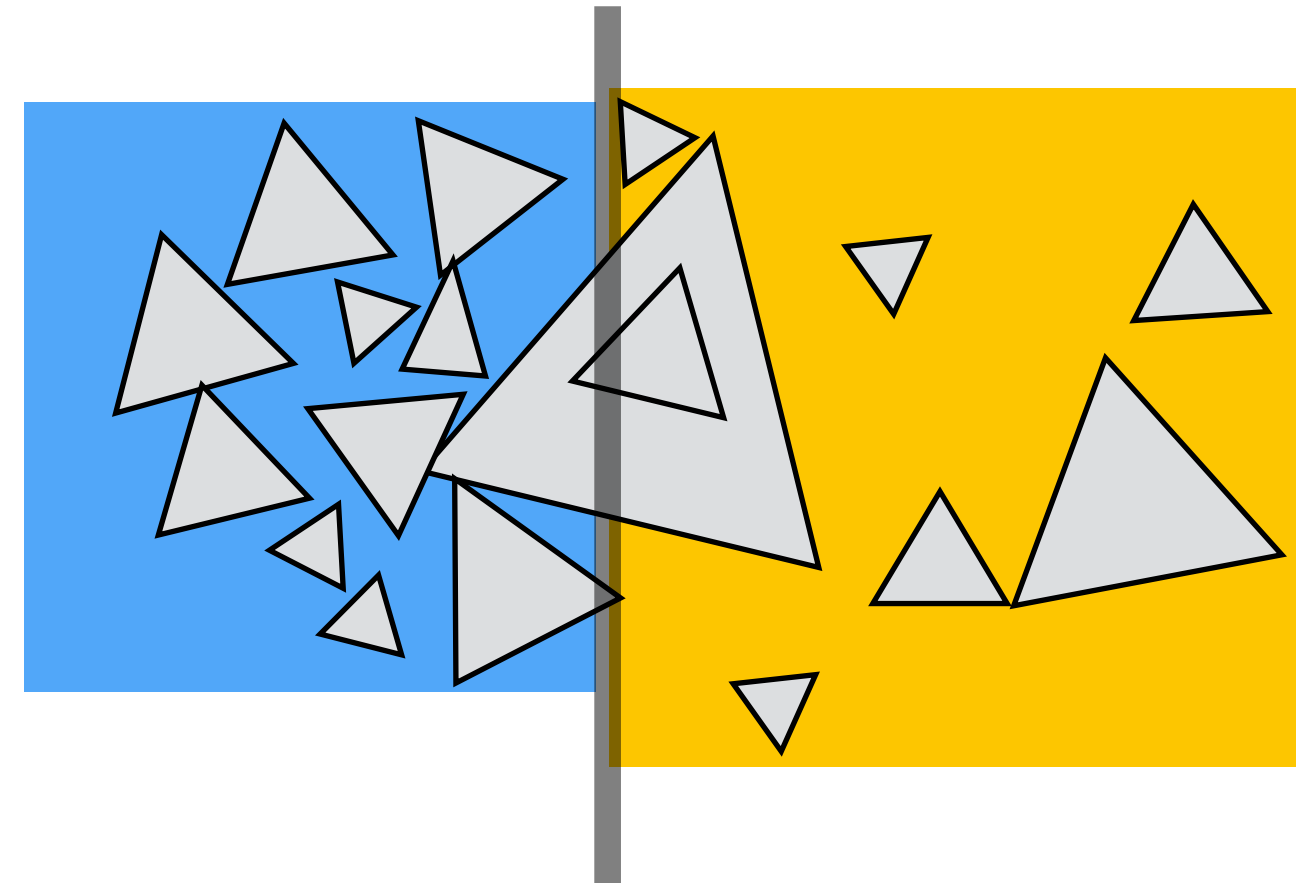
Intersect(R, t_{min} , t_{max})

Object Partitions & Bounding Volume Hierarchy (BVH)

Spatial vs Object Partitions

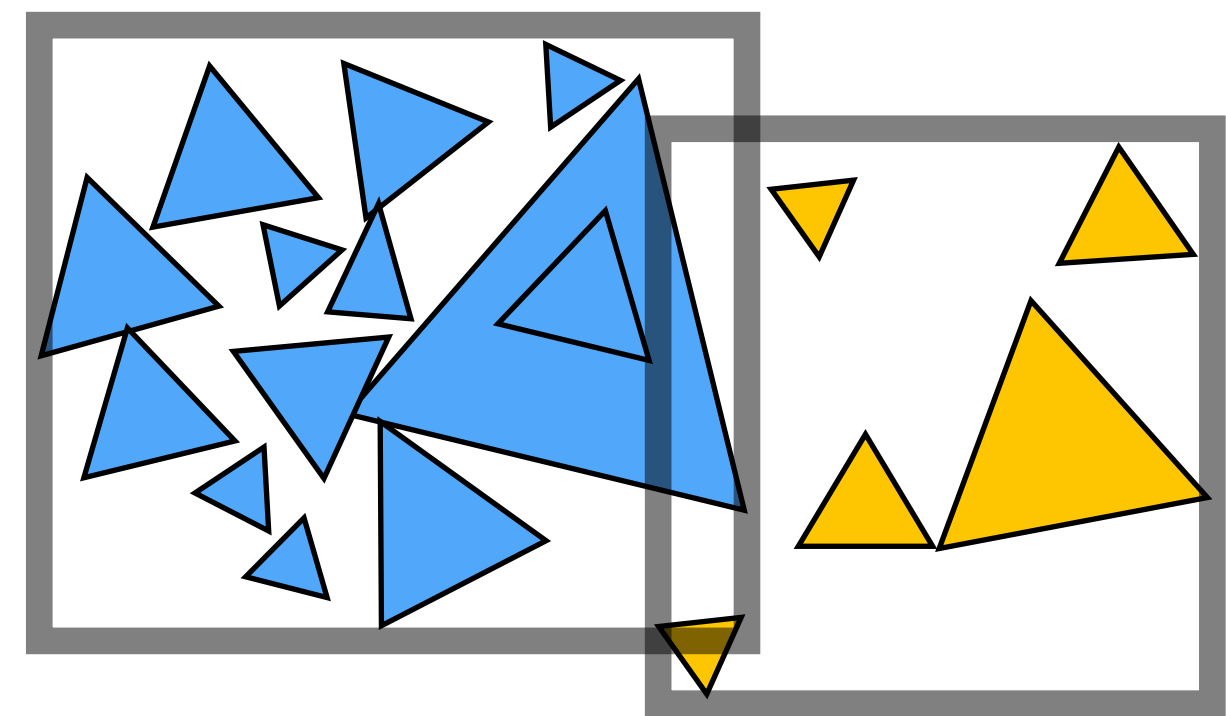
Spatial partition (e.g. KD-tree)

- Partition space into non-overlapping regions
- Objects can be contained in multiple regions

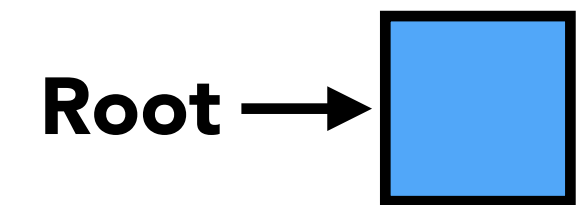
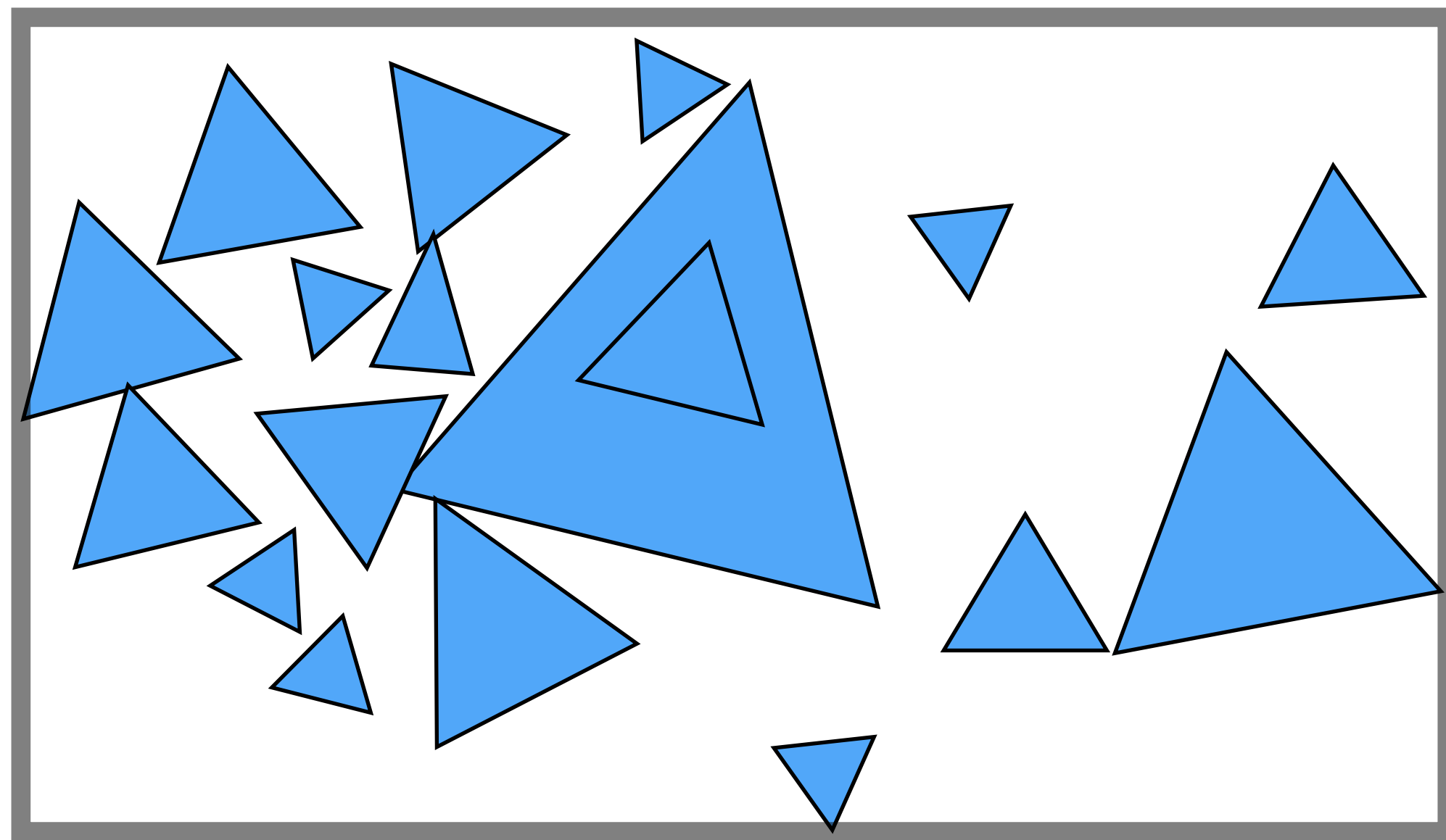


Object partition (e.g. BVH)

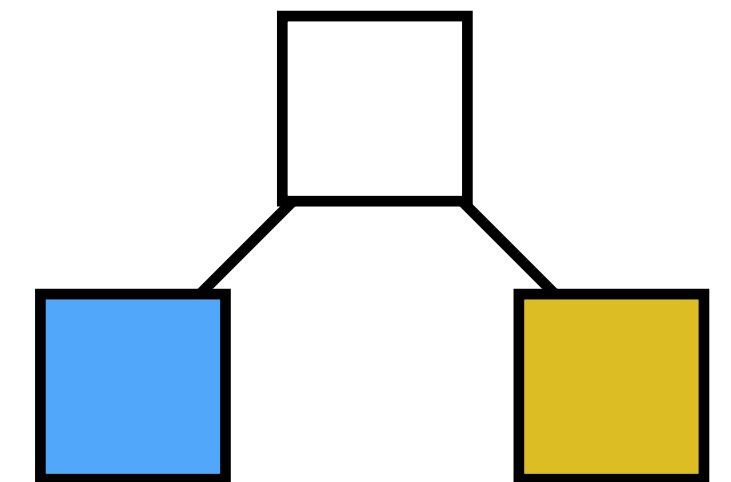
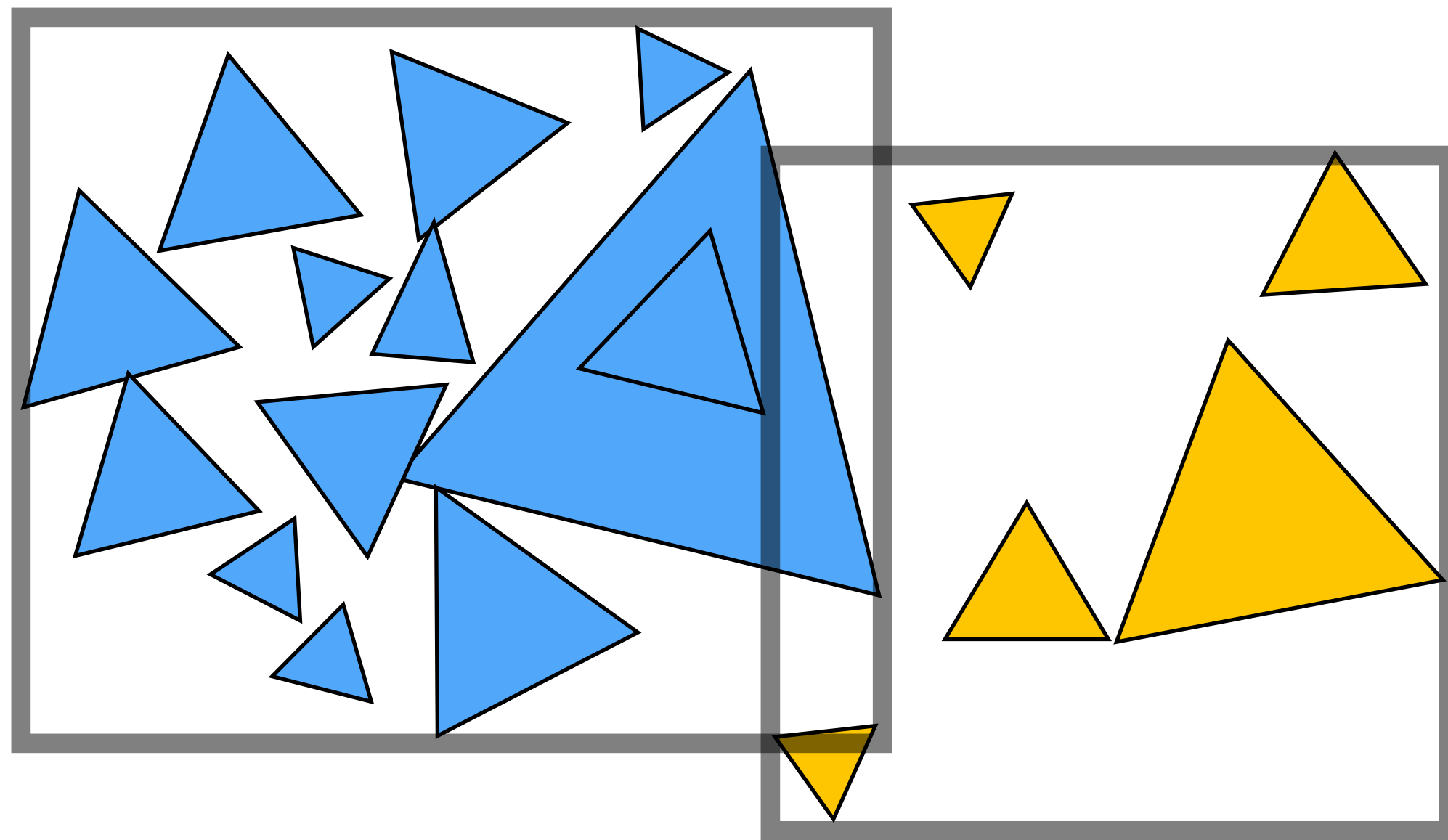
- Partition set of objects into disjoint subsets
- Bounding boxes for each set may overlap in space



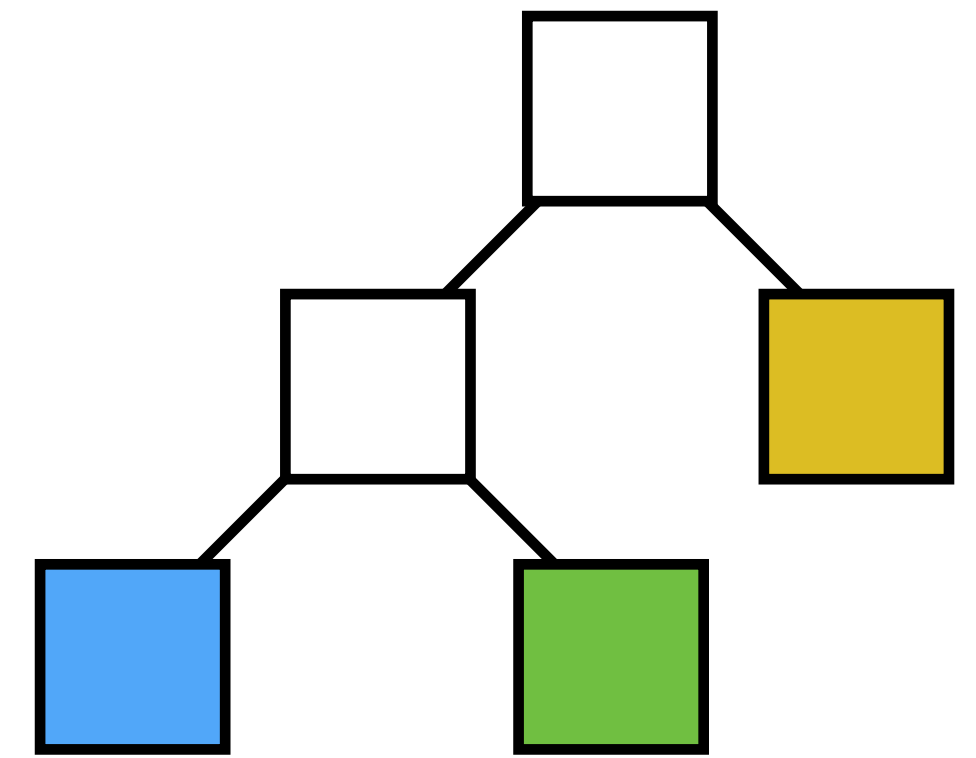
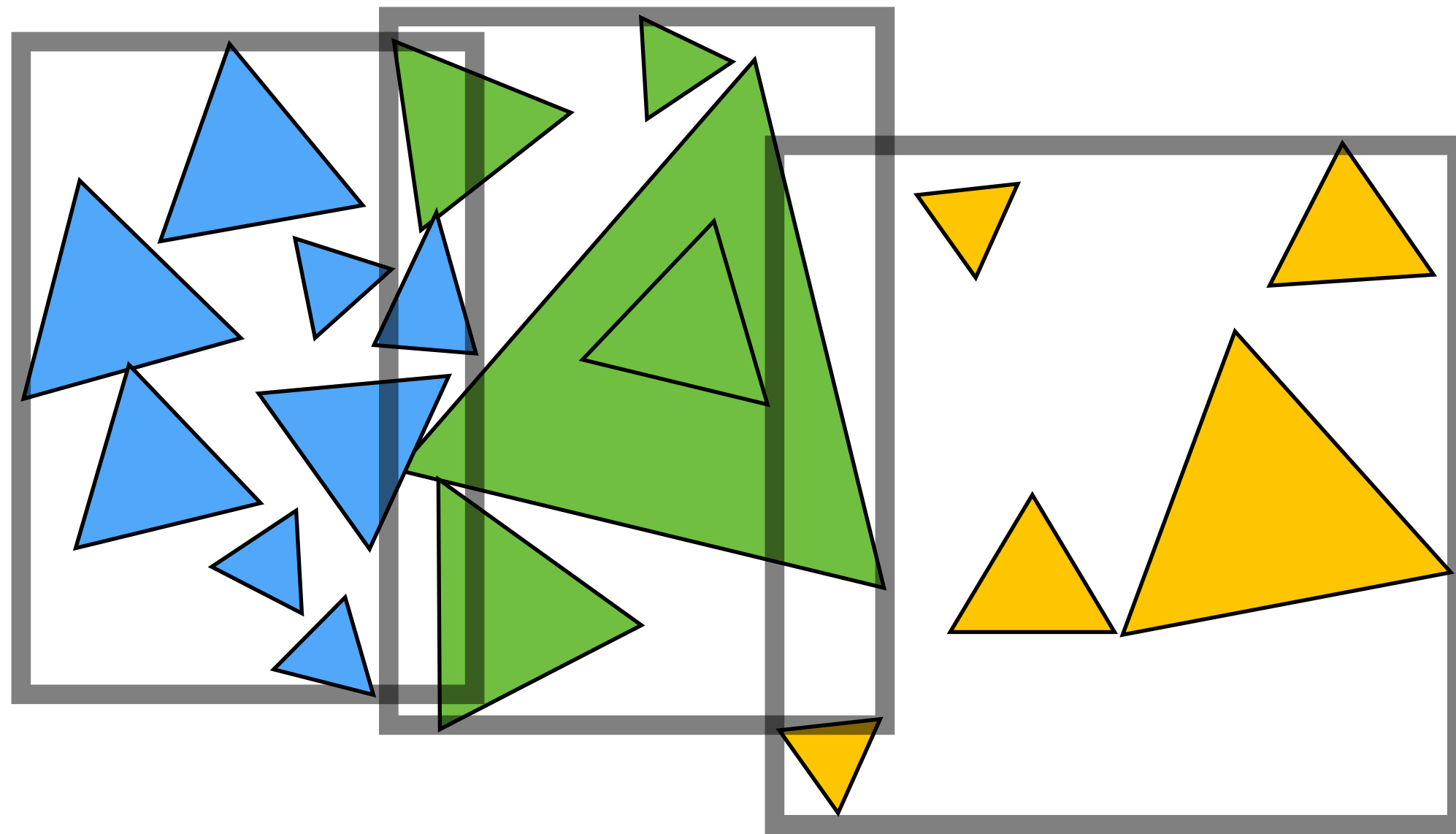
Bounding Volume Hierarchy (BVH)



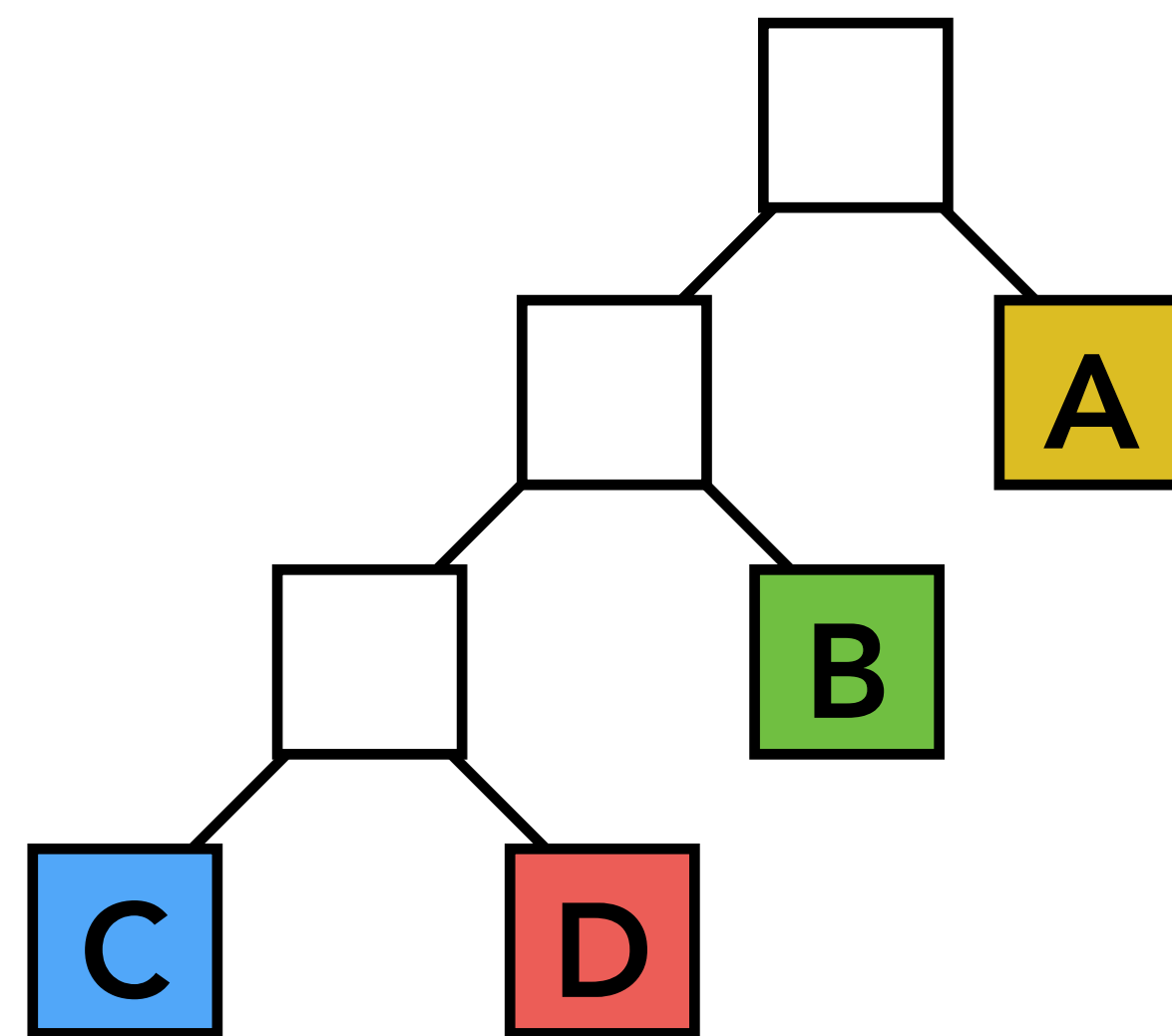
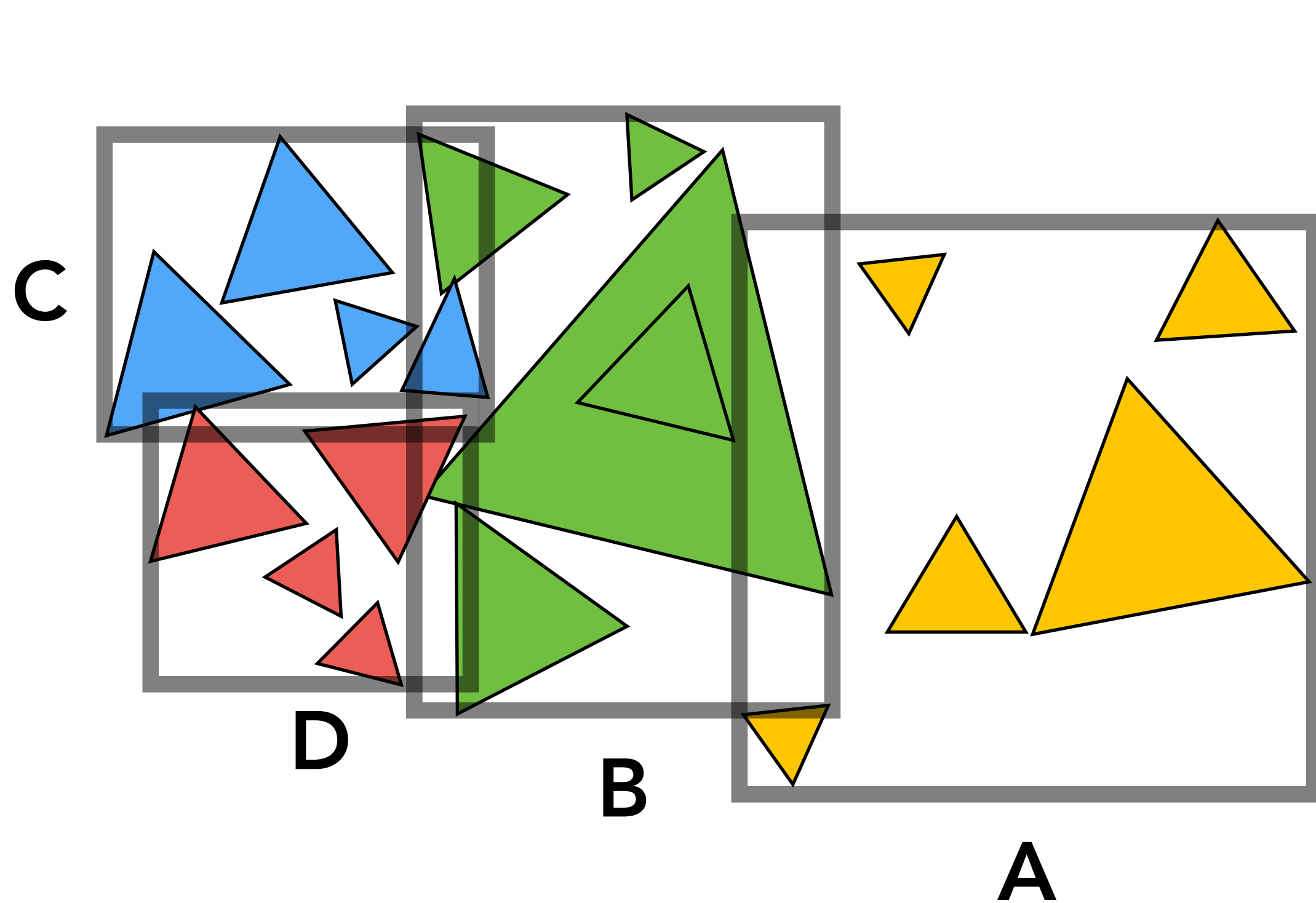
Bounding Volume Hierarchy (BVH)



Bounding Volume Hierarchy (BVH)



Bounding Volume Hierarchy (BVH)



Bounding Volume Hierarchy (BVH)

Internal nodes store

- Bounding box
- Children: reference to child nodes

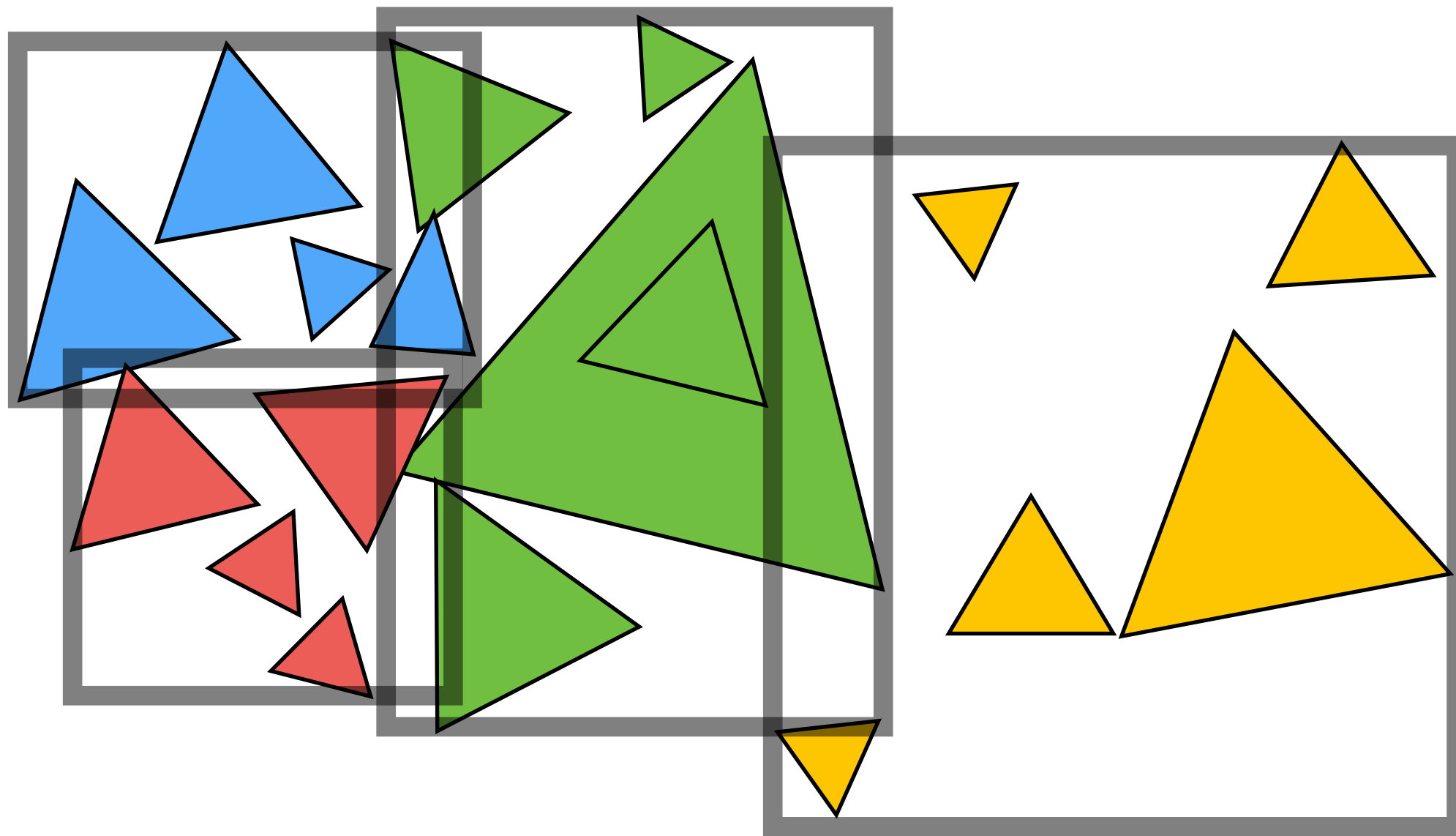
Leaf nodes store

- Bounding box
- List of objects

Nodes represent subset of primitives in scene

- All objects in subtree

BVH Pre-Processing



- Find bounding box
- Recursively split set of objects in two subsets
- Stop when there are just a few objects in each set
- Store obj reference(s) in each leaf node

BVH Pre-Processing

Choosing the set partition

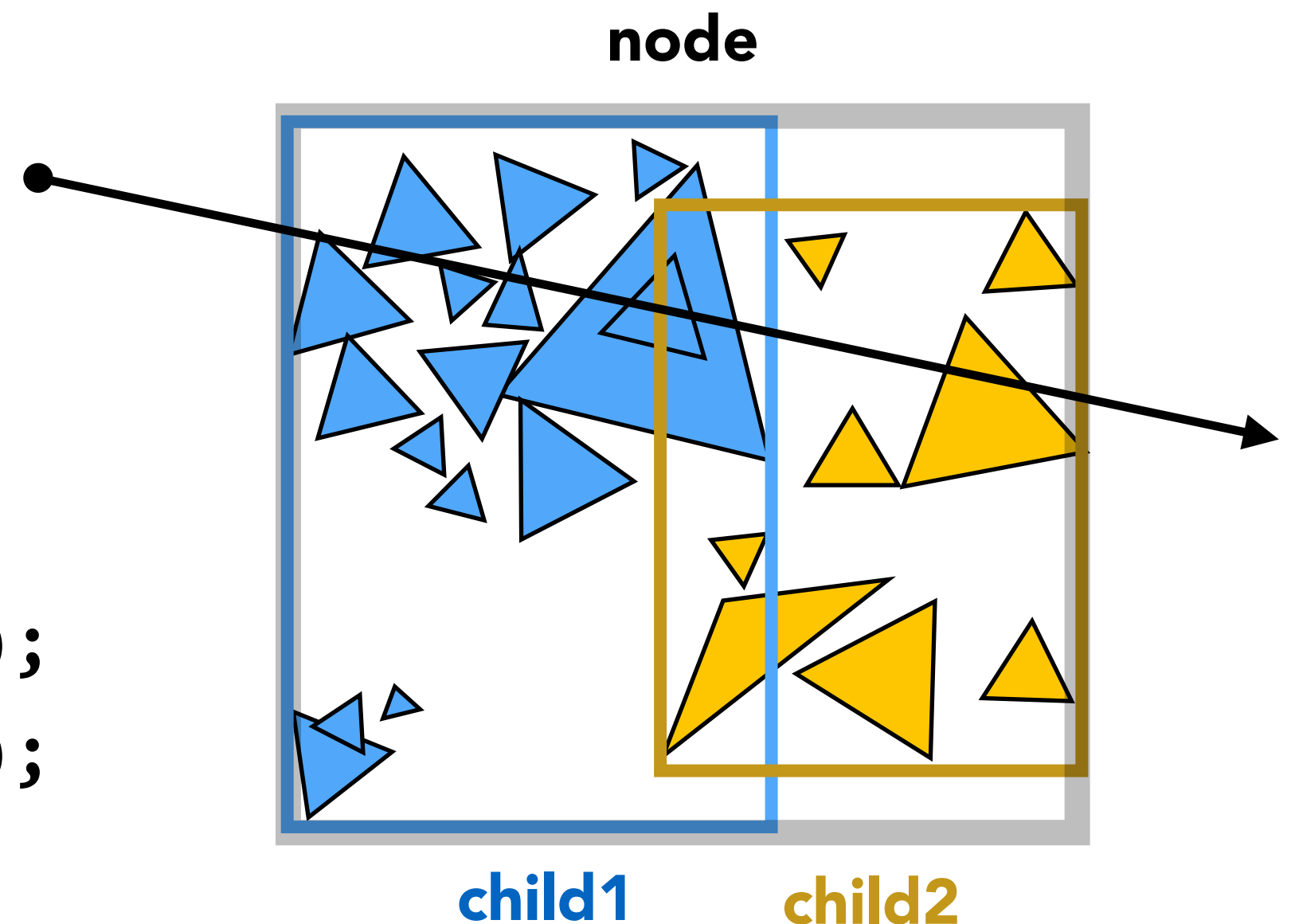
- Choose a spatial dimension to partition over (e.g. x,y,z)
- Simple #1: Split objects around spatial midpoint
- Simple #2: Split at location of median object
- Ideal: split to minimize expected cost of ray intersection

Termination criteria?

- Simple: stop when node contains few elements (e.g. 5)
- Ideal: stop when splitting does not reduce expected cost of ray intersection

BVH Recursive Traversal

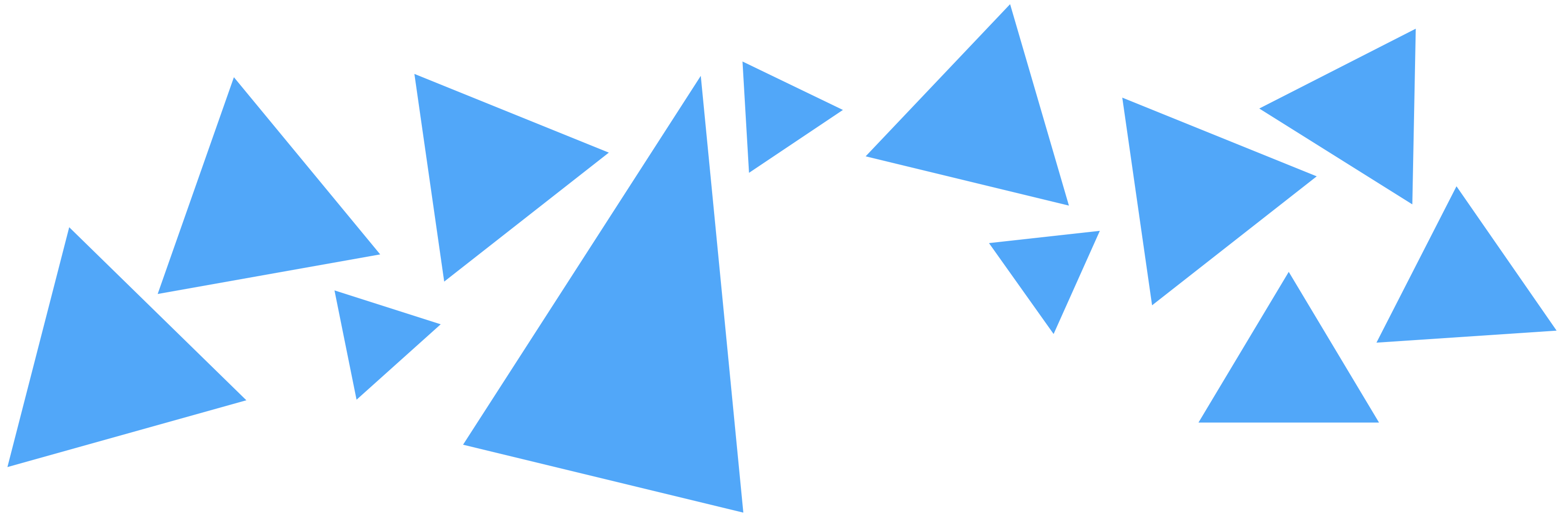
```
Intersect (Ray ray, BVH node)
  if (ray misses node.bbox) return;
  if (node is a leaf node)
    test intersection with all objs;
    return closest intersection;
  hit1 = Intersect (ray, node.child1);
  hit2 = Intersect (ray, node.child2);
  return closer of hit1, hit2;
```



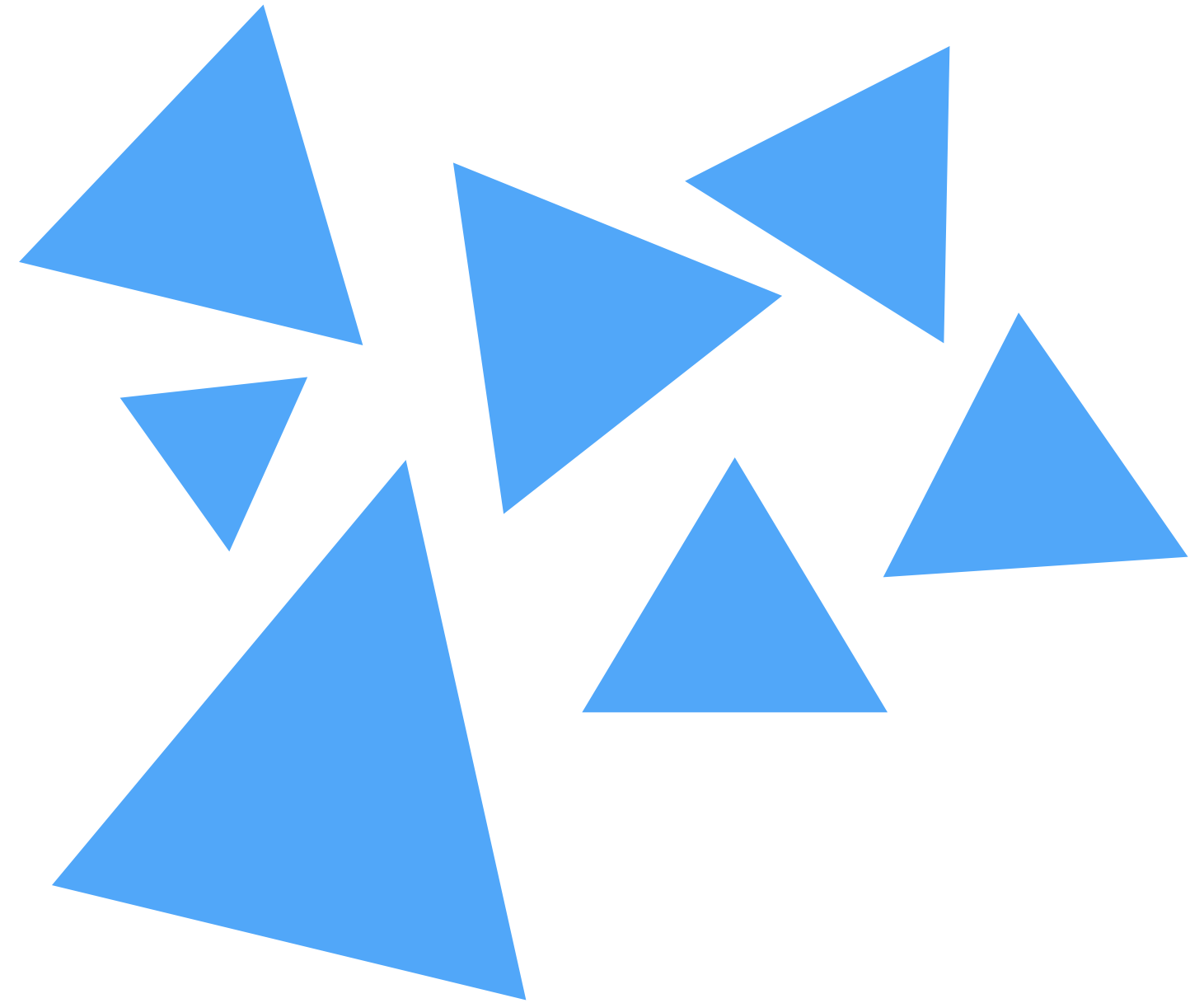
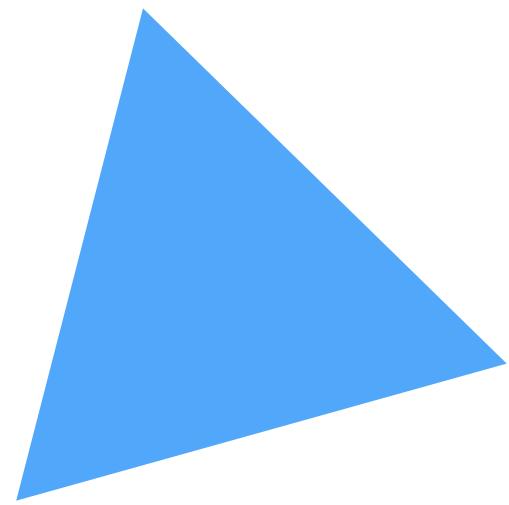
Optimizing Hierarchical Partitions

(How to Split?)

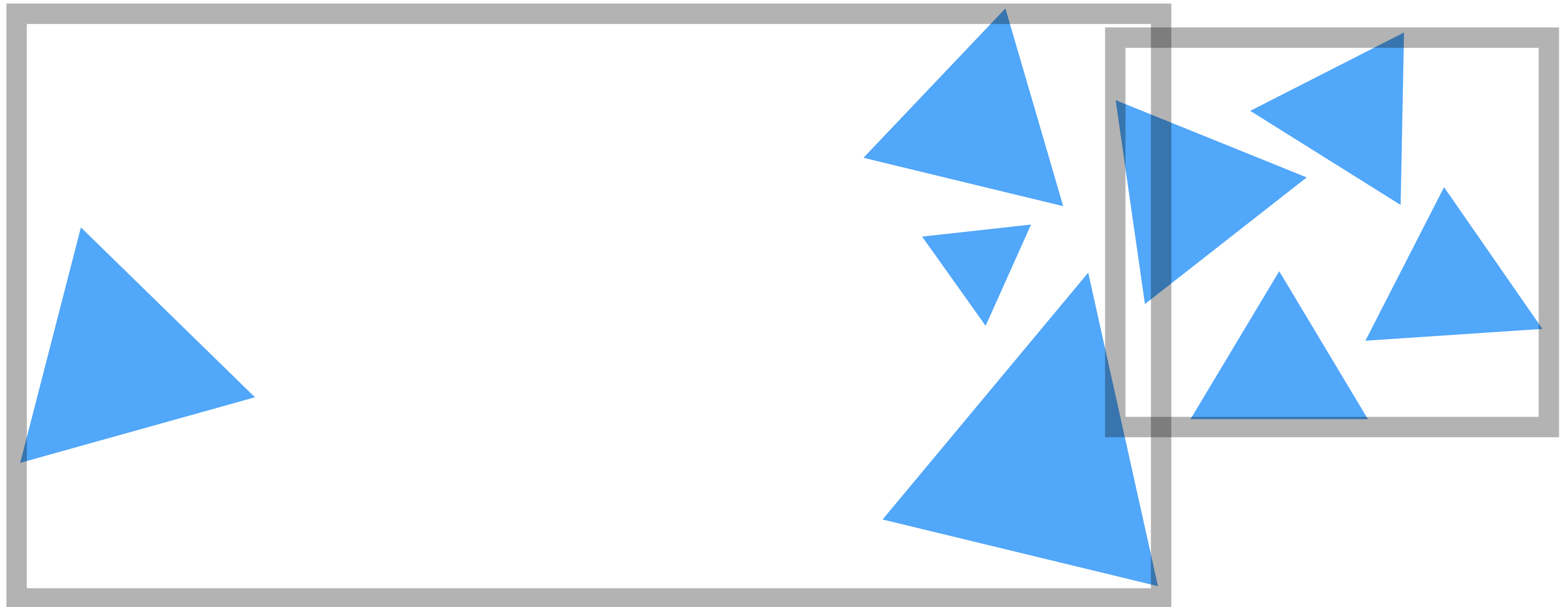
How to Split into Two Sets? (BVH)



How to Split into Two Sets? (BVH)



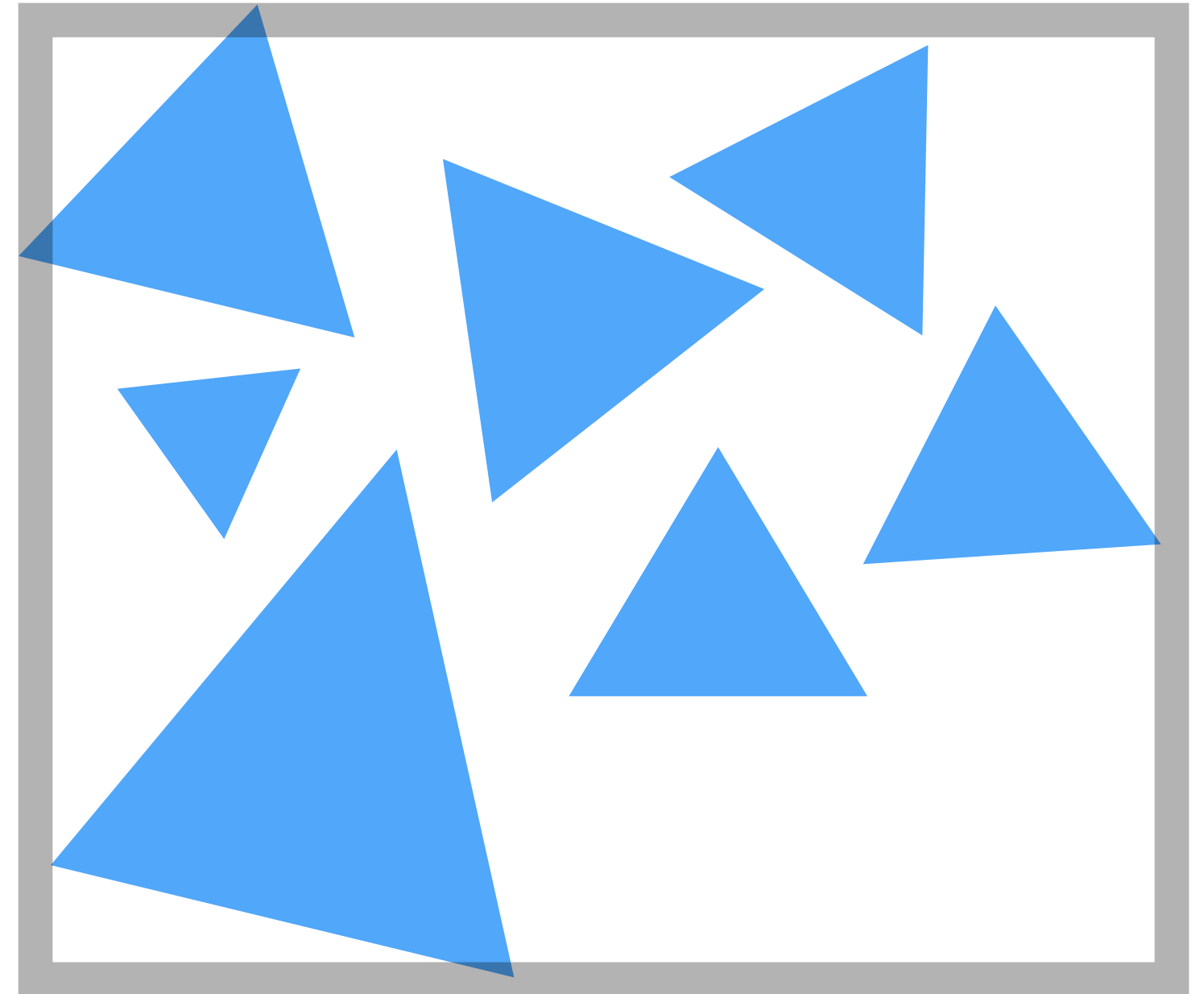
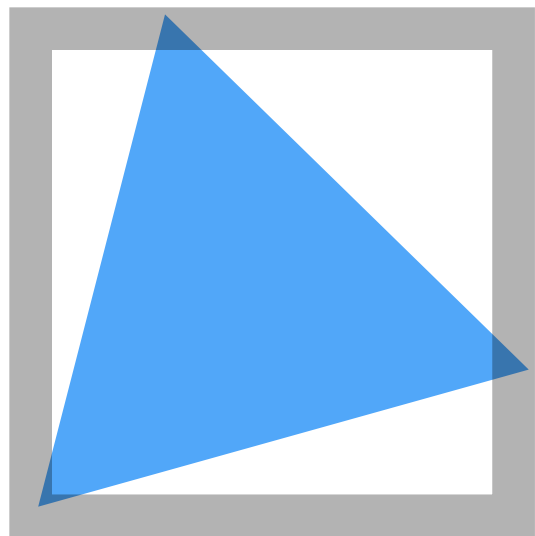
How to Split into Two Sets? (BVH)



Split at median element?

Child nodes have equal numbers of elements

How to Split into Two Sets? (BVH)



A better split?

Smaller bounding boxes, avoid overlap and empty space

Which Hierarchy Is Fastest?

Key insight: a good partition minimizes the average cost of tracing a ray

Which Hierarchy Is Fastest?

What is the average cost of tracing a ray?

For leaf node:

$$\begin{aligned}\text{Cost}(\text{node}) &= \text{cost of intersecting all triangles} \\ &= C_{\text{isect}} * \text{TriCount}(\text{node})\end{aligned}$$

C_{isect} = cost of intersecting a triangle

$\text{TriCount}(\text{node})$ = number of triangles in node

Which Hierarchy Is Fastest?

What is the average cost of tracing a ray?

For internal node:

$$\begin{aligned}\text{Cost}(\text{node}) &= C_{\text{trav}} \\ &\quad + \text{Prob}(\text{hit L}) * \text{Cost}(\text{L}) \\ &\quad + \text{Prob}(\text{hit R}) * \text{Cost}(\text{R})\end{aligned}$$

C_{trav} = cost of traversing a cell

$\text{Cost}(\text{L})$ = cost of traversing left child

$\text{Cost}(\text{R})$ = cost of traversing right child

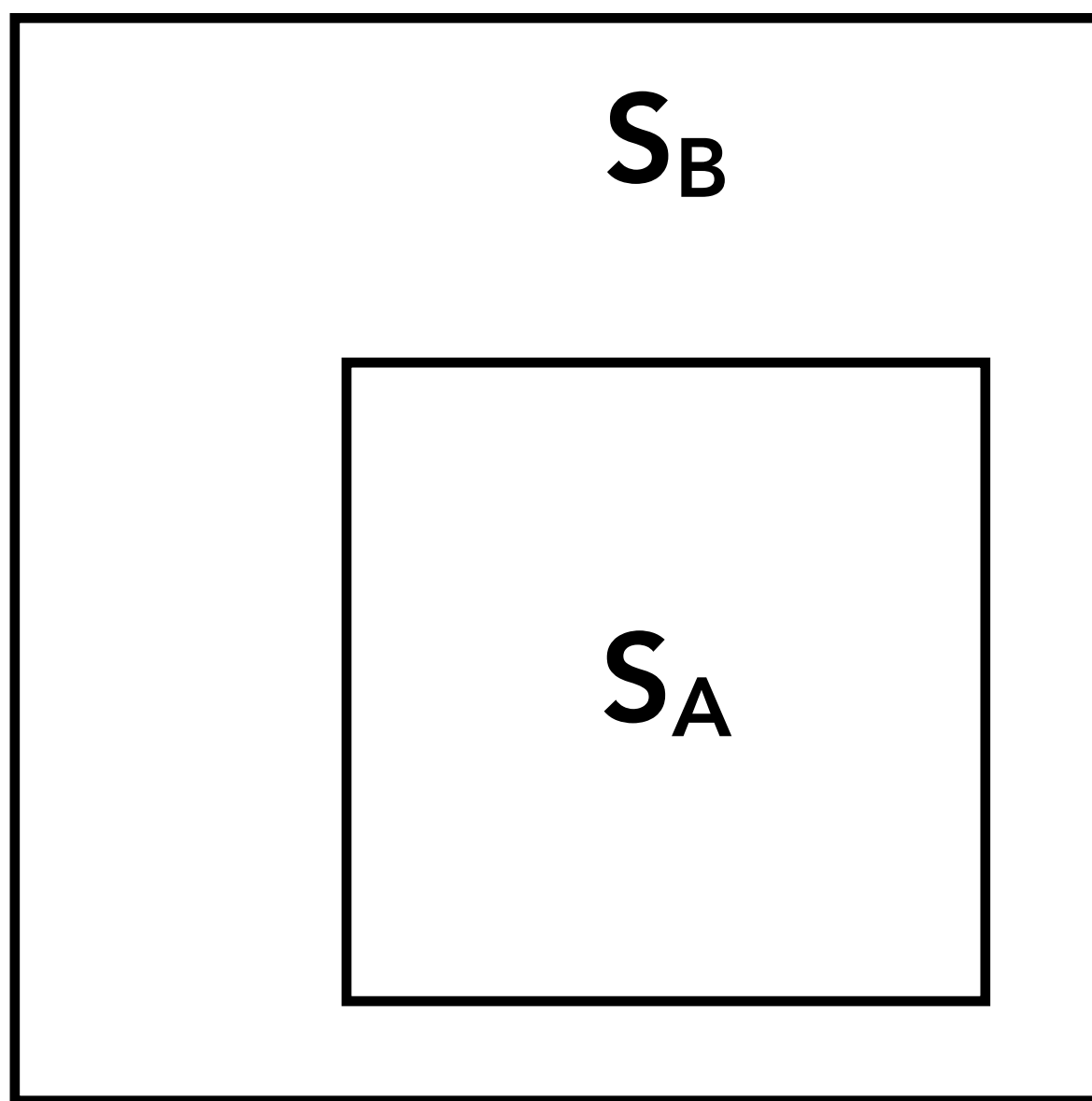
Optimizing Hierarchical Partitions

Example: Surface Area Heuristic

Algorithm

Ray Intersection Probability

The probability of a random ray hitting a convex shape A enclosed by another convex shape B is the ratio of their surface areas, S_A / S_B .



$$P(\text{hit } A | \text{hit } B) = \frac{S_A}{S_B}$$

Estimating Cost with Surface Area Heuristic (SAH)

Probabilities of ray intersecting a node

- If assume uniform ray distribution, no occlusions, then probability is proportional to node's surface area

Cost of processing a node

- Common approximation is #triangles in node's subtree

$$\text{Cost}(\text{cell}) = C_{\text{trav}} + \text{SA}(\text{L}) * \text{TriCount}(\text{L}) + \text{SA}(\text{R}) * \text{TriCount}(\text{R})$$

$\text{SA}(\text{node})$ = surface area of bbox of node

C_{trav} = ratio of cost to traverse vs. cost to intersect tri

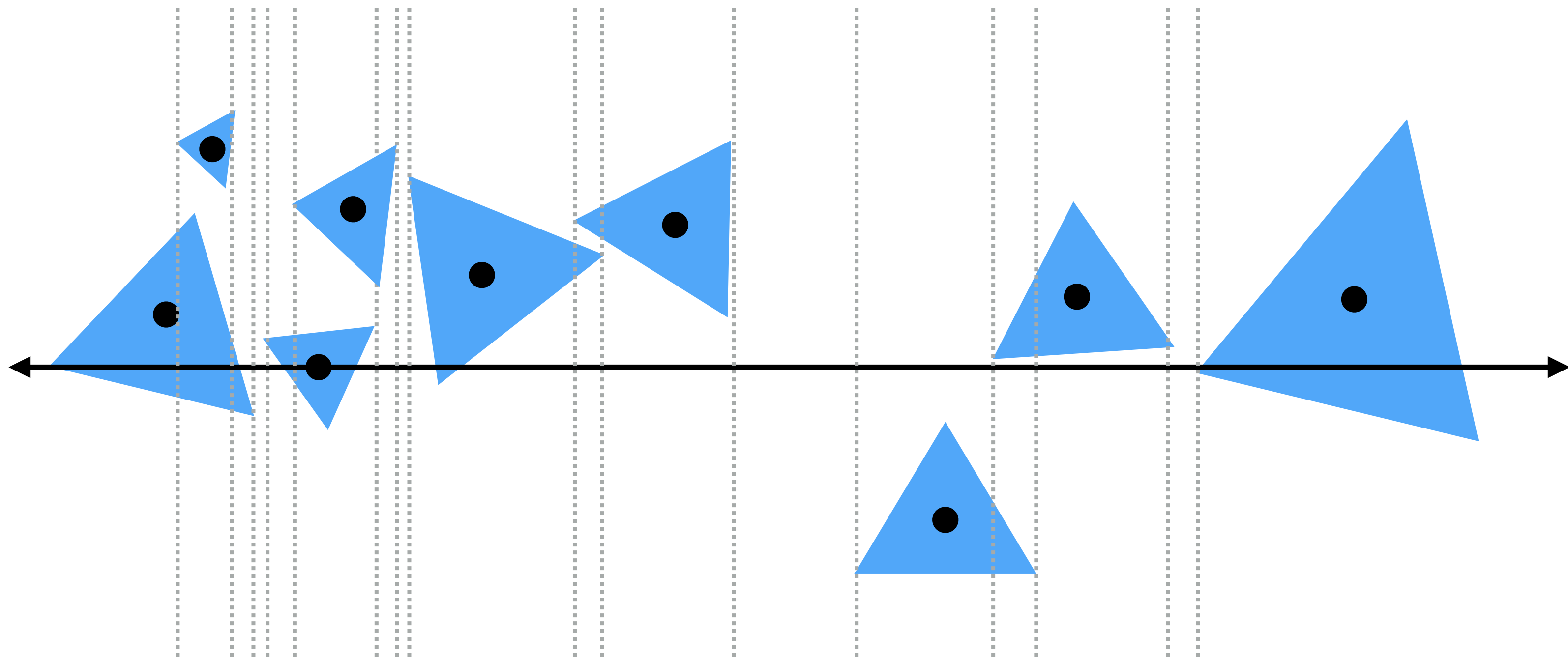
$C_{\text{trav}} = 1:8$ in PBRT [Pharr & Humphreys]

$C_{\text{trav}} = 1:1.5$ in a highly optimized version

Partition Implementation

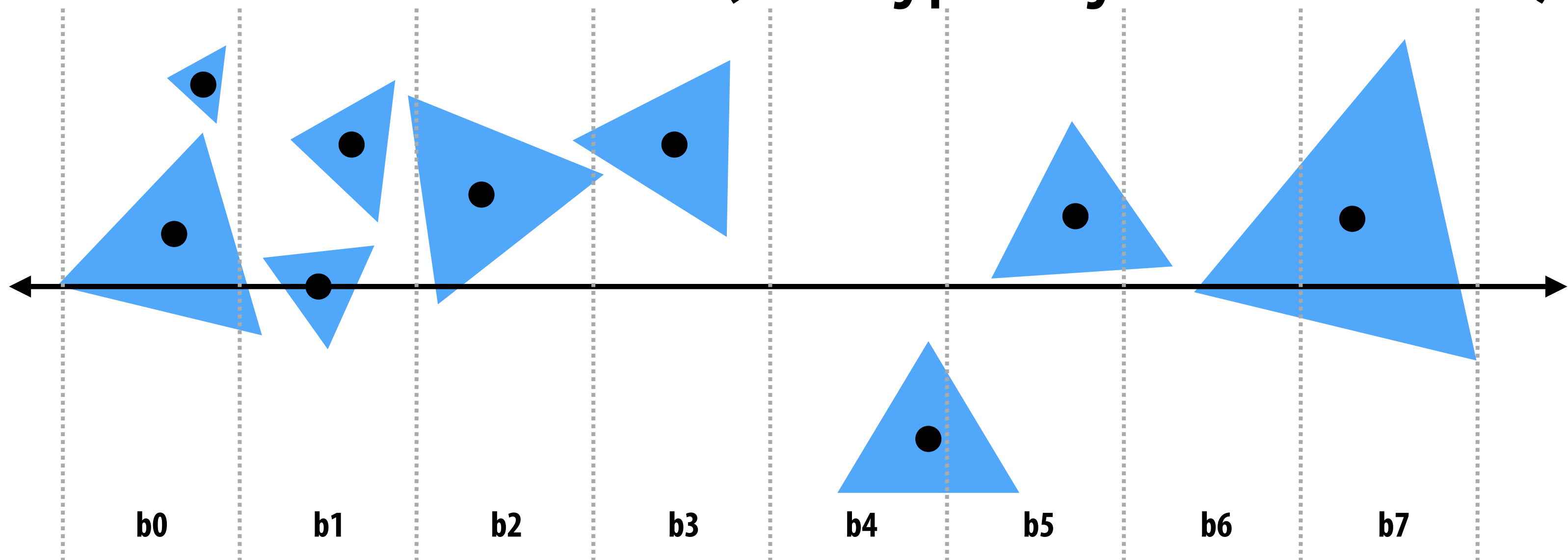
Constrain search to axis-aligned spatial partitions

- Choose an axis
- Choose a split plane on that axis
- Partition objects into two halves by centroid
- $2N-2$ candidate split planes for node with N primitives. (Why?)



Partition Implementation (Efficient)

Efficient modern approximation: split spatial extent of primitives into B buckets (B is typically small: $B < 32$)



```
For each axis: x,y,z:  
  initialize buckets  
  For each object p in node:  
    b = compute_bucket(p.centroid)  
    b.bbox.union(p.bbox);  
    b.prim_count++;  
  For each of the B-1 possible partitioning planes evaluate SAH  
  Execute lowest cost partitioning found (or make node a leaf)
```

Cost-Optimization Applies to Spatial Partitions Too

- Discussed optimization of BVH construction
- But principles are general and apply to spatial partitions as well
- E.g. to optimize KD-Tree construction
 - Goal is to minimize average cost of intersecting ray with tree
 - Can still apply Surface Area Heuristic
 - Note that surface areas and number of nodes in children differ from BVH

Things to Remember

Ray-geometry intersection as solution of ray-equation substituted into implicit geometry function

Linear vs logarithmic ray-intersection techniques

Many techniques for accelerating ray-intersection

- Spatial partitions: Grids and KD-Trees
- Object partitions: Bounding Volume Hierarchies

Optimize hierarchy construction based on minimizing cost of intersecting ray against hierarchy

- Leads to Surface Area Heuristic for best partition

Acknowledgments

Thanks to Pat Hanrahan, Kayvon Fatahalian, Mark Pauly and Steve Marschner for lecture resources.